

# Lecture 9

# CNNs and Spatial Processing



# 9. CNNs and Spatial Processing

- How to use deep nets for images
- New layer types: convolutional, pooling
- Feature maps and multichannel representations
- Popular architectures: Alexnet, VGG, Resnets
- Getting to know learned filters
- Unit visualization

# Image classification

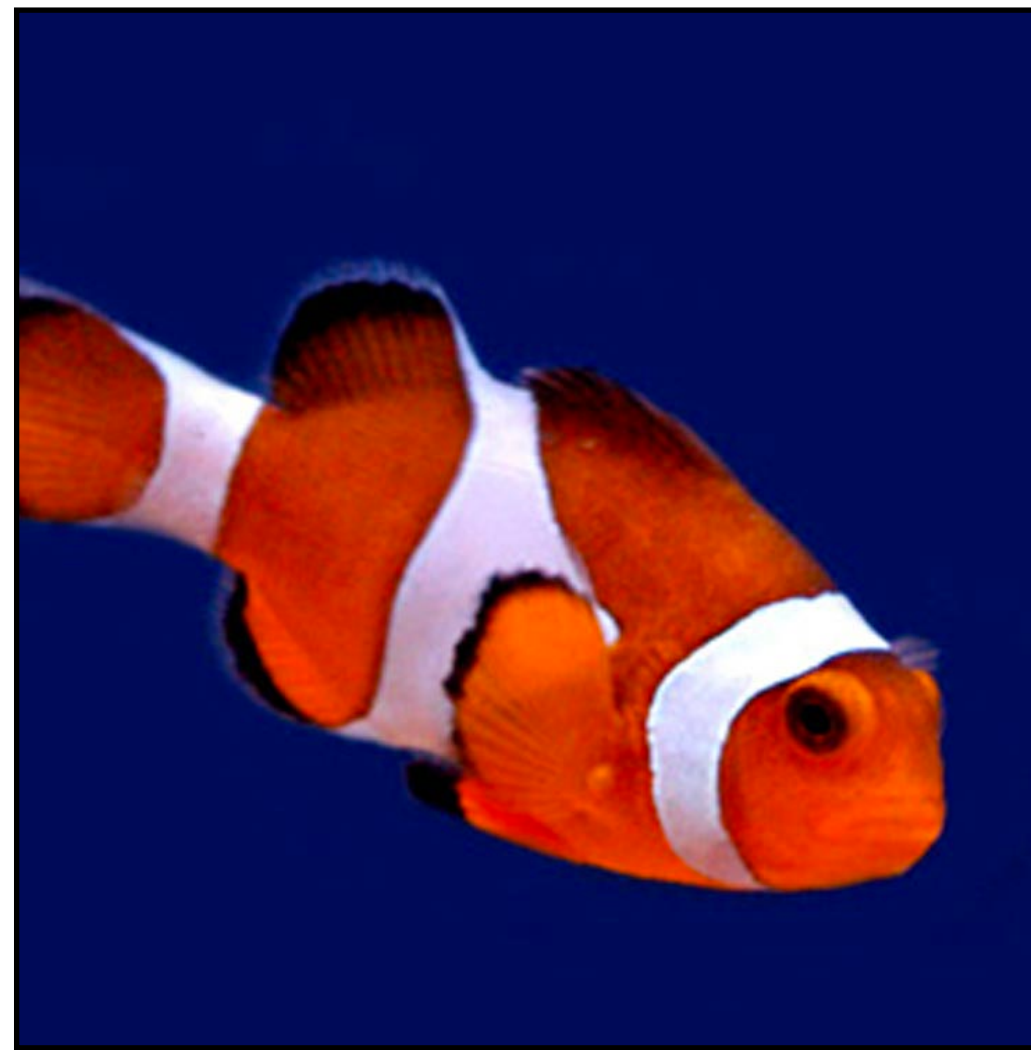


image  $x$

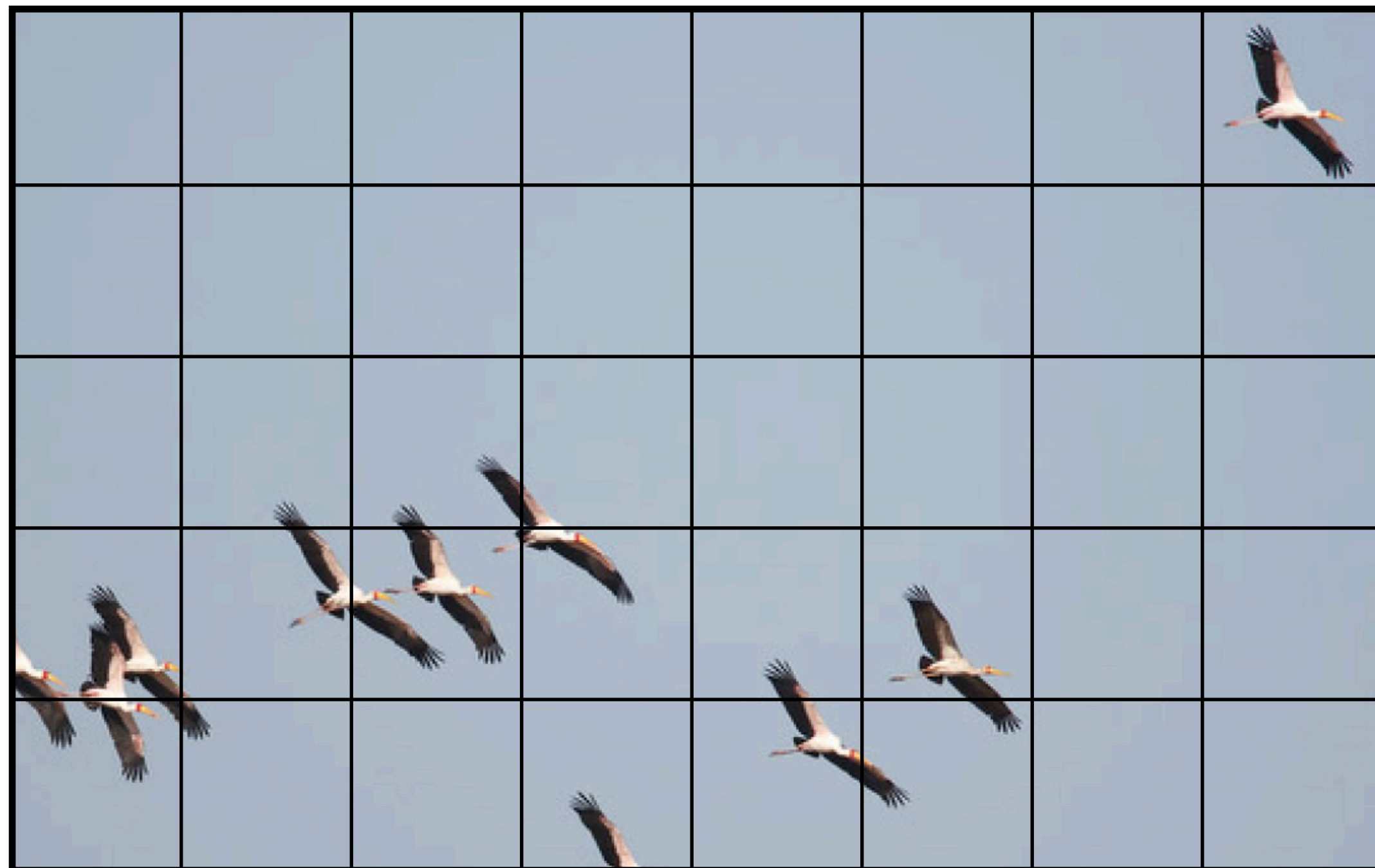


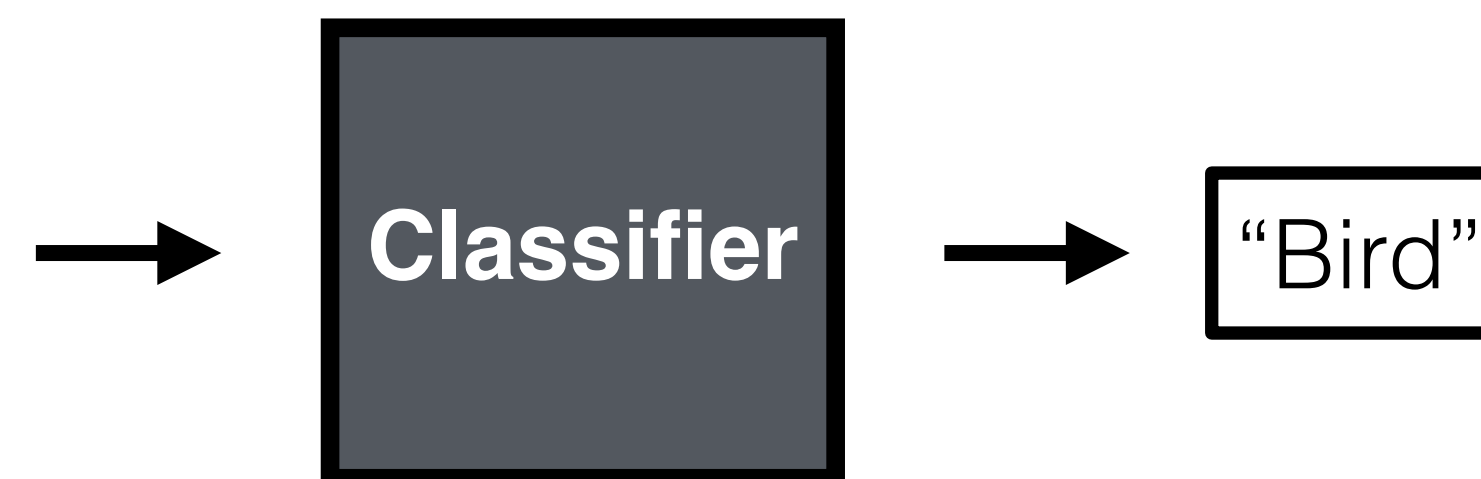
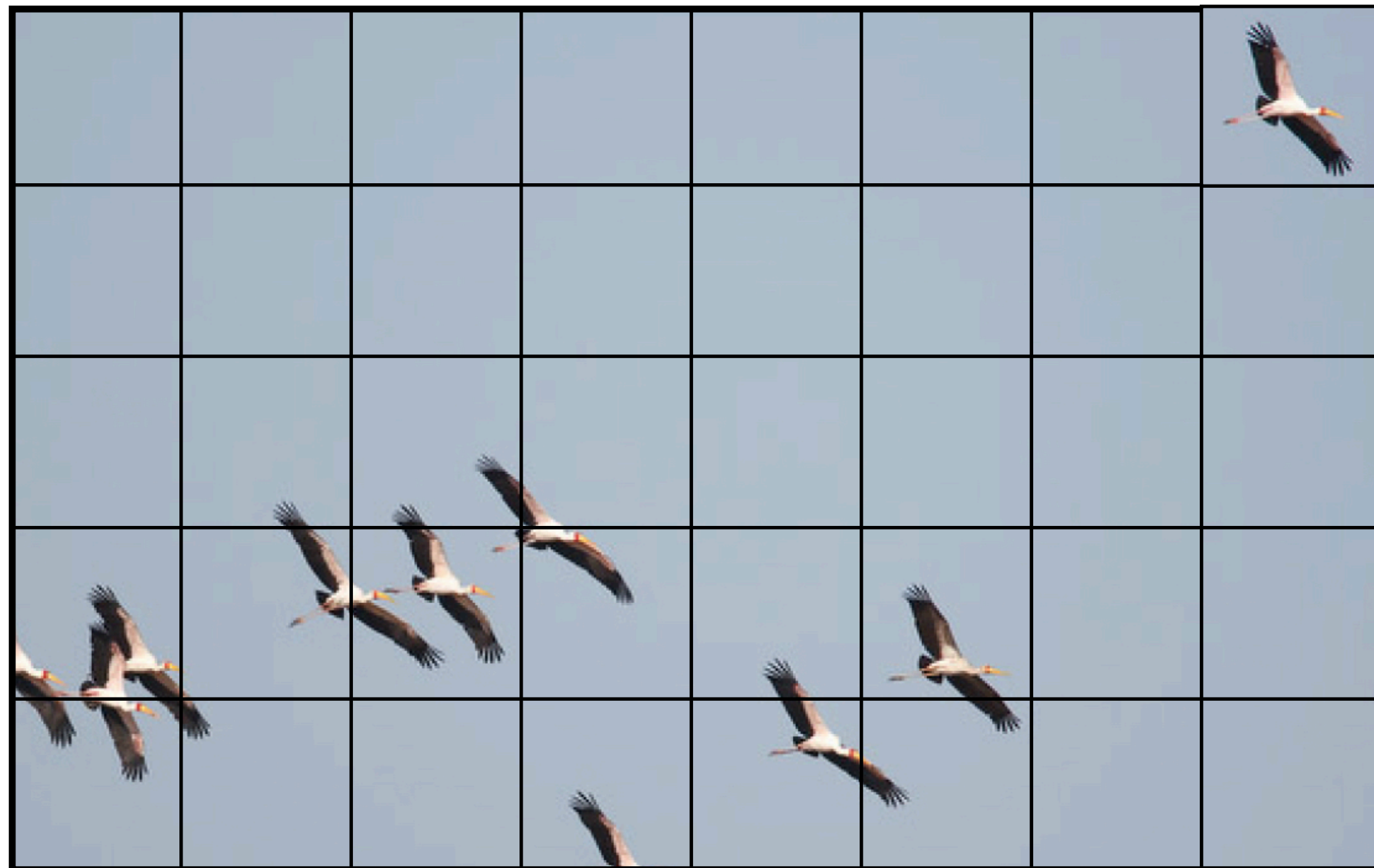
"Fish"

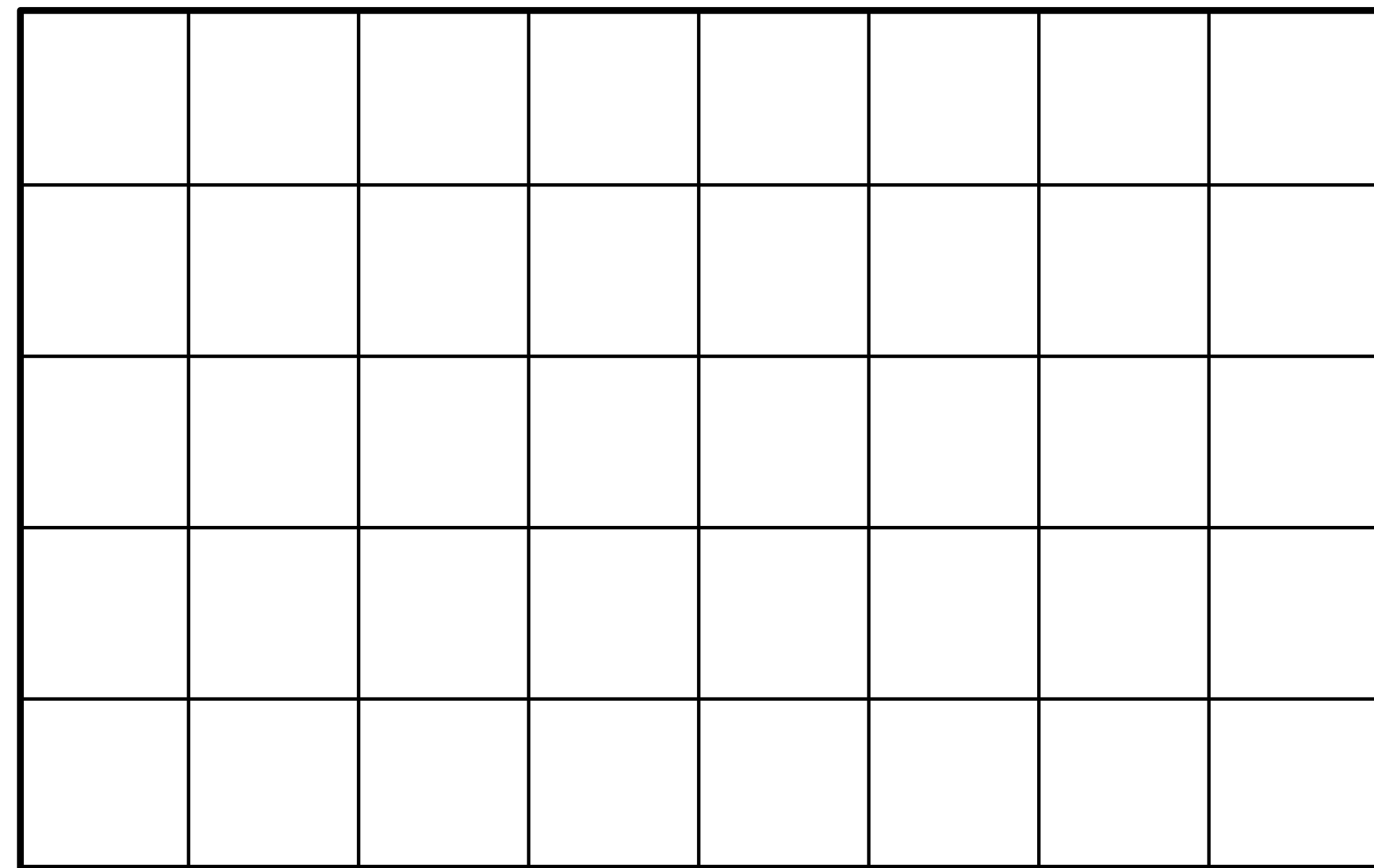
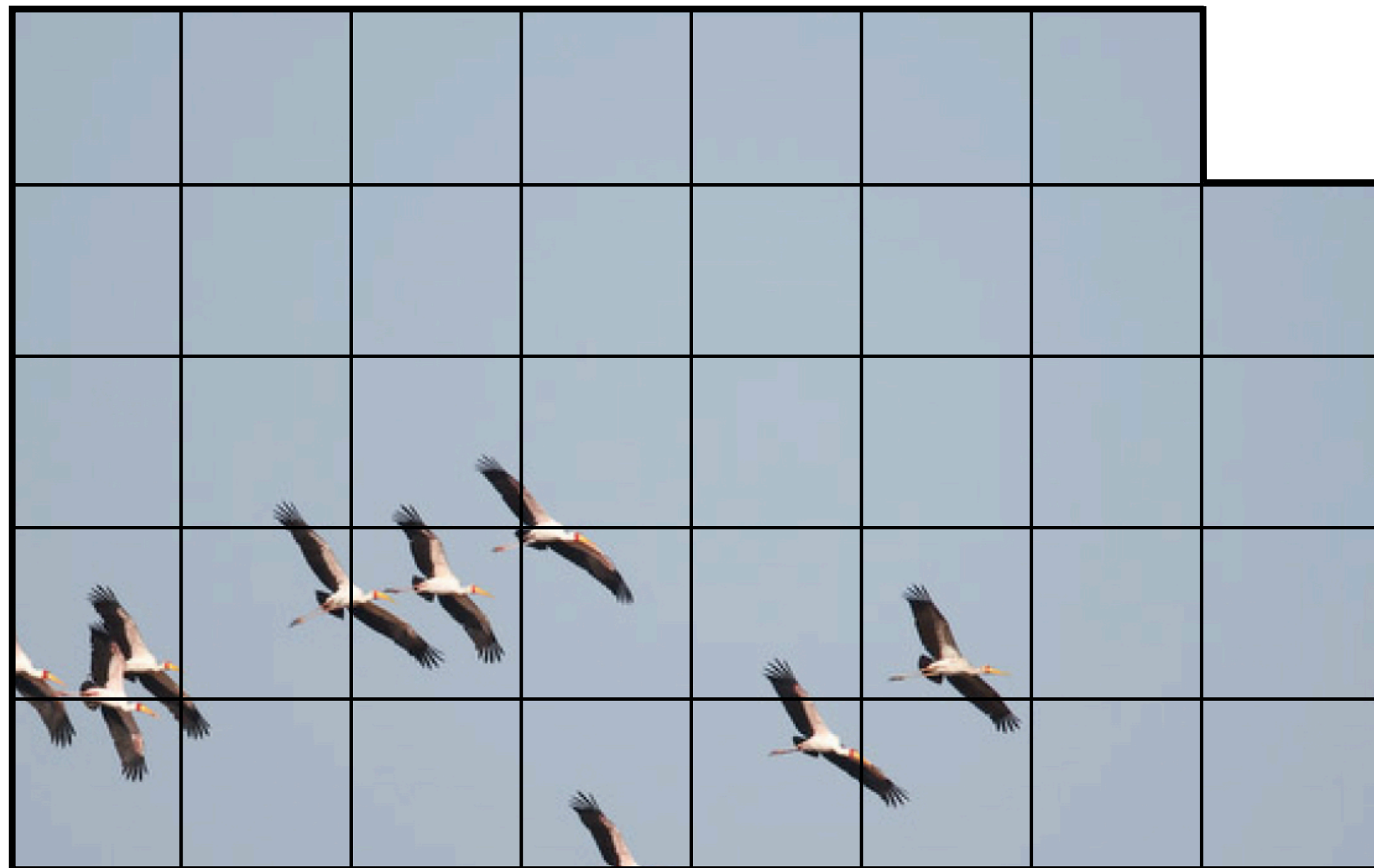
label  $y$

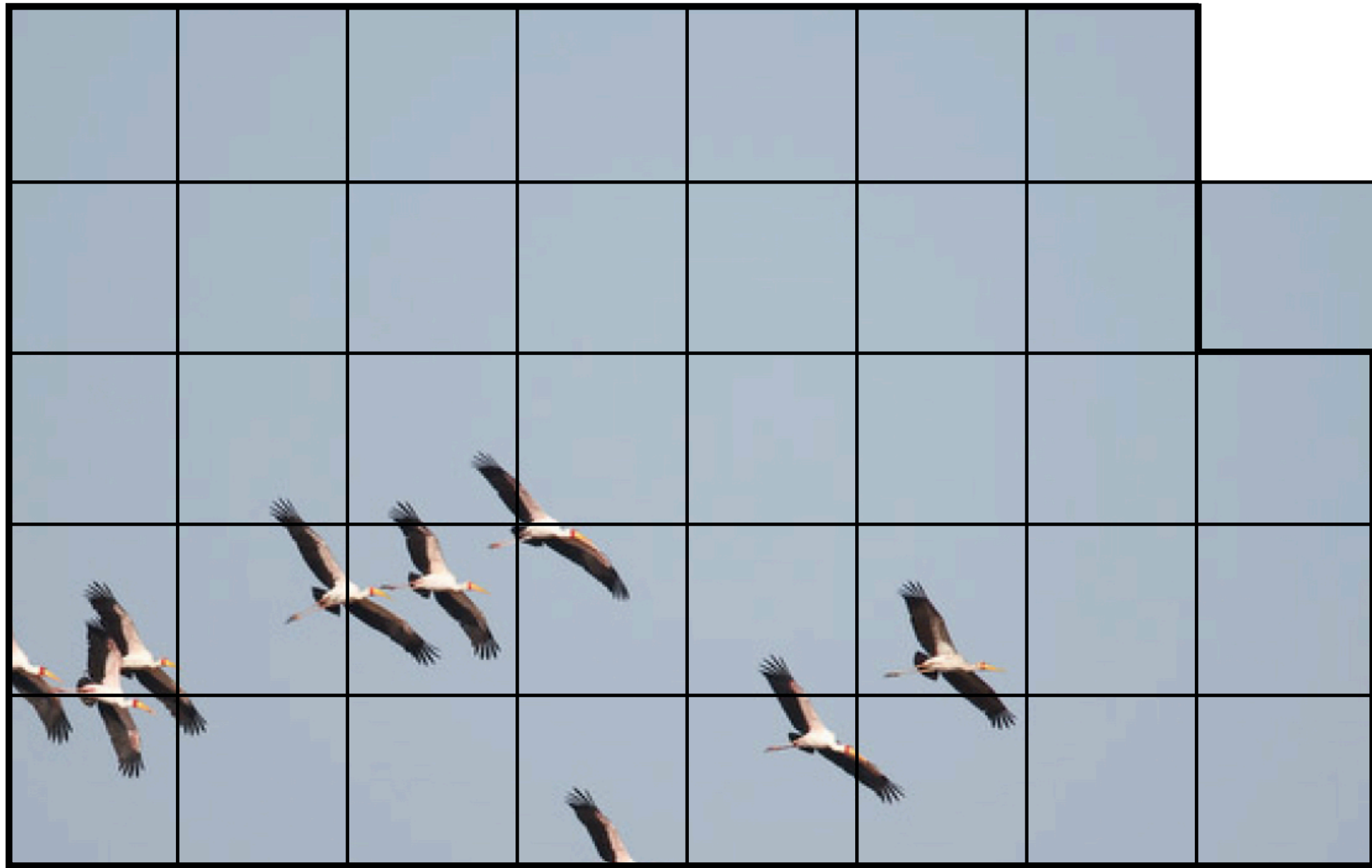


Photo credit: Fredo Durand

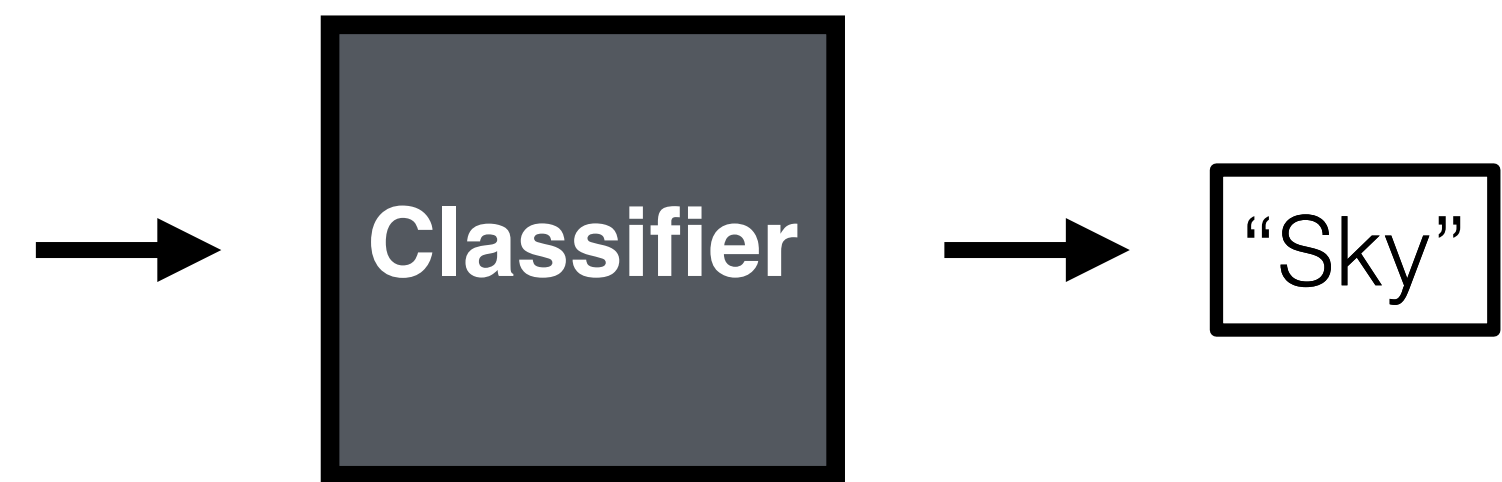




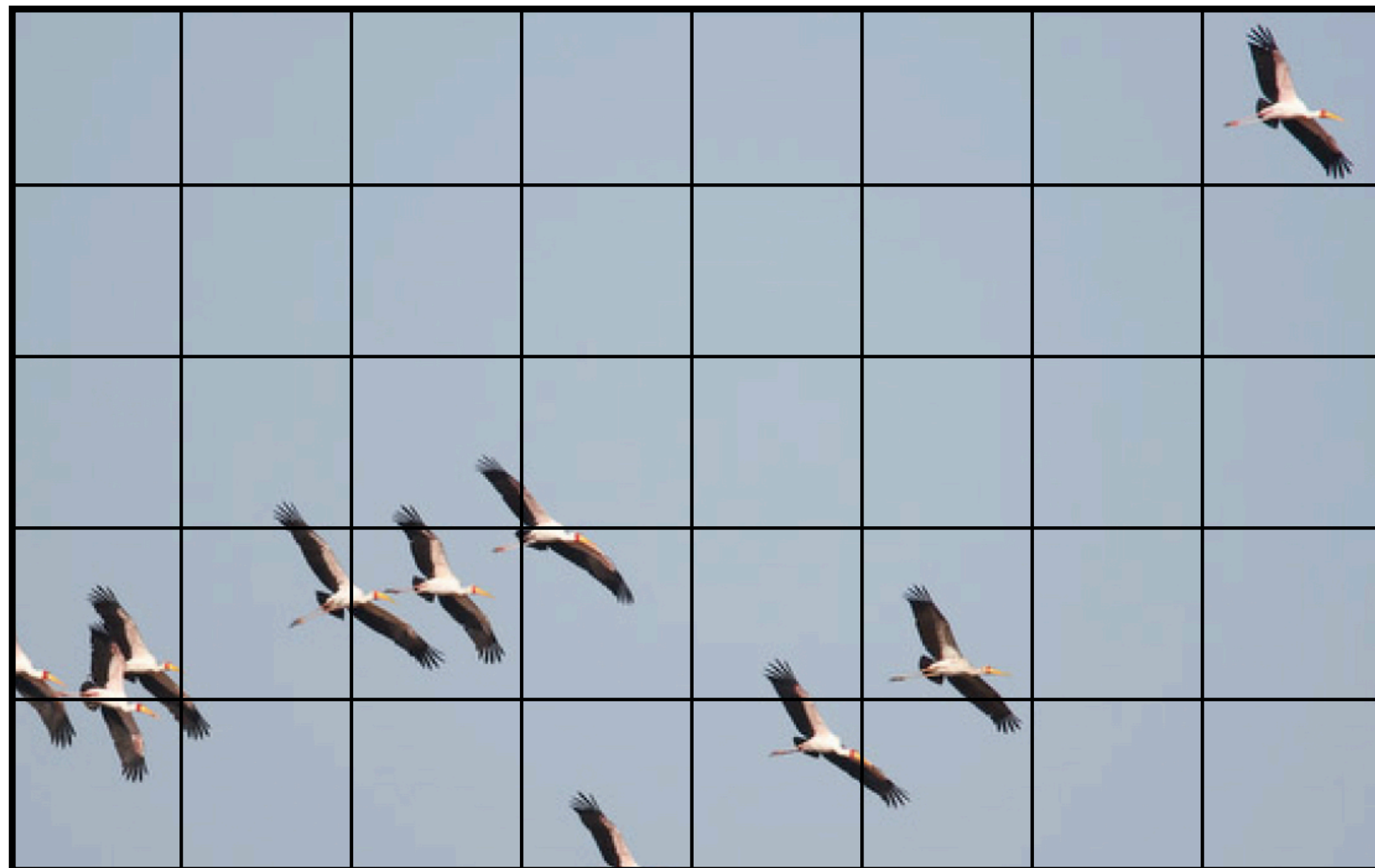




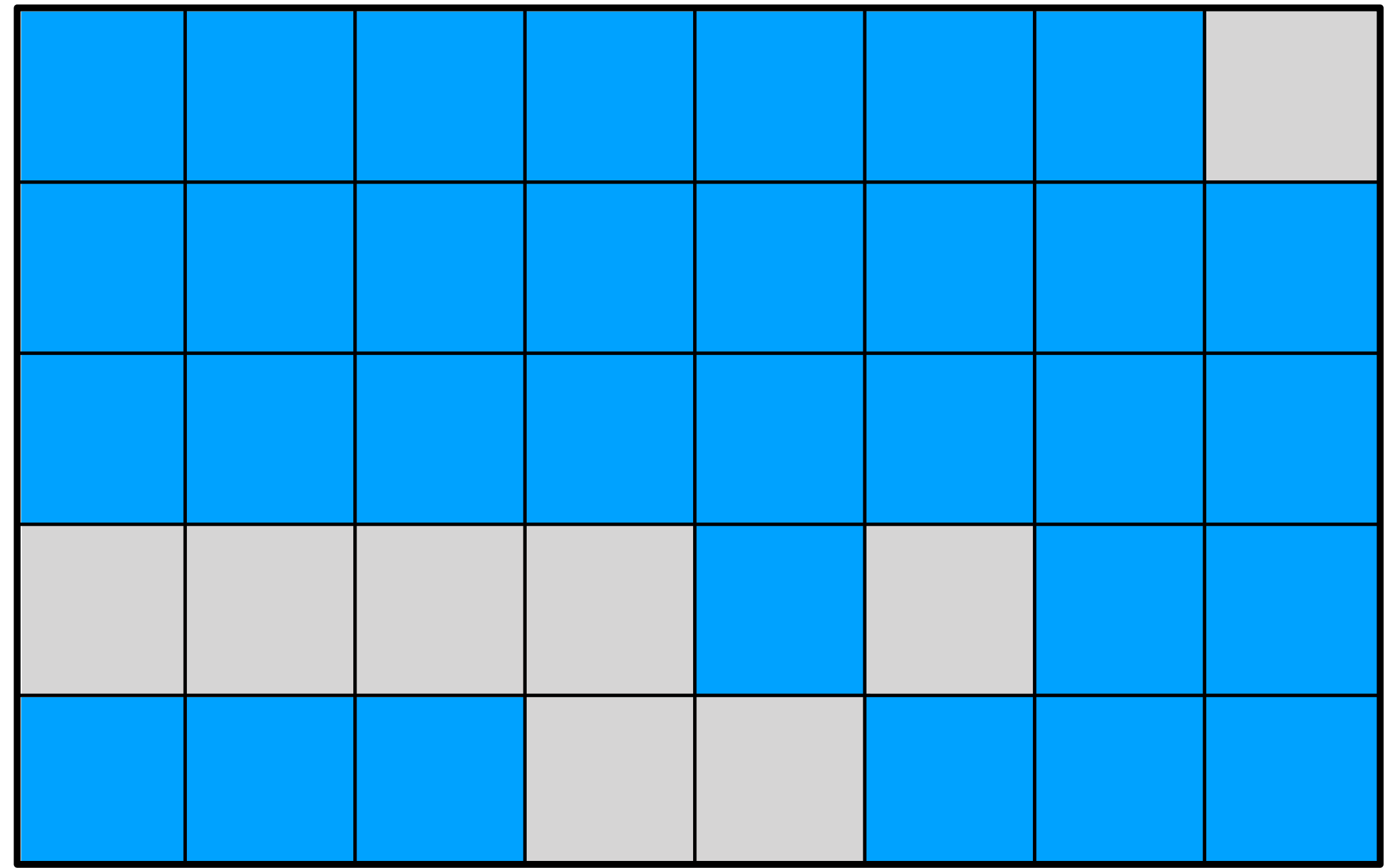
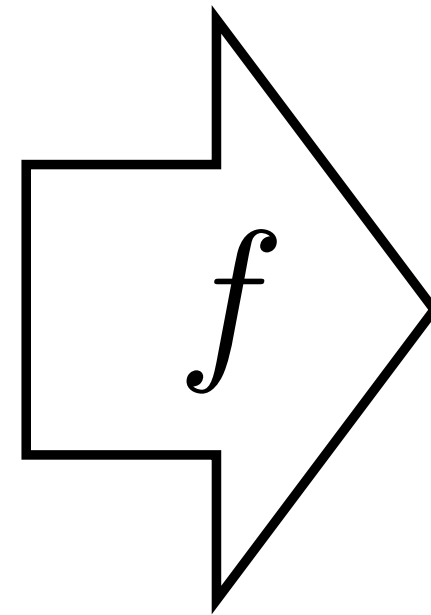
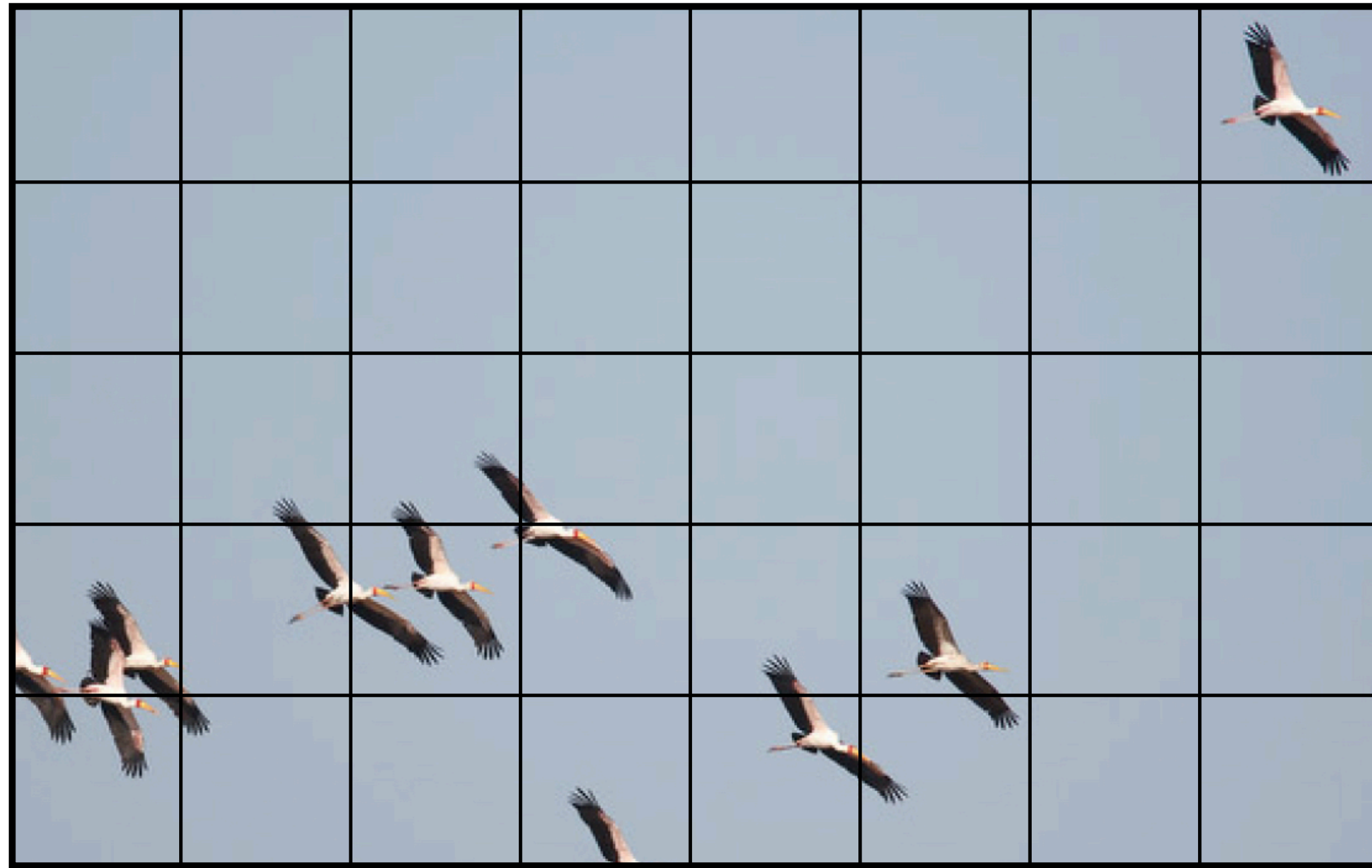
							Bird







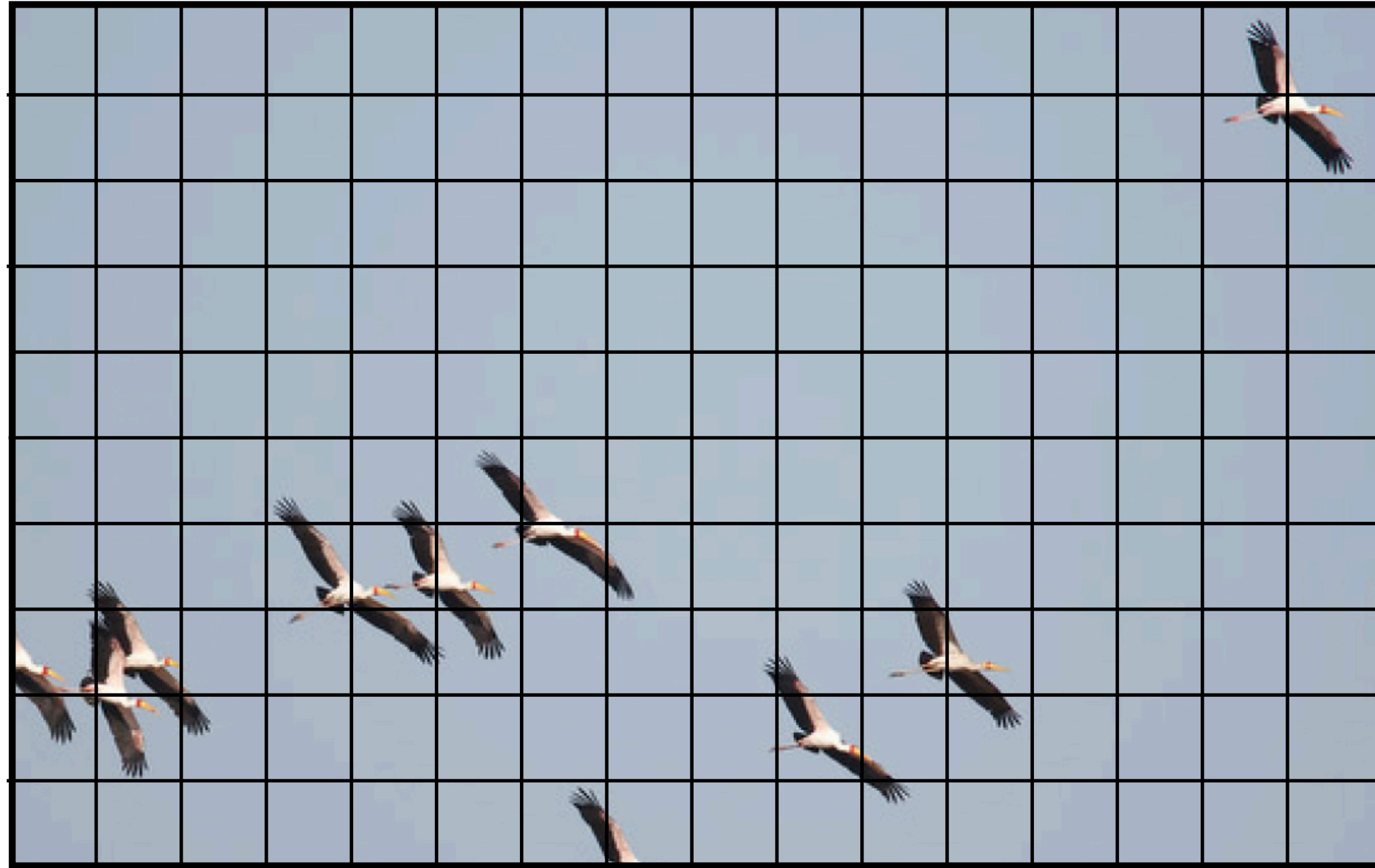
Sky	Sky	Sky	Sky	Sky	Sky	Sky	Bird
Sky	Sky	Sky	Sky	Sky	Sky	Sky	Sky
Sky	Sky	Sky	Sky	Sky	Sky	Sky	Sky
Bird	Bird	Bird	Sky	Bird	Sky	Sky	Sky
Sky	Sky	Sky	Bird	Sky	Sky	Sky	Sky



## Problem:

What happens to objects that are bigger?

What if an object crosses multiple cells?

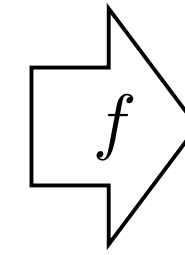
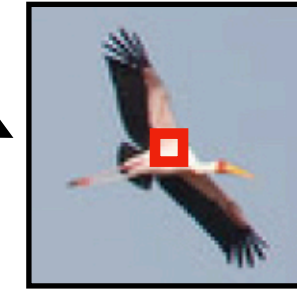


“Cell”-based approach is limited.

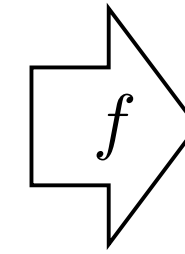
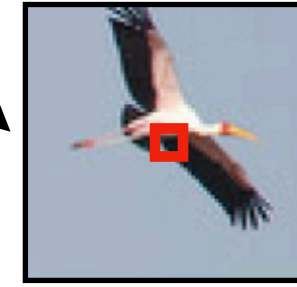
What can we do instead?



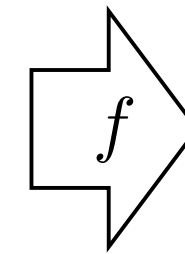
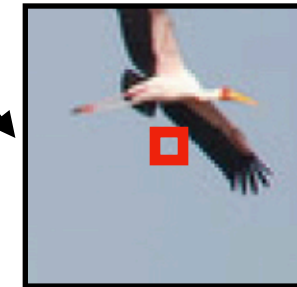
What's the object class of the center pixel?



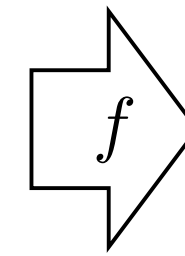
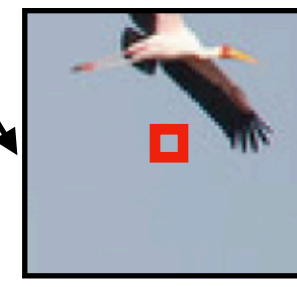
“Bird”



“Bird”

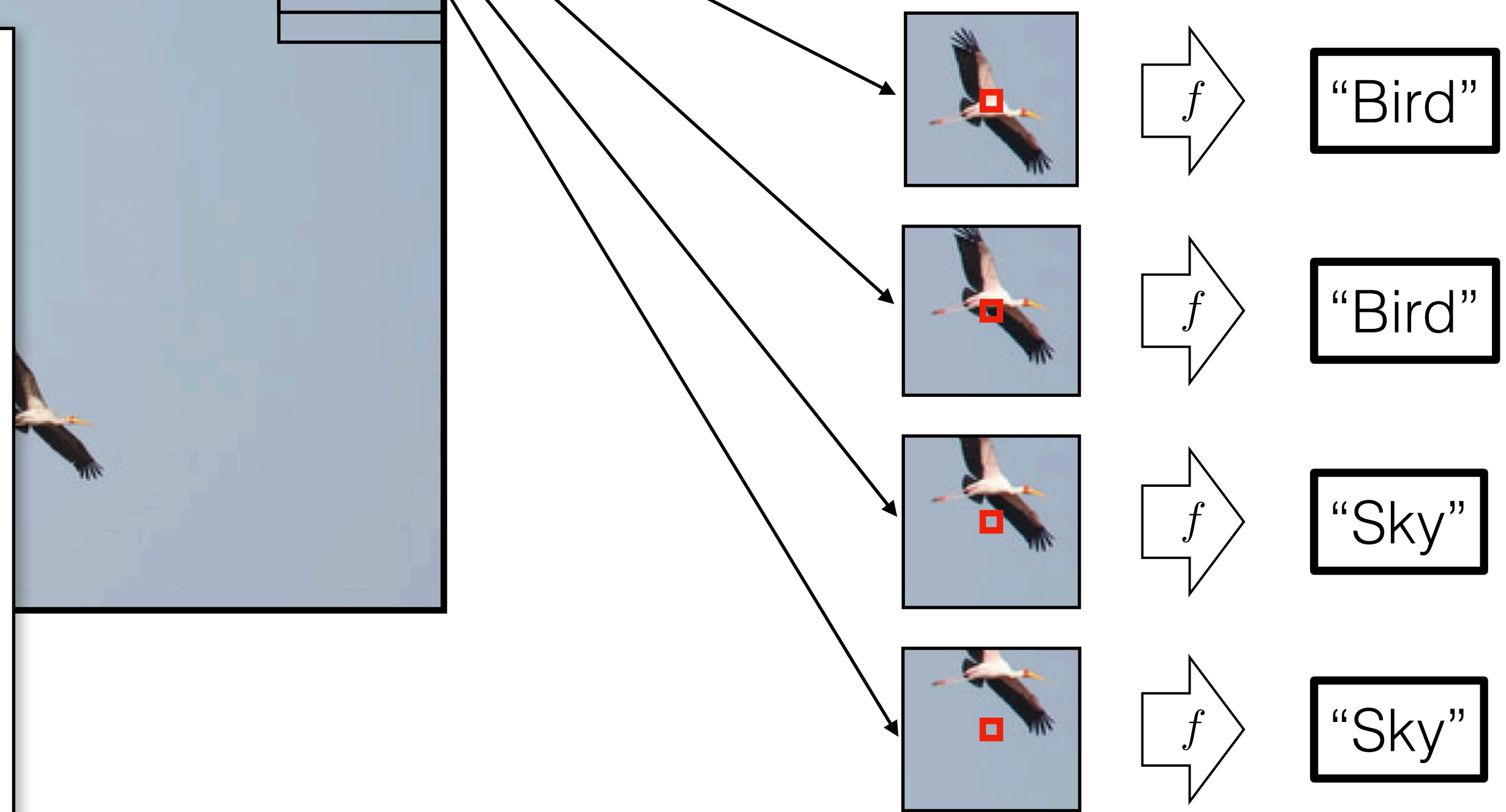
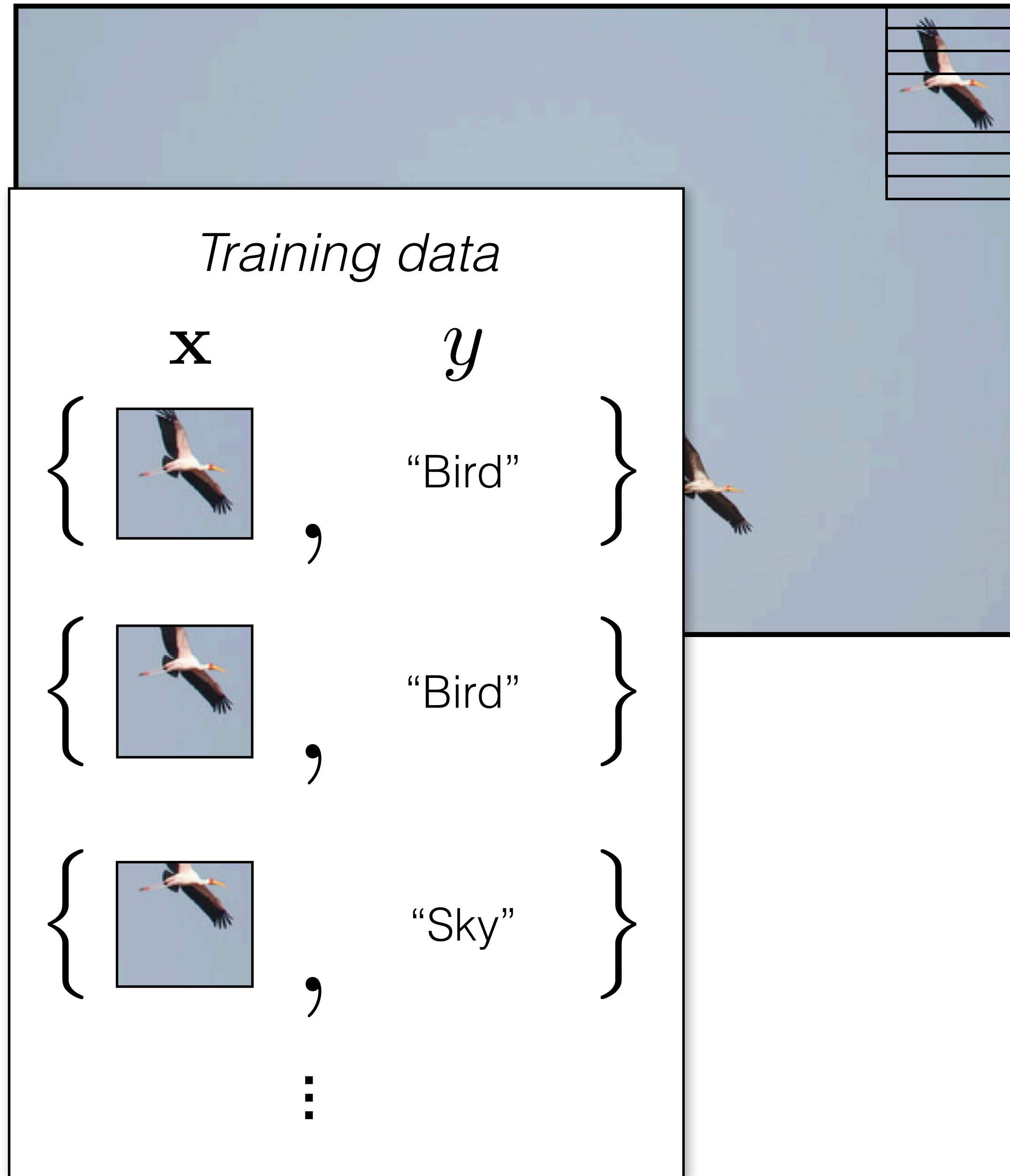


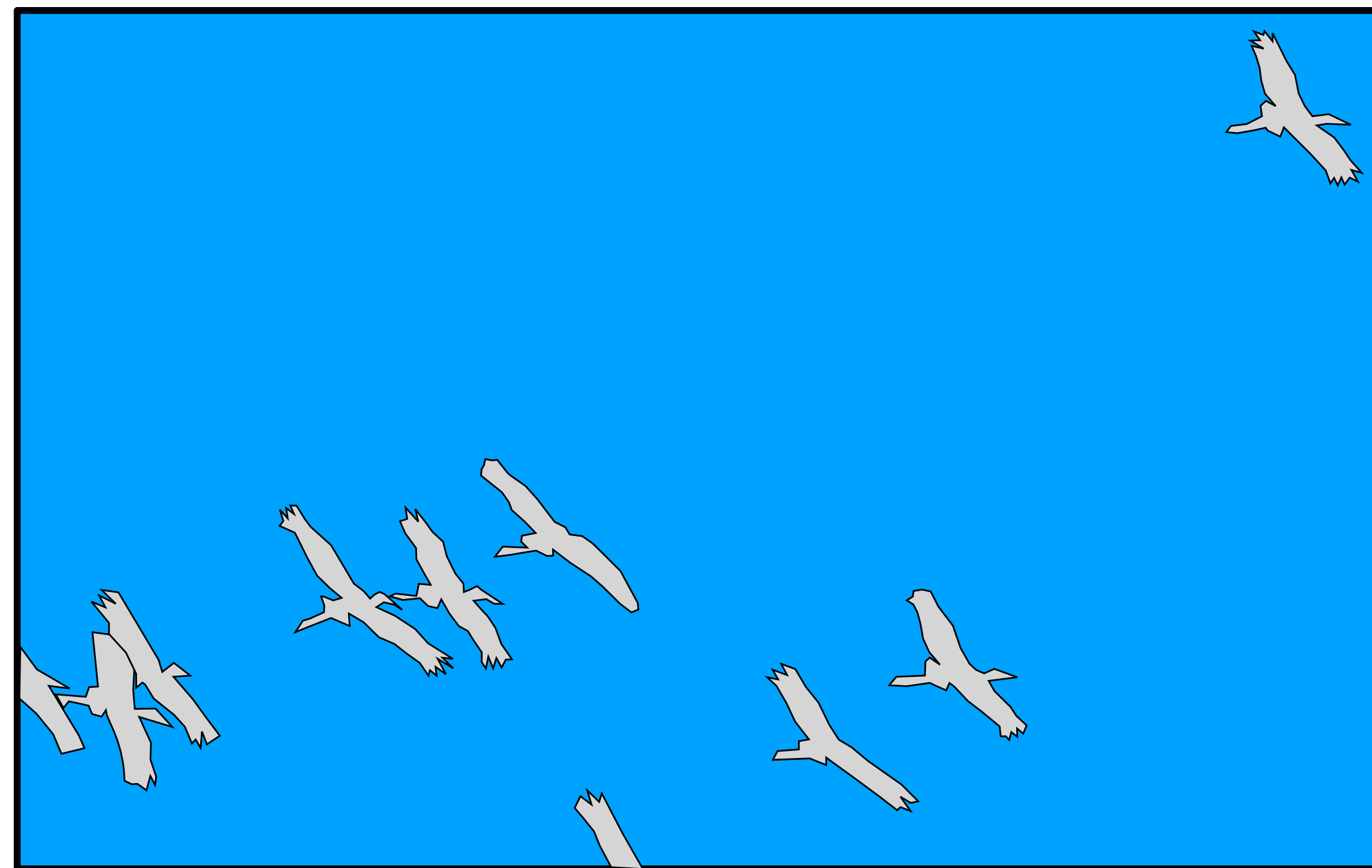
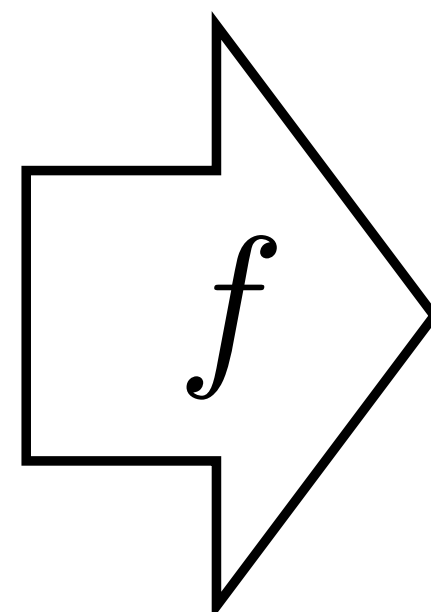
“Sky”



“Sky”

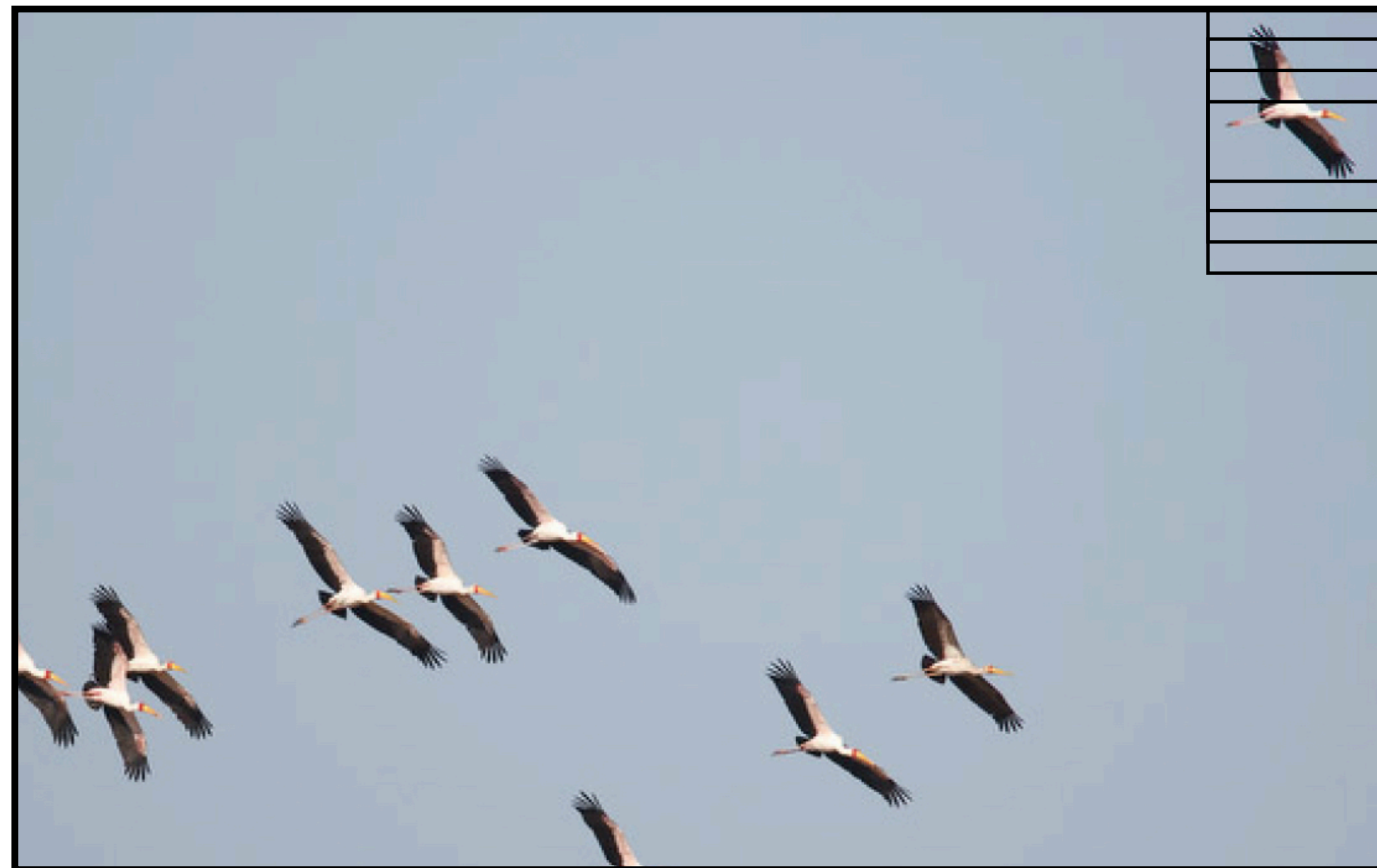
What's the object class of the center pixel?



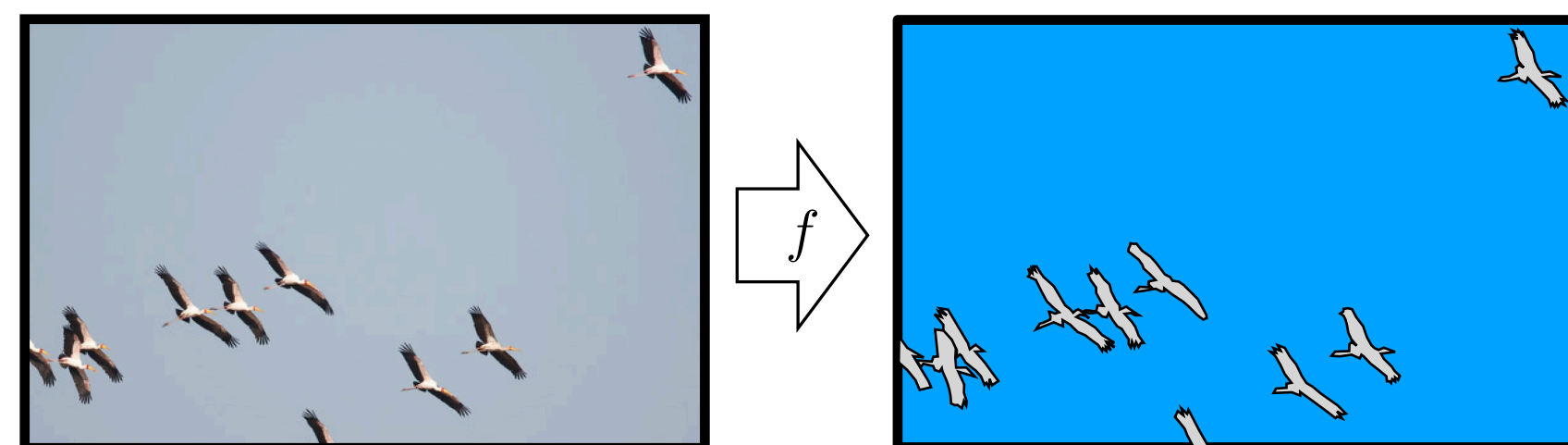
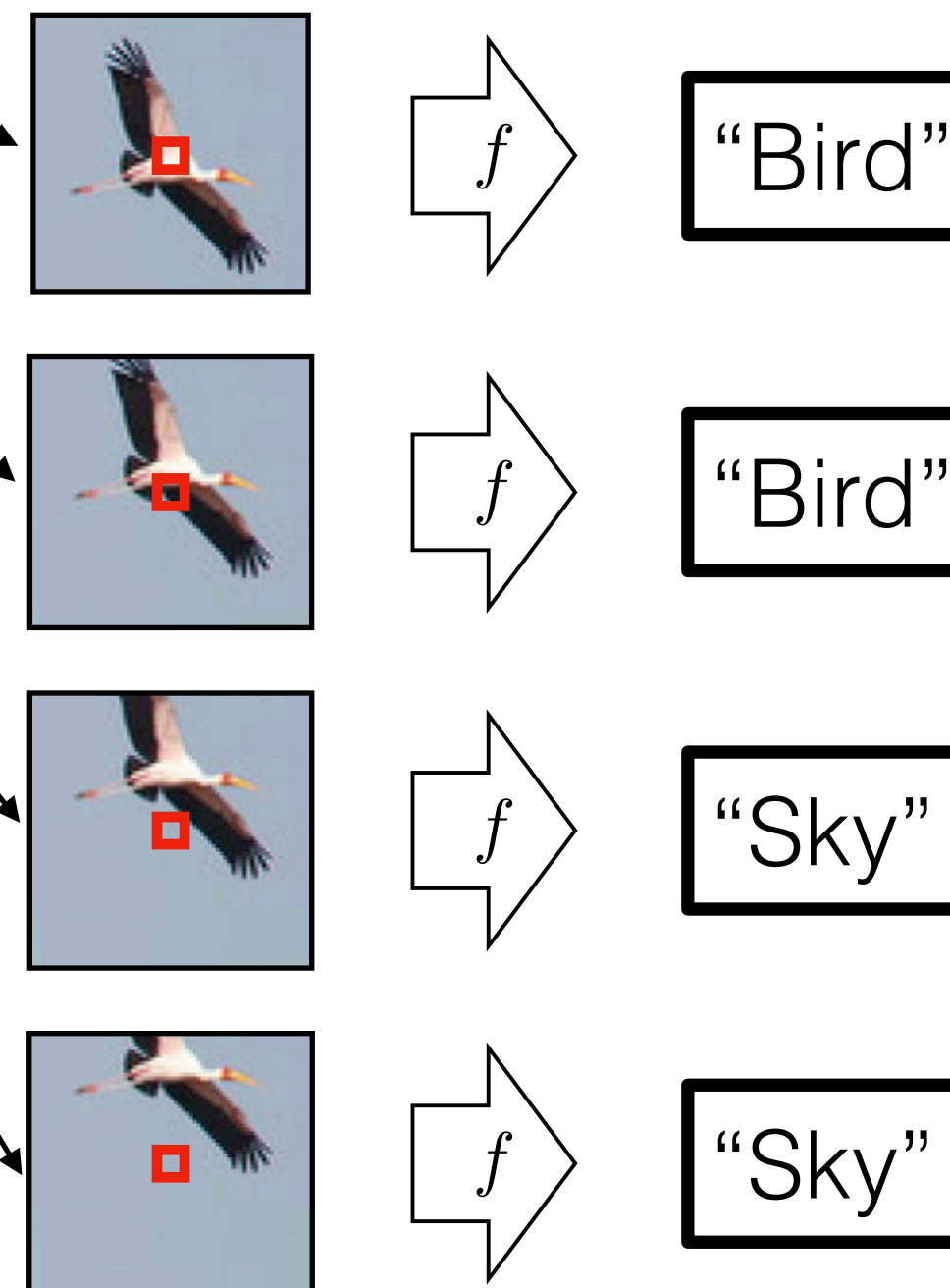


(Colors represent one-hot codes)

This problem is called **semantic segmentation**



What's the object class of the center pixel?

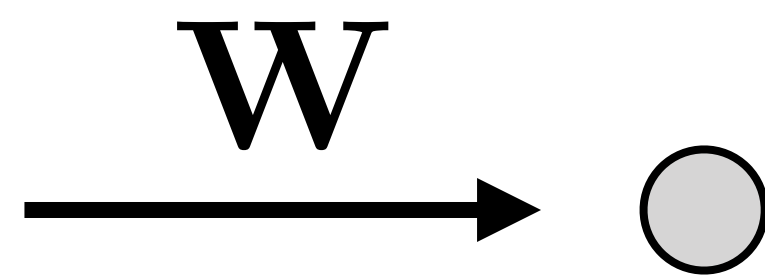
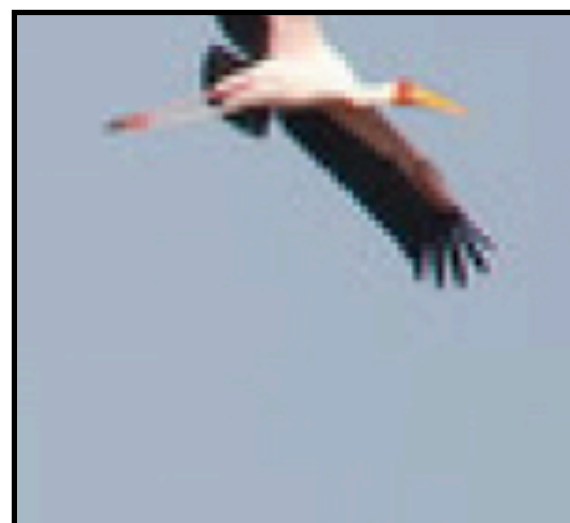
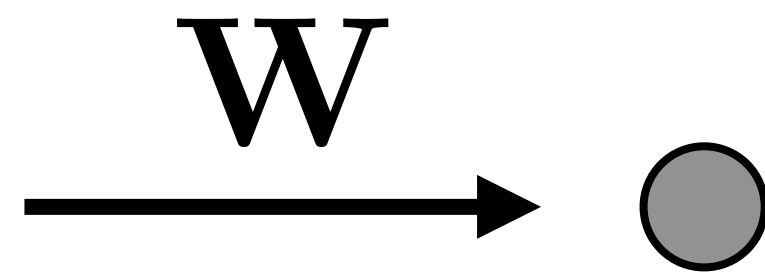
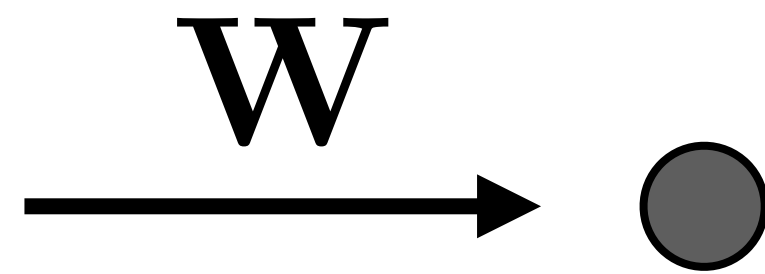
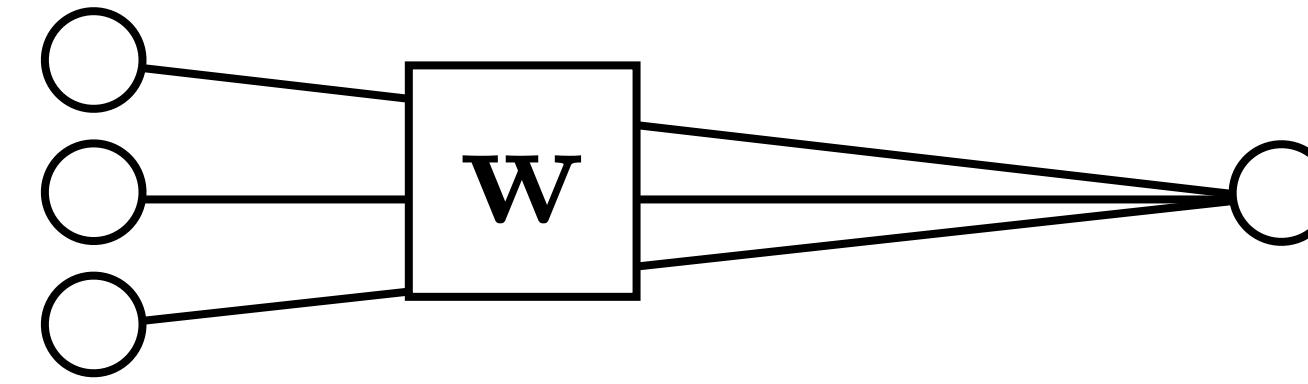


Translation invariance: process each patch in the same way.

An *equivariant* mapping:

$$f(\text{translate}(x)) = \text{translate}(f(x))$$

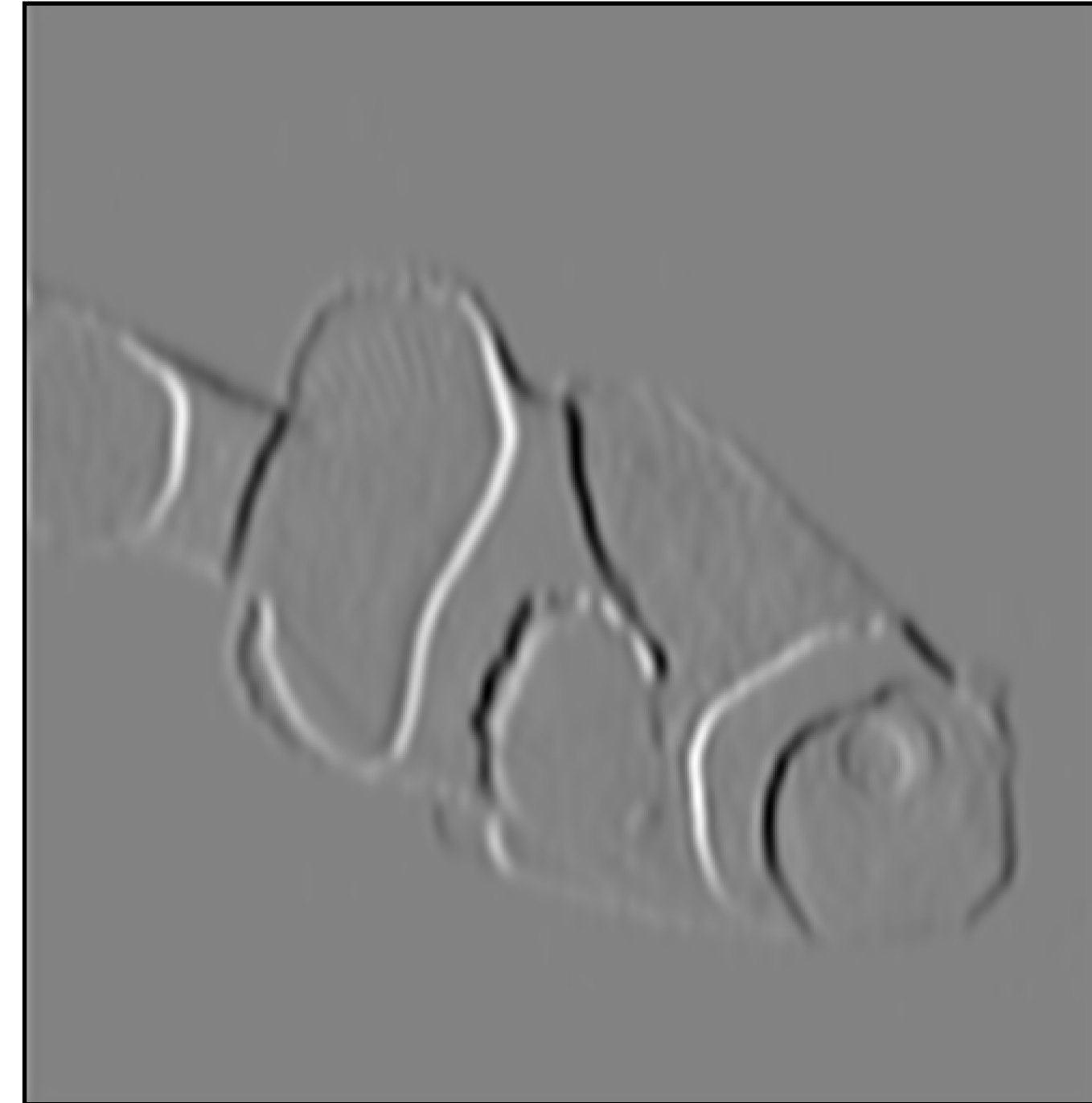
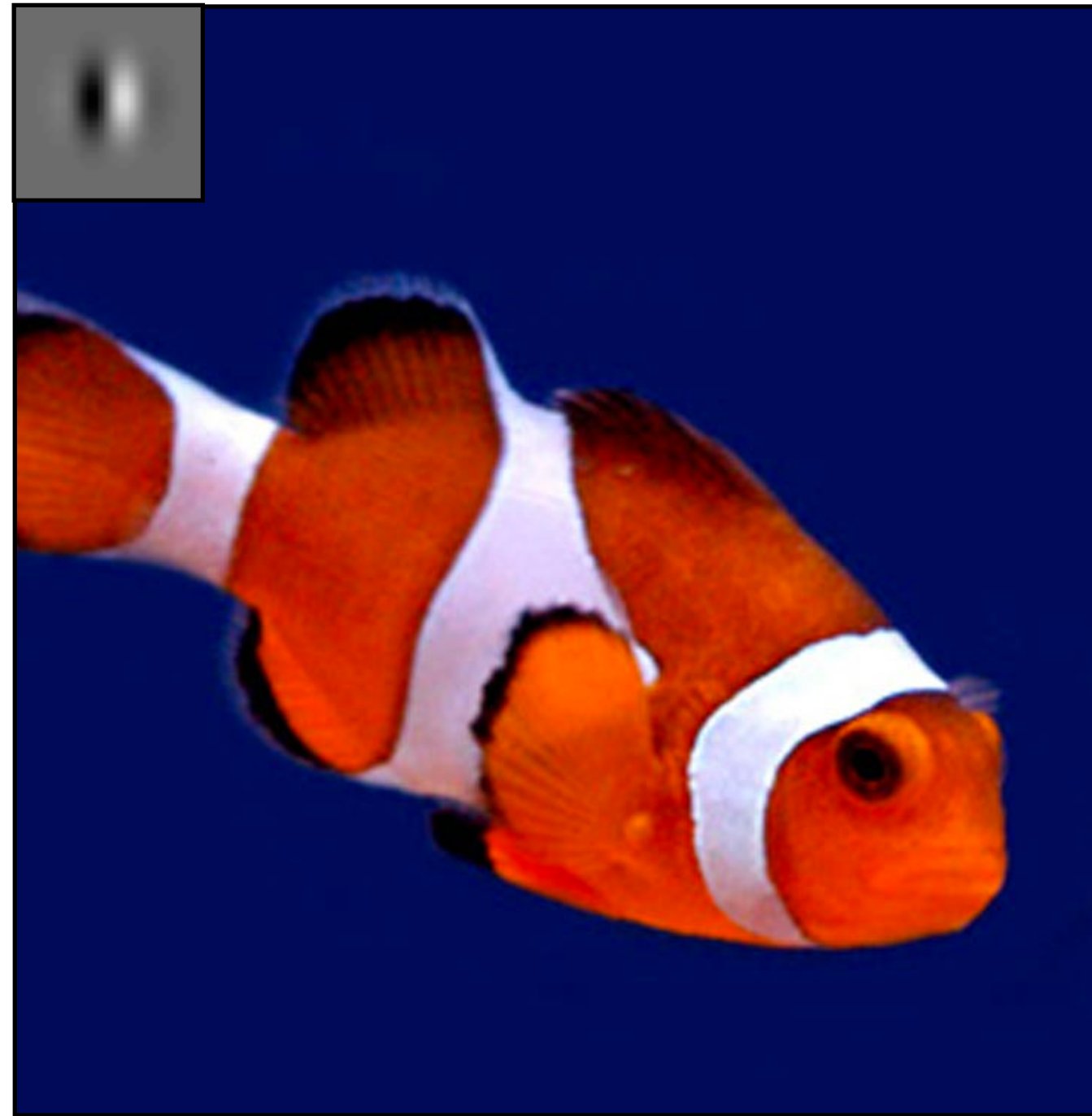
**W** computes a weighted sum of all pixels in the patch



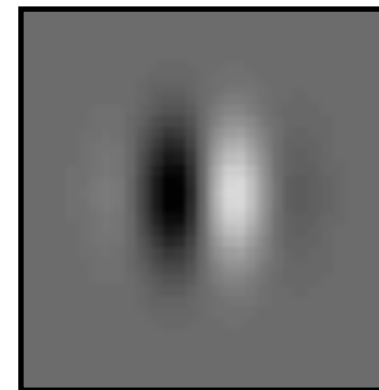
**W** is a convolutional kernel applied to the full image!



# Convolution

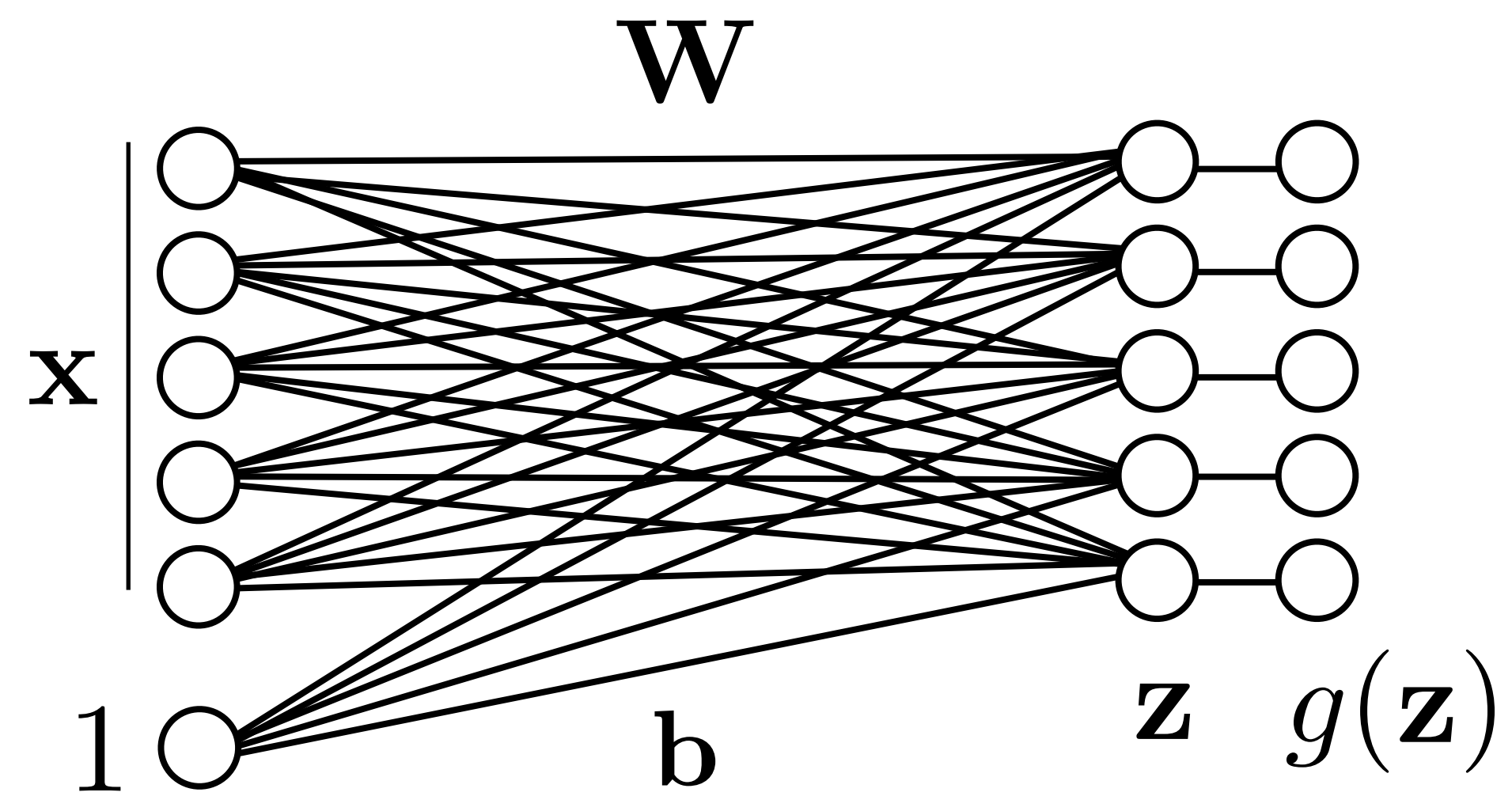


filter

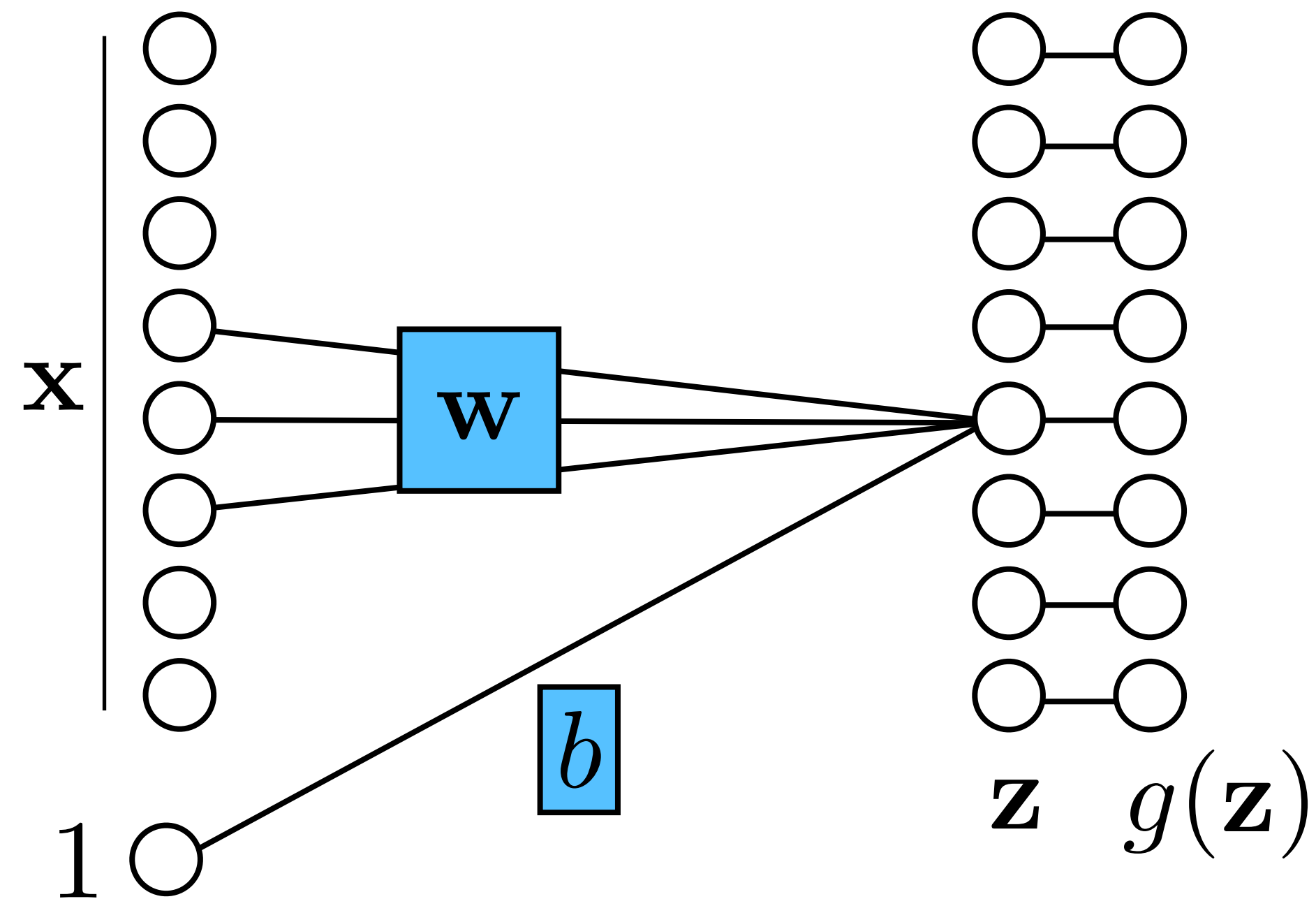


# Fully-connected network

## Fully-connected (fc) layer



# Locally connected network

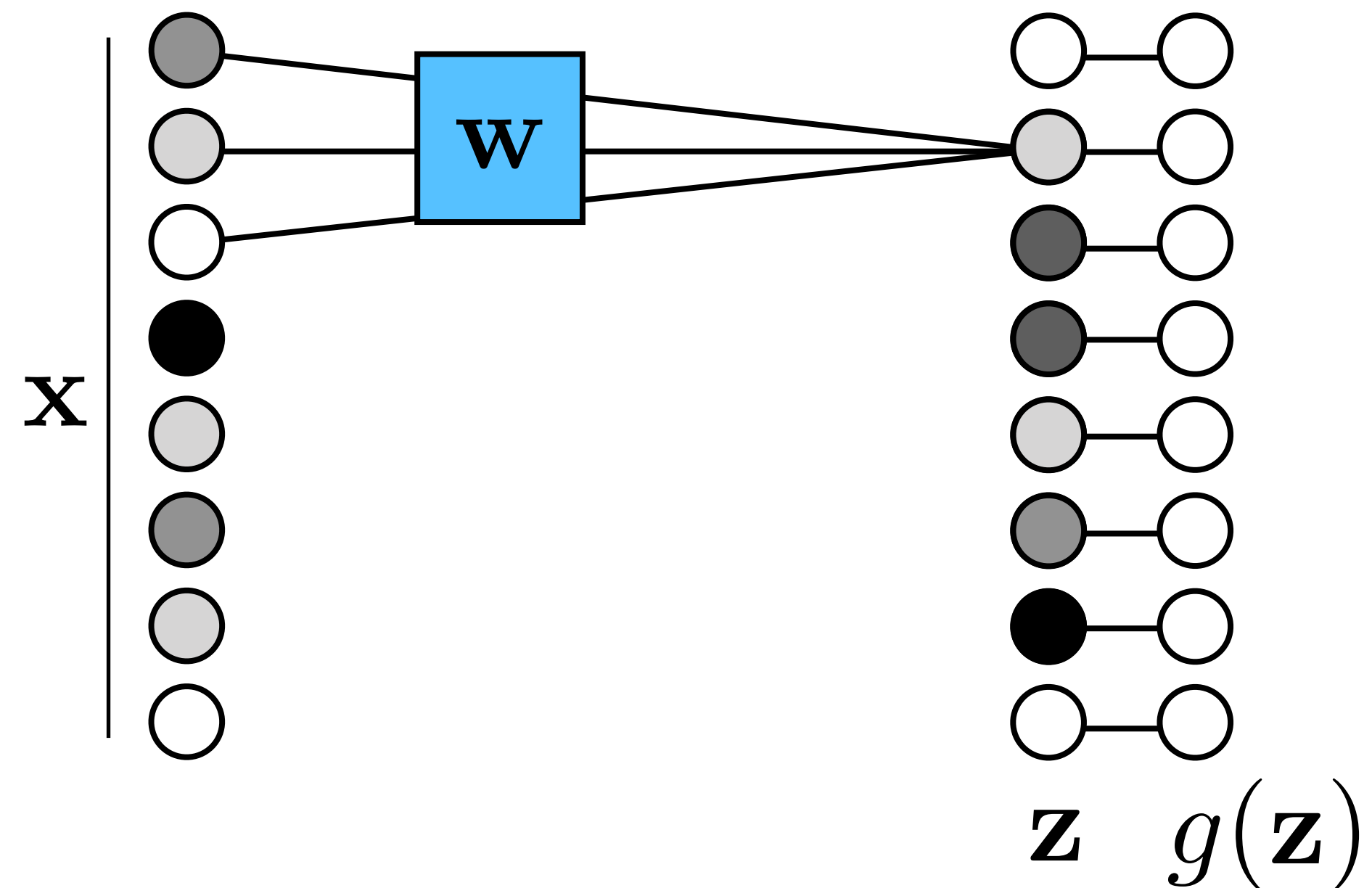


Often, we assume output is a **local** function of input.

If we use the same weights (**weight sharing**) to compute each local function, we get a convolutional neural network.

# Convolutional neural network

## Conv layer



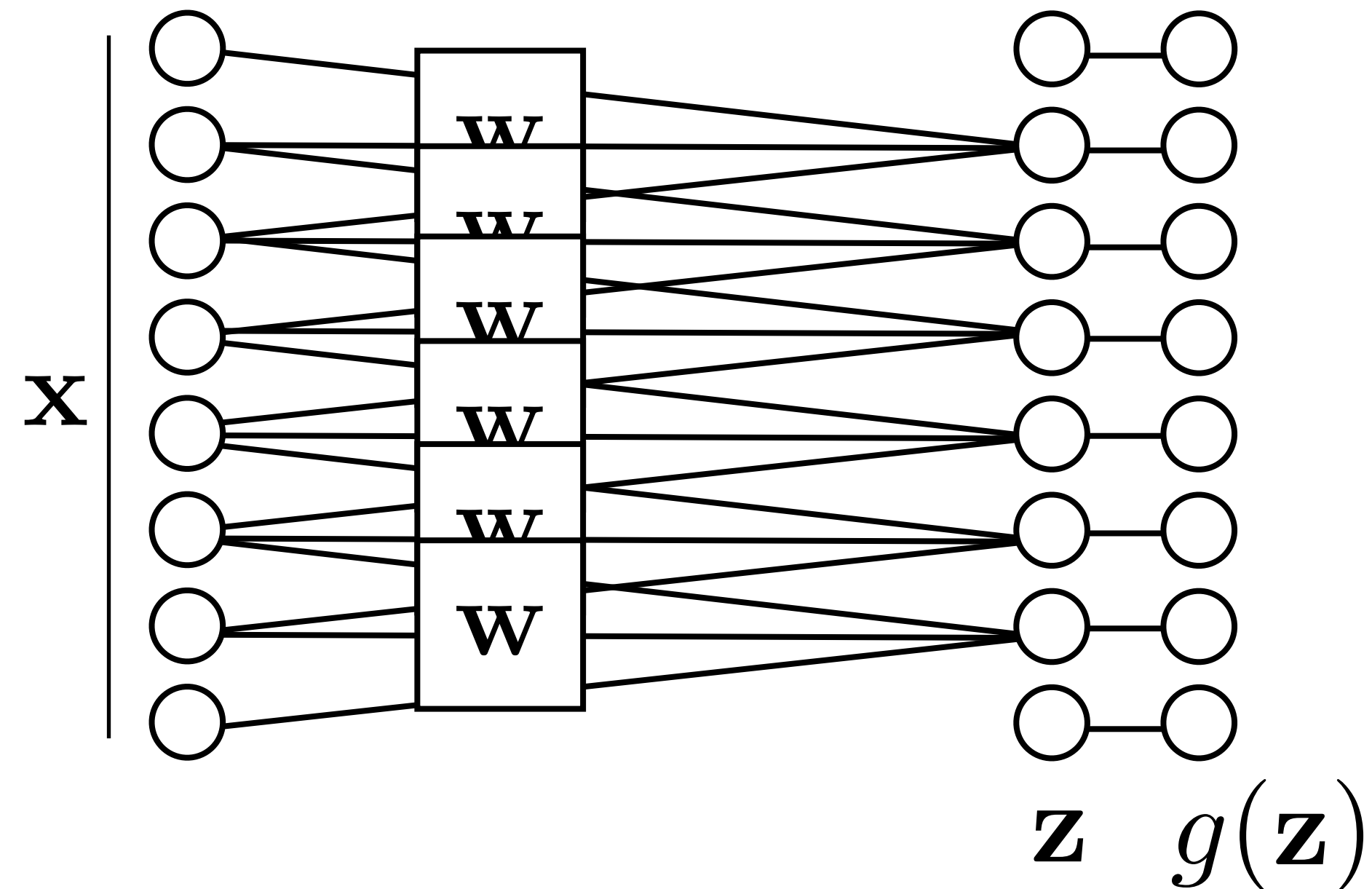
$$\mathbf{z} = \mathbf{w} \circ \mathbf{x} + \mathbf{b}$$

Often, we assume output is a **local** function of input.

If we use the same weights (**weight sharing**) to compute each local function, we get a convolutional neural network.

# Weight sharing

## Conv layer



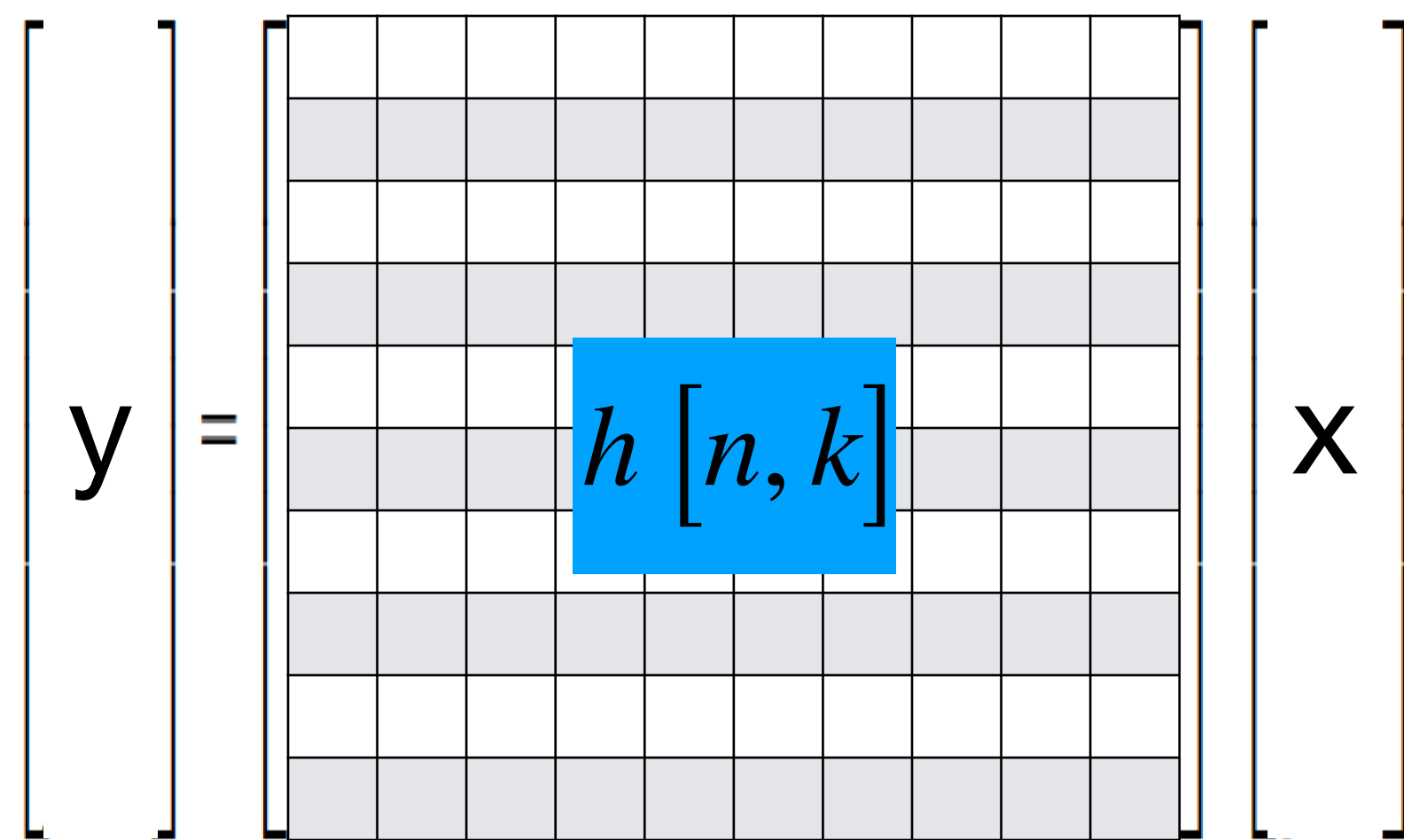
$$\mathbf{z} = \mathbf{w} \circ \mathbf{x} + \mathbf{b}$$

Often, we assume output is a **local** function of input.

If we use the same weights (**weight sharing**) to compute each local function, we get a convolutional neural network.

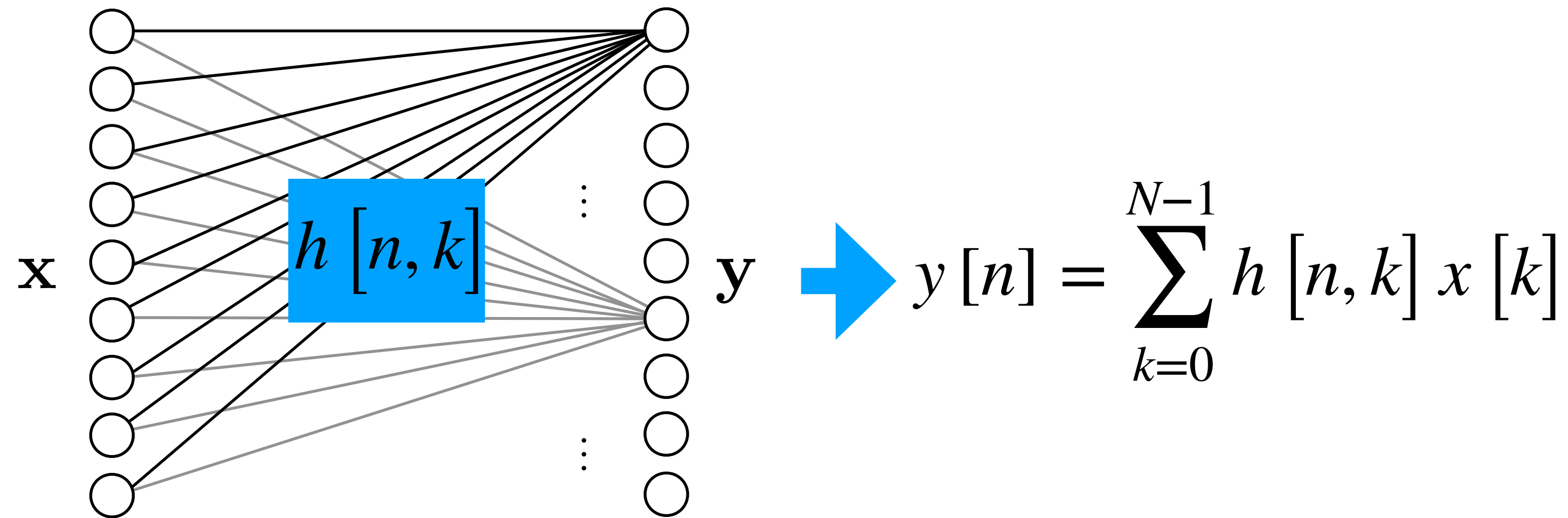
# Linear system: $y = f(\mathbf{x})$

A linear function  $f$  can be written as a matrix multiplication:



$n$  indexes rows,  
 $k$  indexes columns

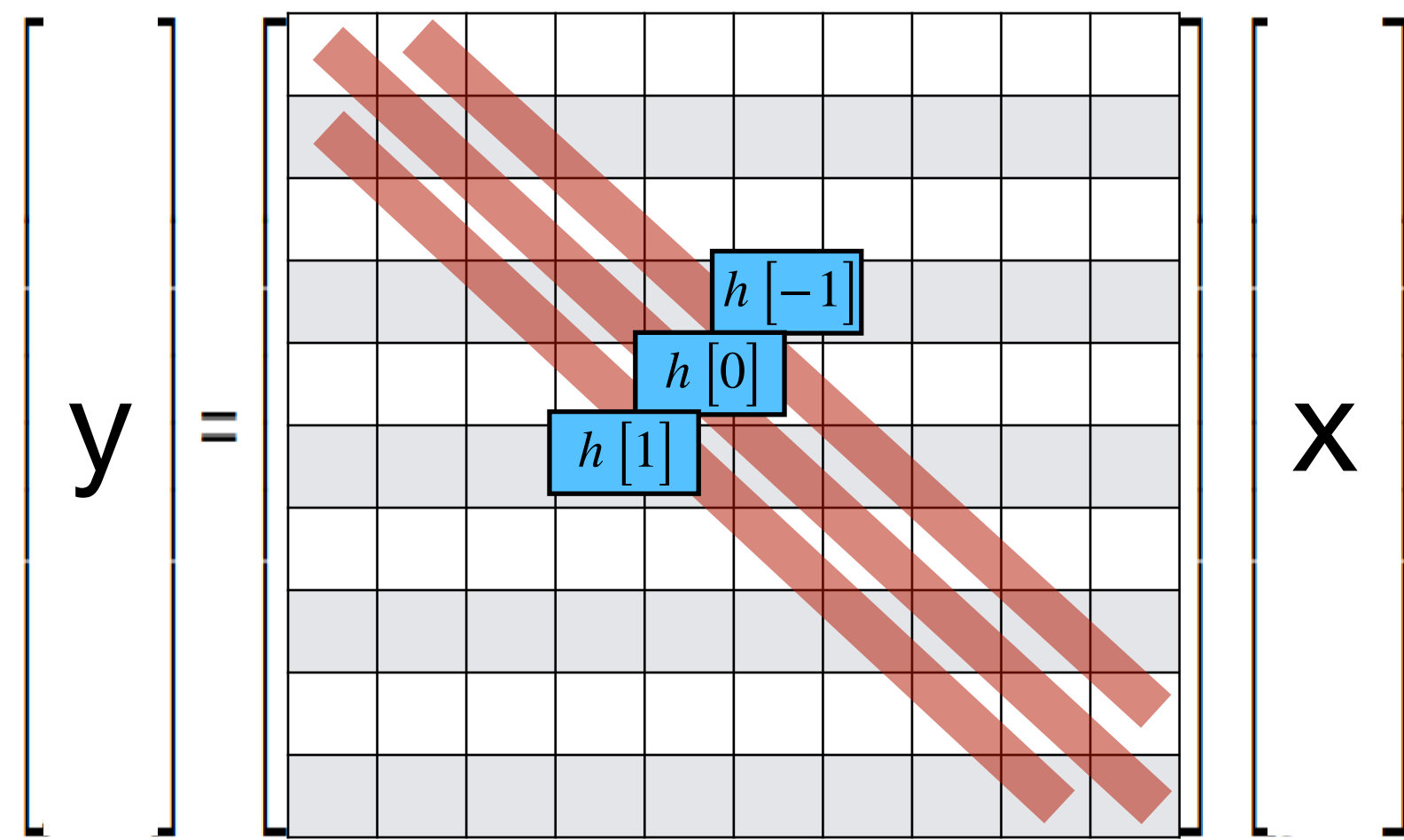
It can also be represented as a fully connected linear neural network



$h[n, k]$  Is the strength of the connection between  $x[k]$  and  $y[n]$

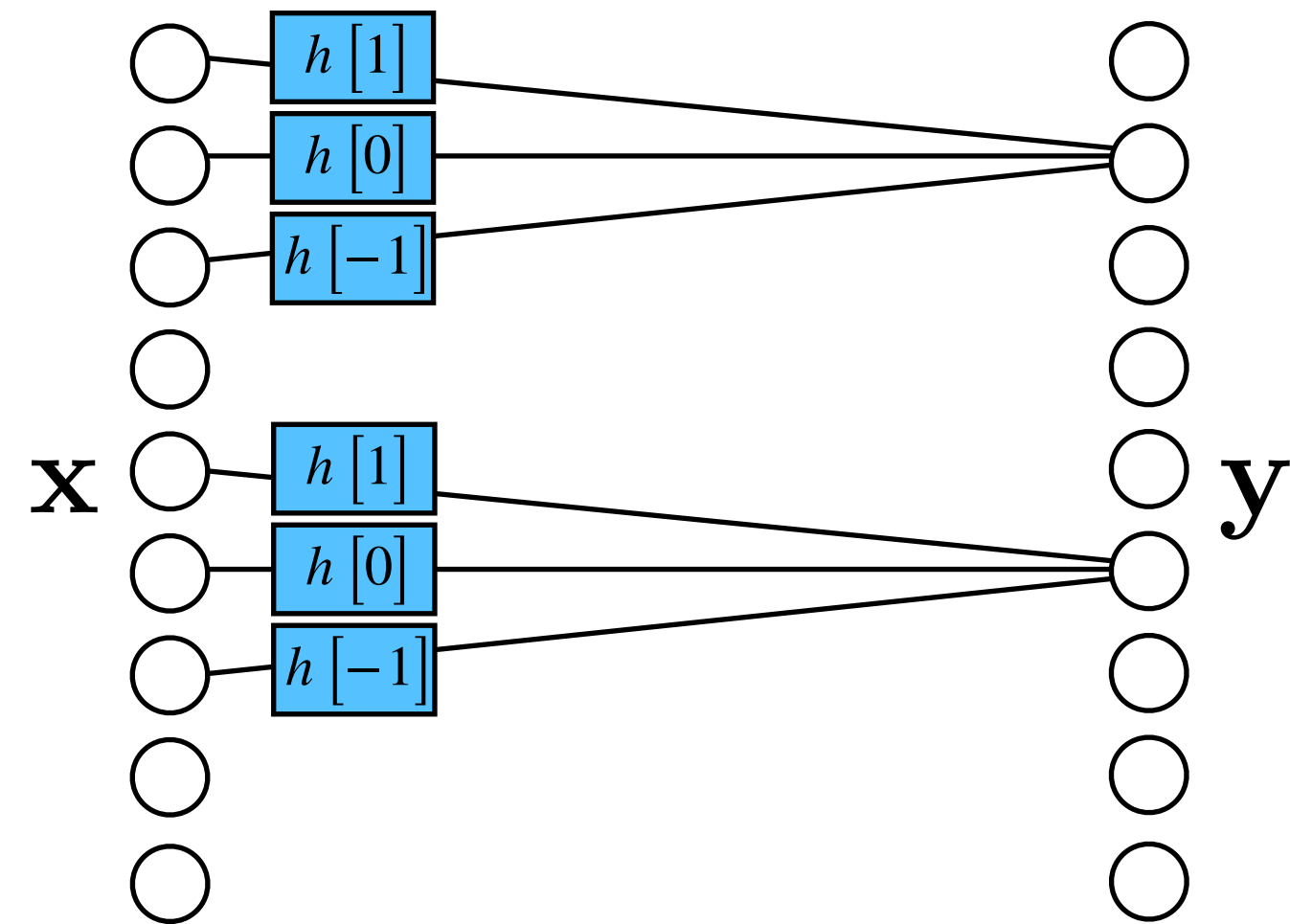
# Convolution

A linear shift invariant (LSI) function  $f$  can be written as a matrix multiplication:



$h[n - k]$   $n$  indexes rows,  
 $k$  indexes columns

It can also be represented as a convolutional layer of neural net:



$h[n - k]$  is the strength of the connection between  $x[k]$  and  $y[n]$

$$y[n] = \sum_{k=-1}^1 h[k] x[n - k]$$

### Toeplitz matrix

$$\begin{pmatrix} a & b & c & d & e \\ f & a & b & c & d \\ g & f & a & b & c \\ h & g & f & a & b \\ i & h & g & f & a \end{pmatrix}$$



**y**

=



\*



**x**

e.g., pixel image

- Constrained linear layer
- Fewer parameters  $\rightarrow$  easier to learn, less overfitting





$y$

$=$



$*$

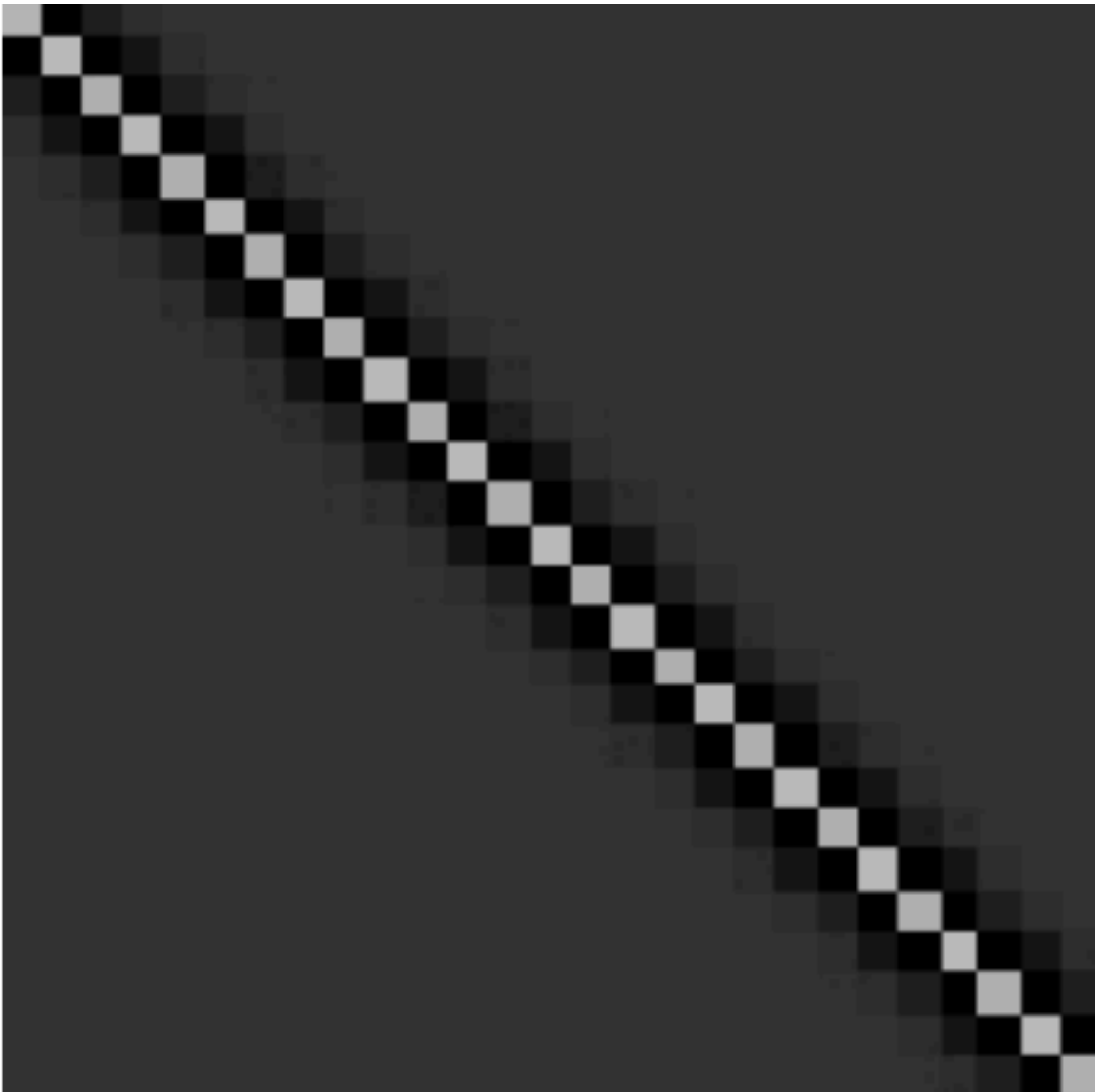


$x$



$y$

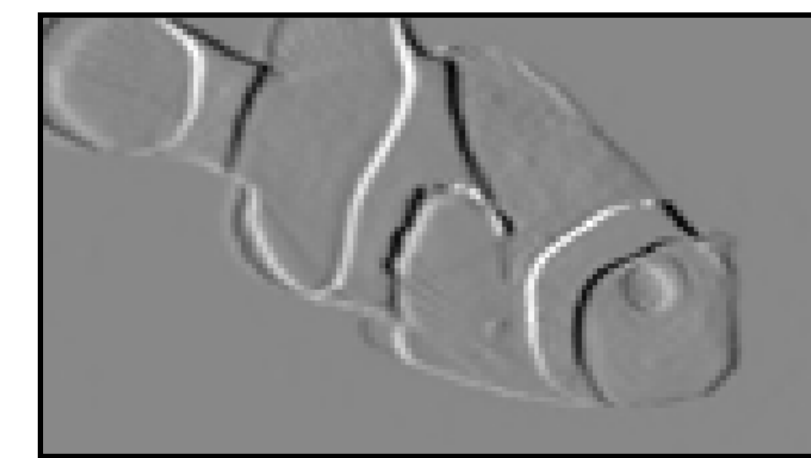
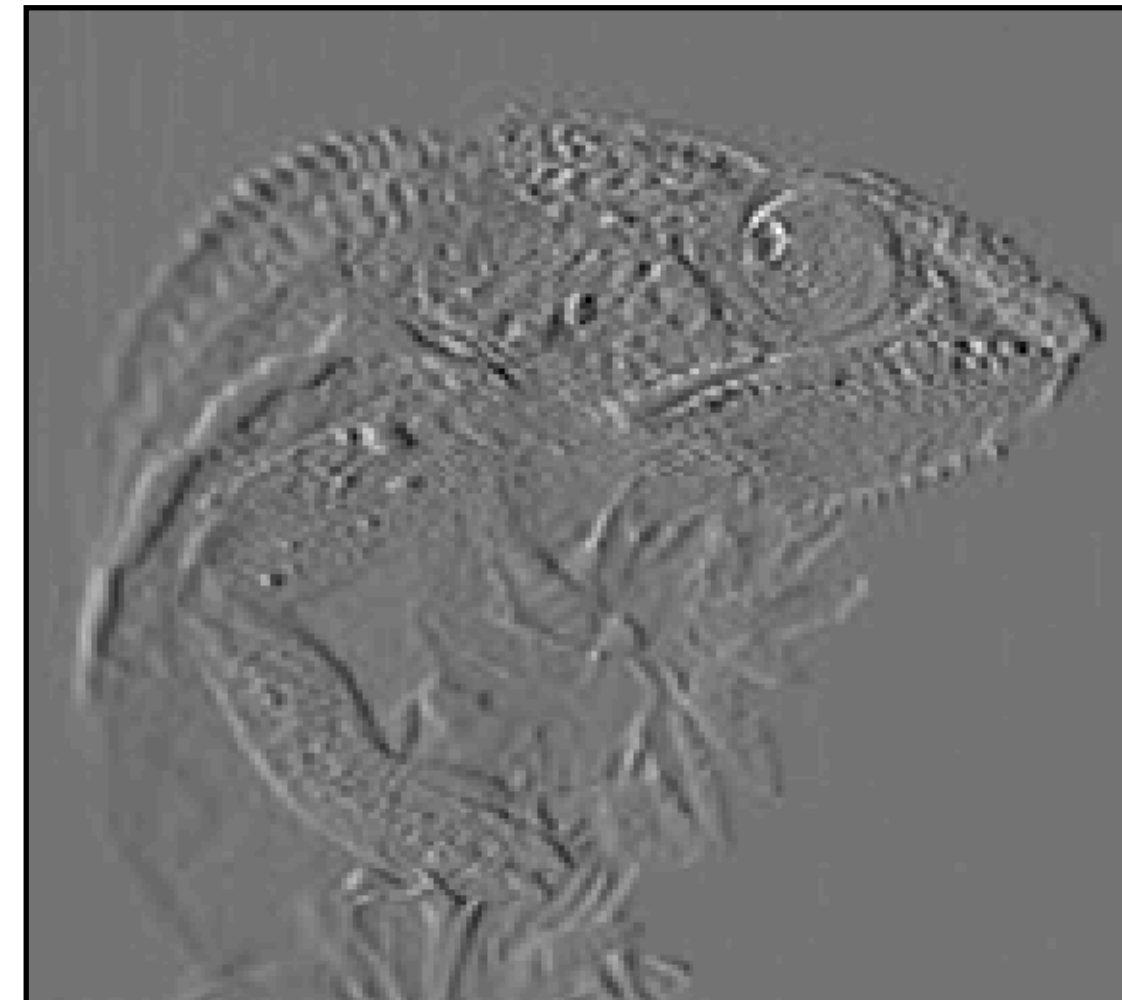
=



\*



$x$



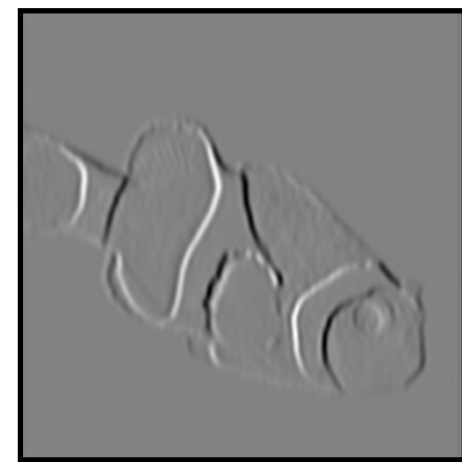
Conv layers can be applied to arbitrarily-sized inputs

# Five views on convolutional layers

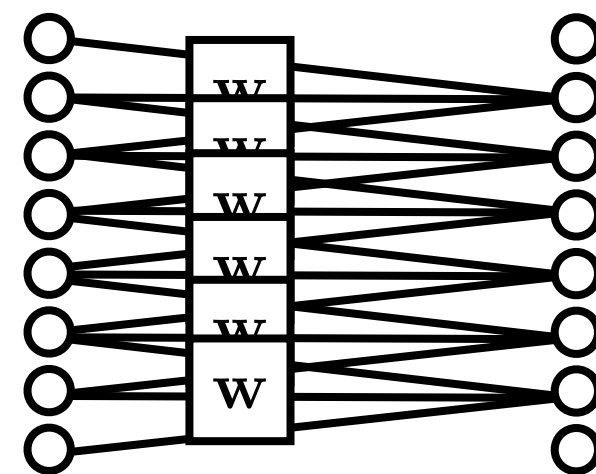
1. Equivariant with translation  $f(\text{translate}(x)) = \text{translate}(f(x))$

2. Patch processing

3. Image filter



4. Parameter sharing

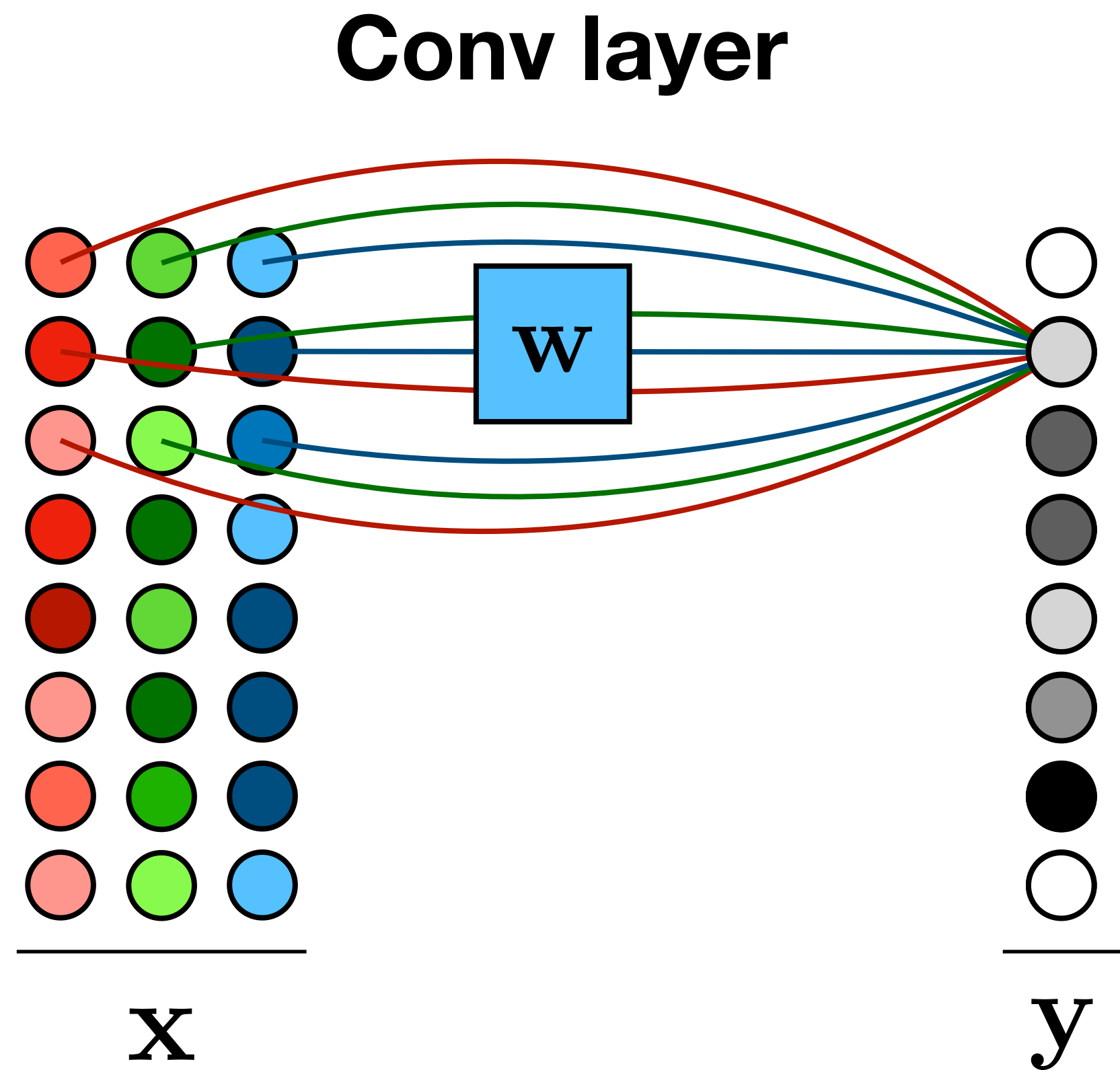


5. A way to process variable-sized tensors

# What if we have color?

(aka multiple input channels?)

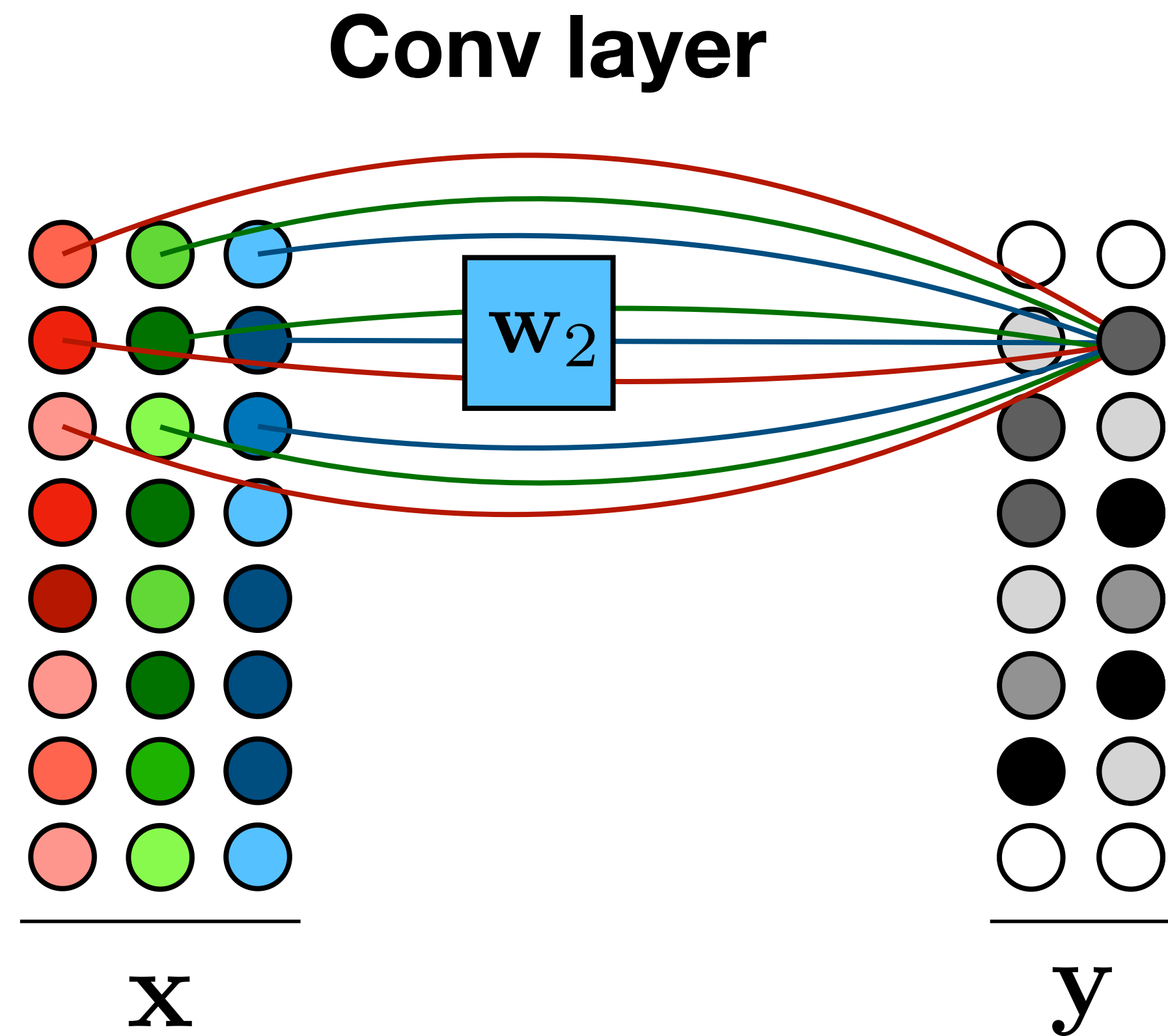
# Multiple channel inputs



$$\mathbf{y} = \sum_c \mathbf{w}_c \circ \mathbf{x}_c$$

$$\mathbb{R}^{N \times C} \rightarrow \mathbb{R}^{N \times 1}$$

# Multiple channel *outputs*

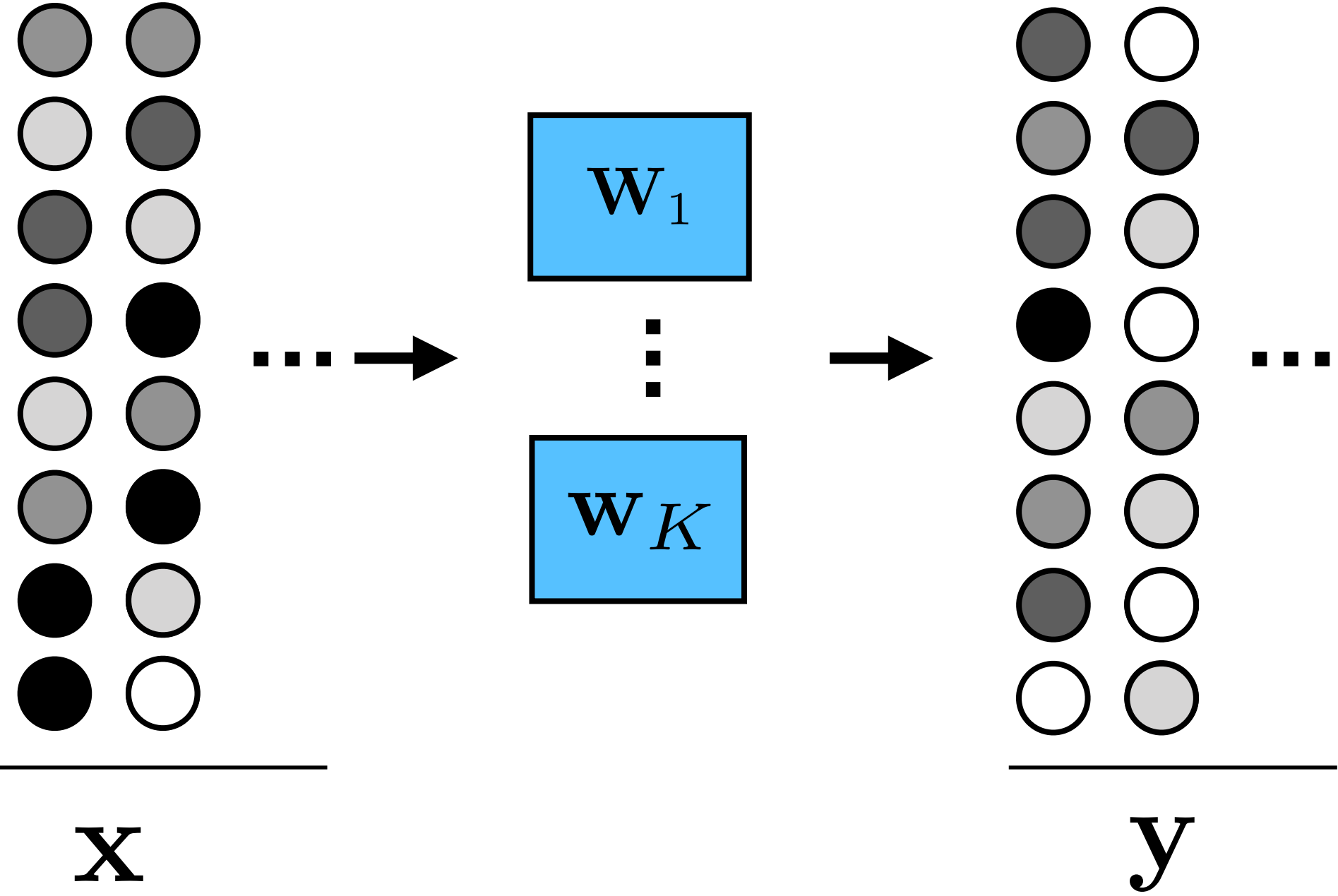


$$y_k = \sum_c \mathbf{w}_{k_c} \circ \mathbf{x}_c$$

$$\mathbb{R}^{N \times C} \rightarrow \mathbb{R}^{N \times K}$$

# Multiple channels

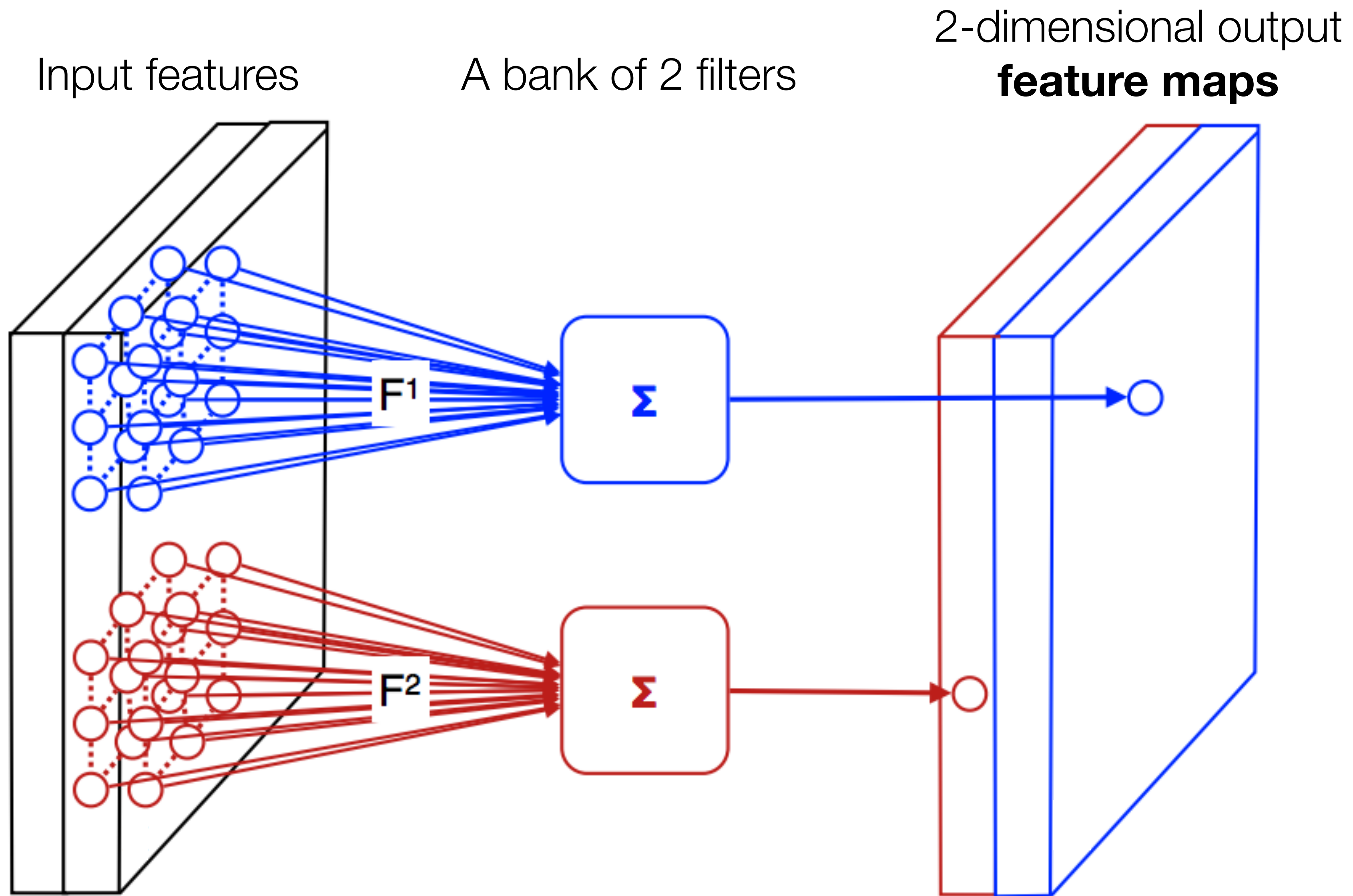
## Conv layer



$$y_k = \sum_c \mathbf{w}_{k_c} \circ \mathbf{x}_c$$

$$\mathbb{R}^{N \times C} \rightarrow \mathbb{R}^{N \times K}$$





$$\mathbf{x}_l \in \mathbb{R}^{H \times W \times C_l} \quad \longrightarrow \quad \mathbf{x}_{(l+1)} \in \mathbb{R}^{H \times W \times C_{(l+1)}}$$

[Figure modified from Andrea Vedaldi]

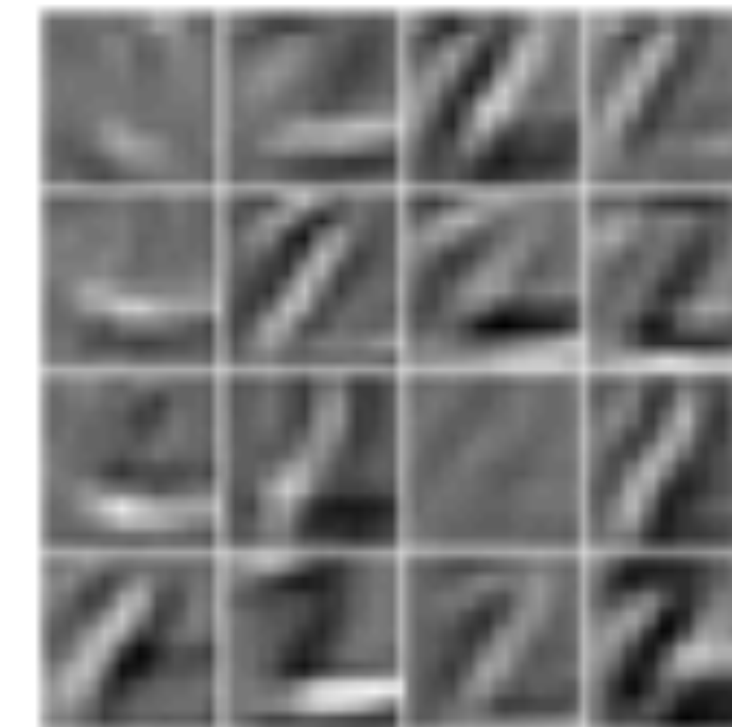
# Feature maps



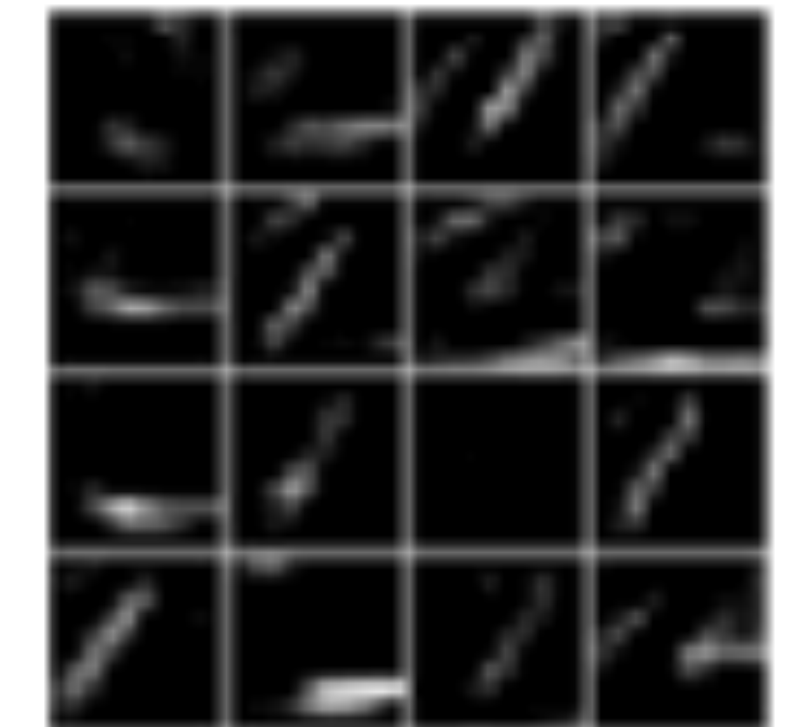
conv1



relu1



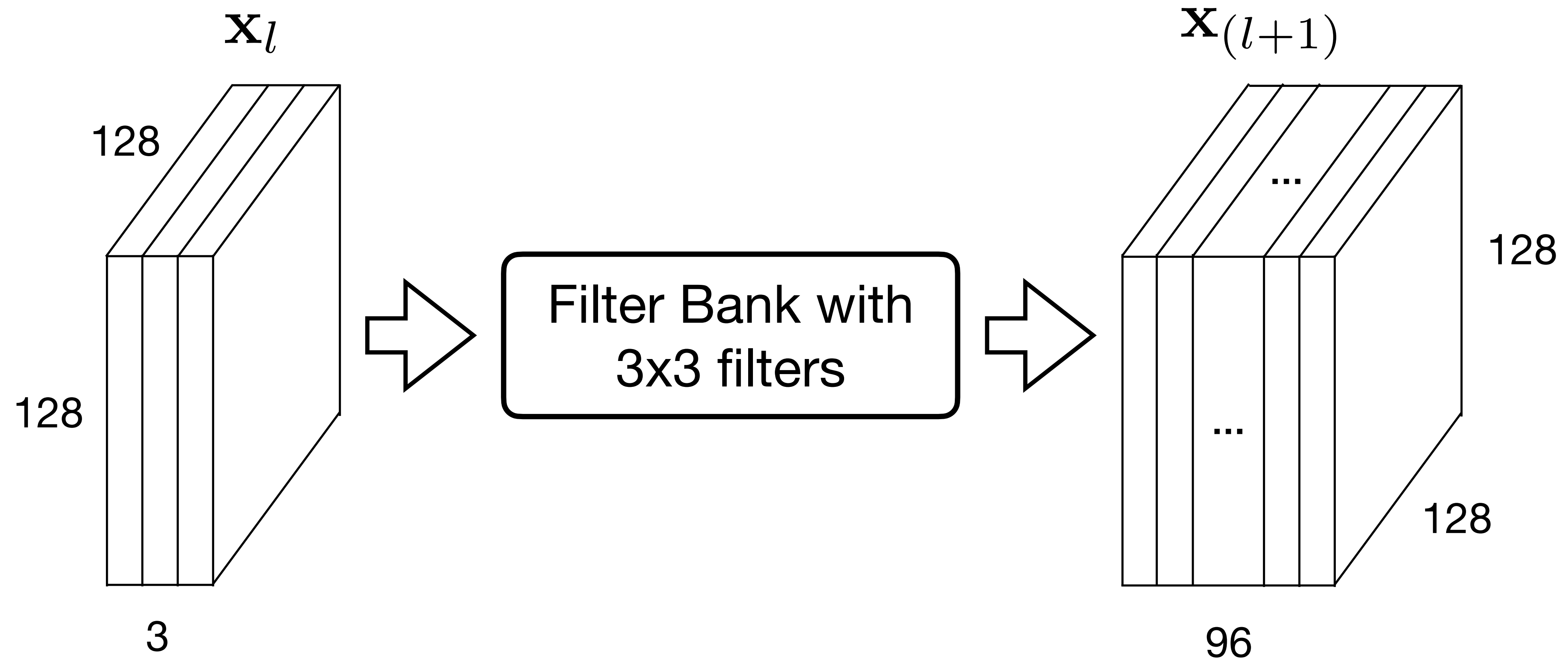
conv2



relu2

- Each layer can be thought of as a set of  $C$  **feature maps** aka **channels**
- Each feature map is an  $N \times M$  image

# Multiple channels: Example



How many parameters does each *filter* have?

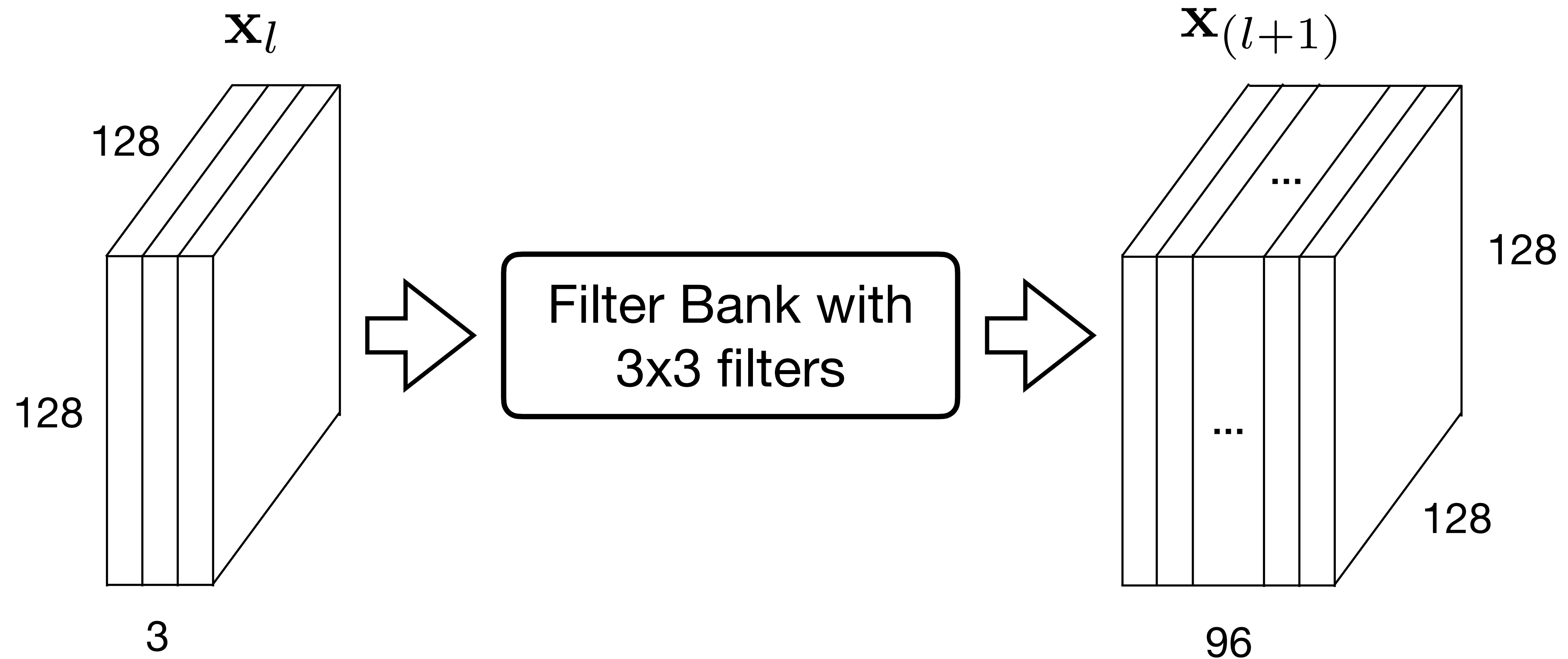
(a) 9

(b) 27

(c) 96

(d) 864

# Multiple channels: Example



How many filters are in the bank?

- (a) 3      (b) 27      (c) 96      (d) can't say

# Filter sizes

When mapping from

$$\mathbf{x}_l \in \mathbb{R}^{H \times W \times C_l} \quad \longrightarrow \quad \mathbf{x}_{(l+1)} \in \mathbb{R}^{H \times W \times C_{(l+1)}}$$

using an filter of spatial extent  $M \times N$

Number of parameters per filter:  $M \times N \times C_l$

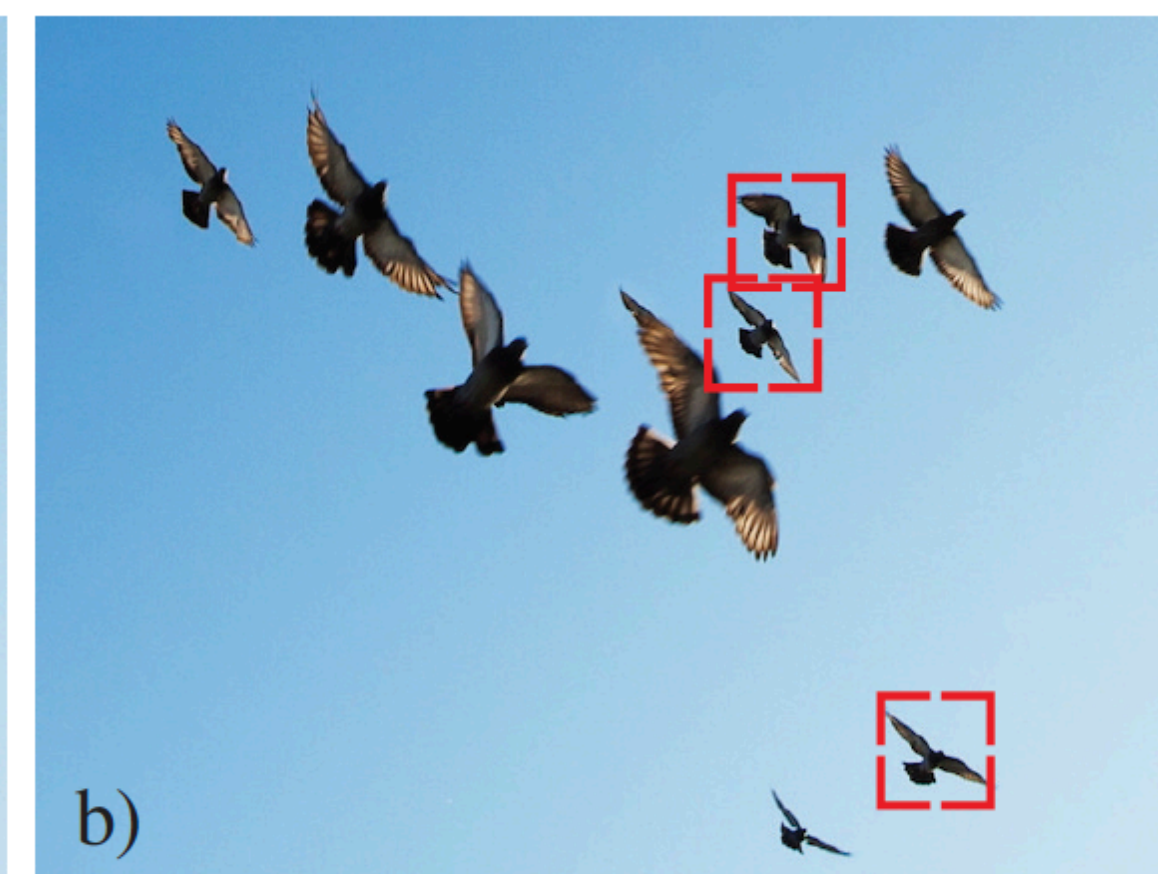
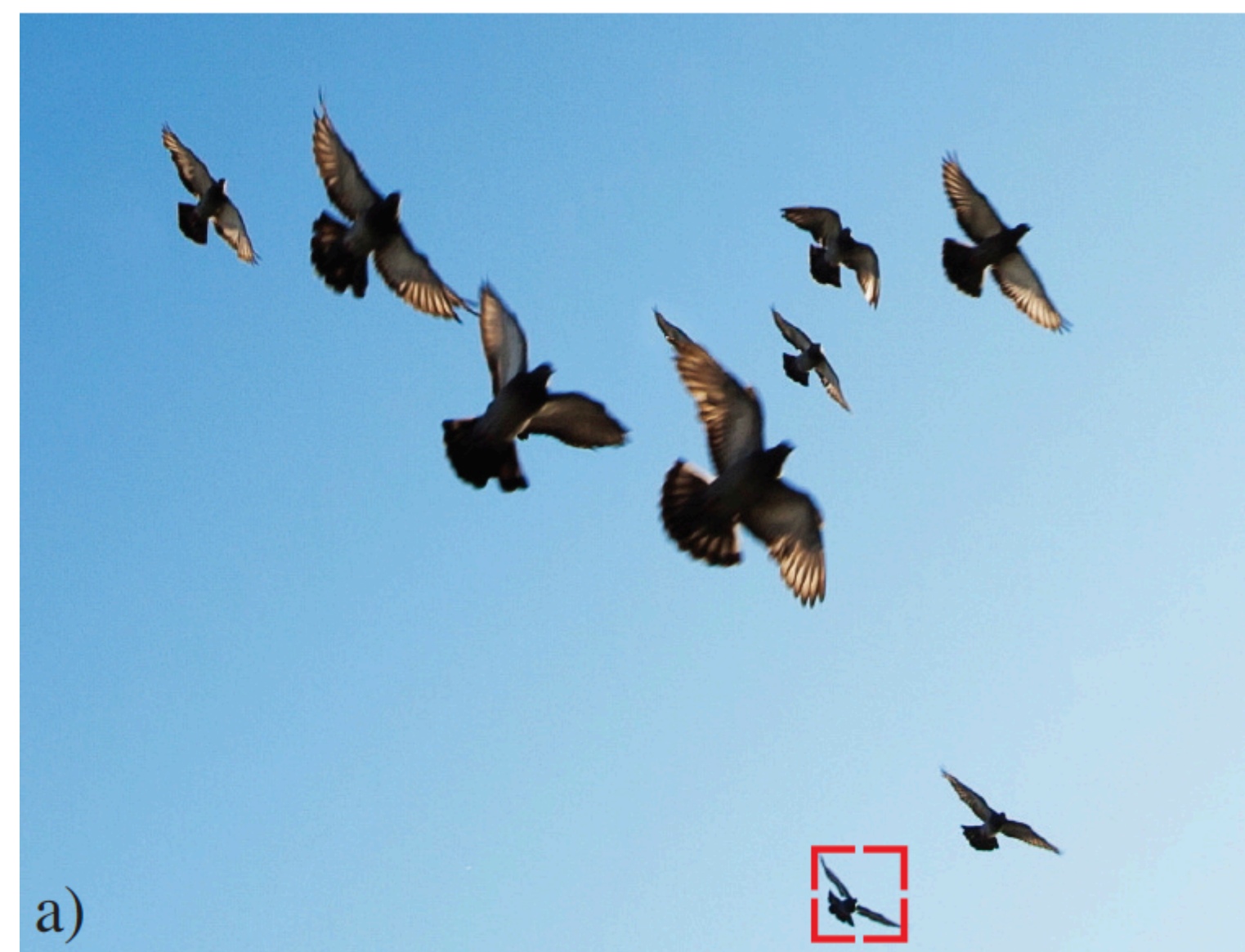
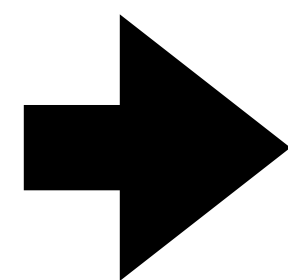
Number of filters:  $C_{(l+1)}$

# Pooling and downsampling

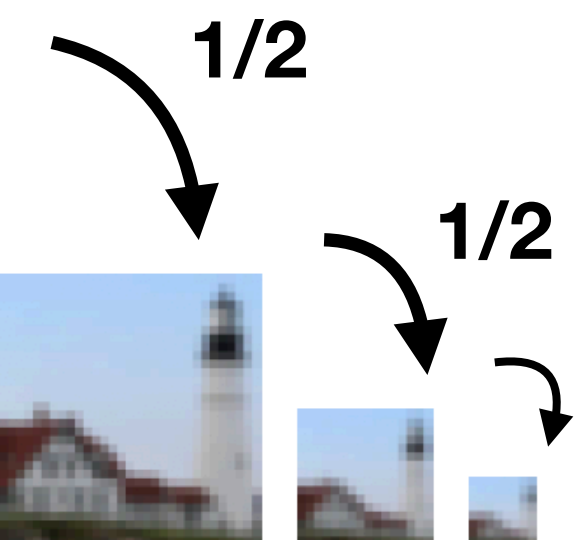
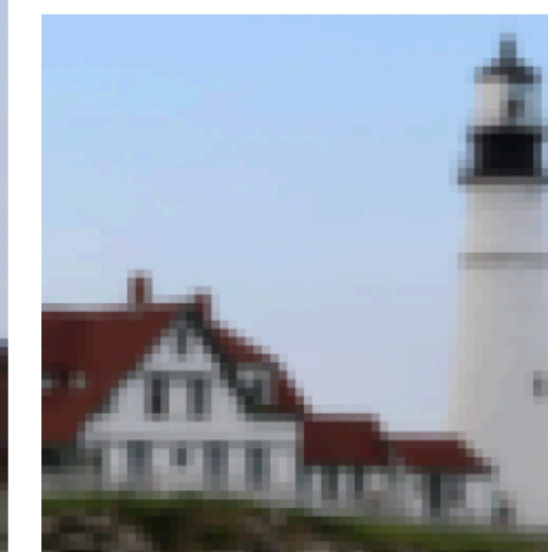
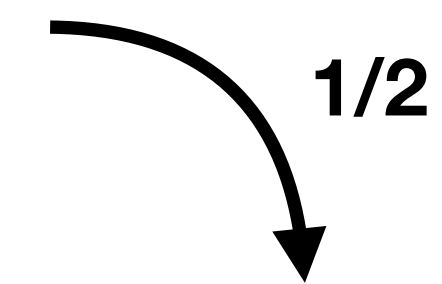
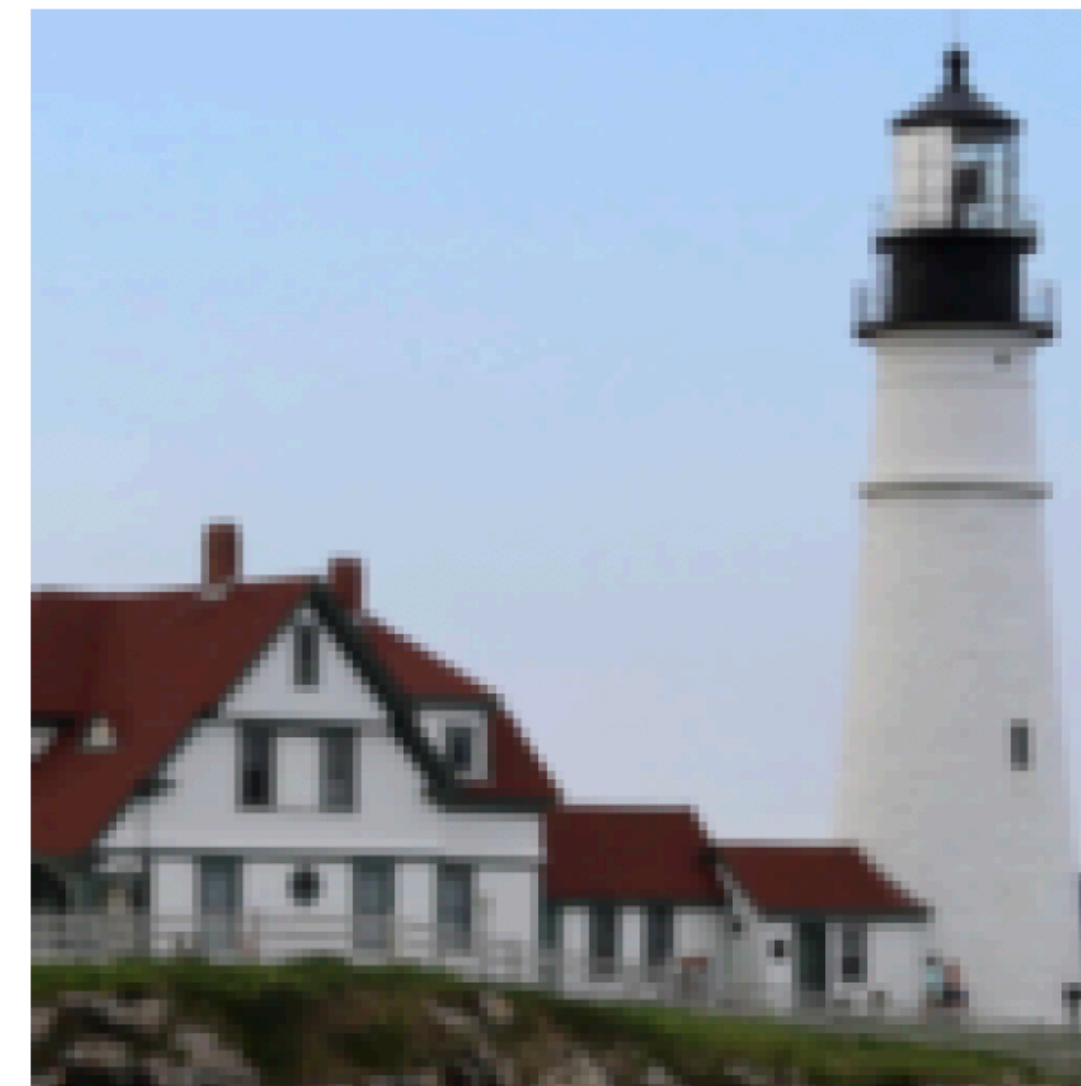
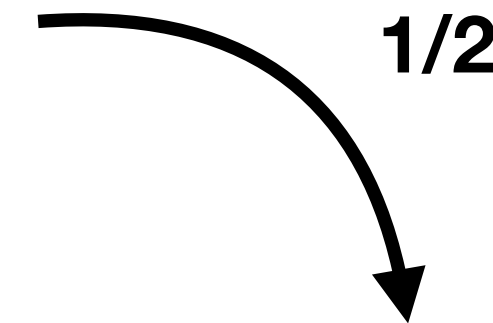


We need translation and **scale** invariance

# Image pyramids

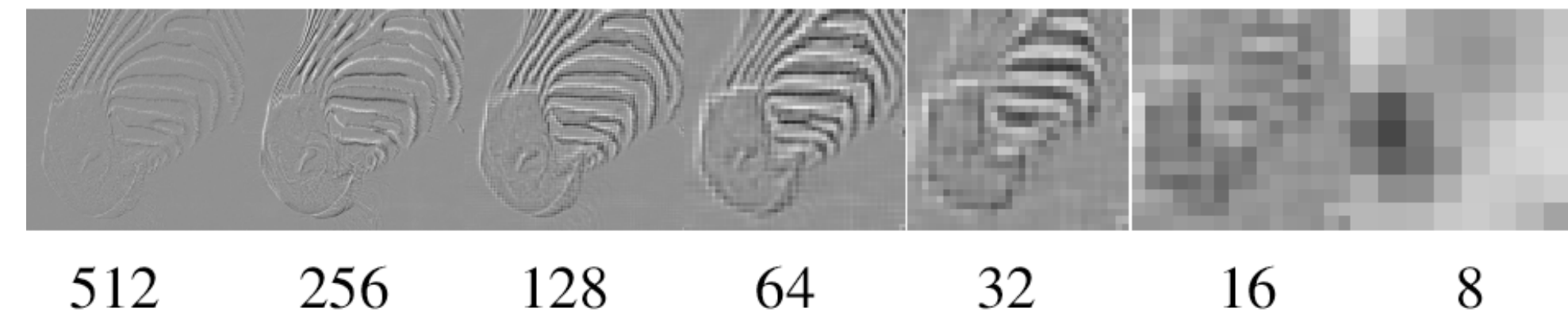
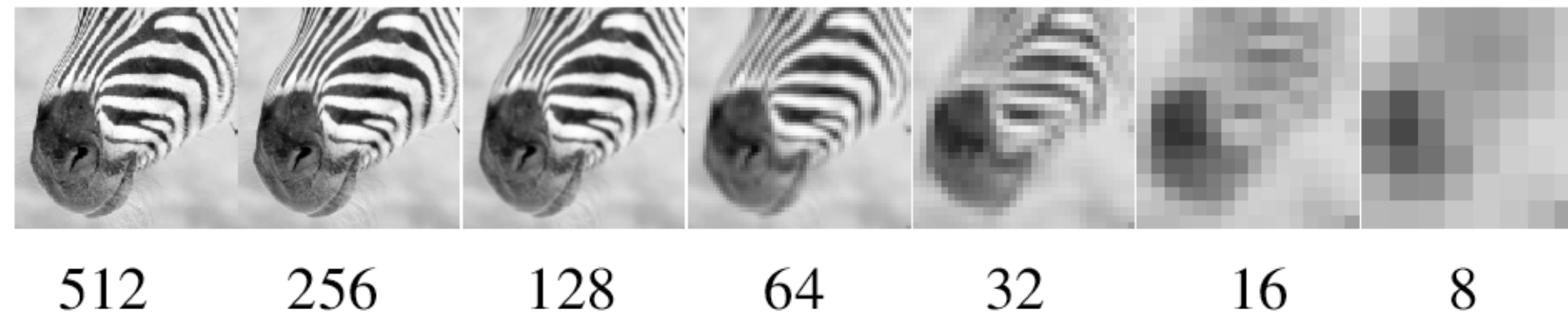


# Gaussian Pyramid

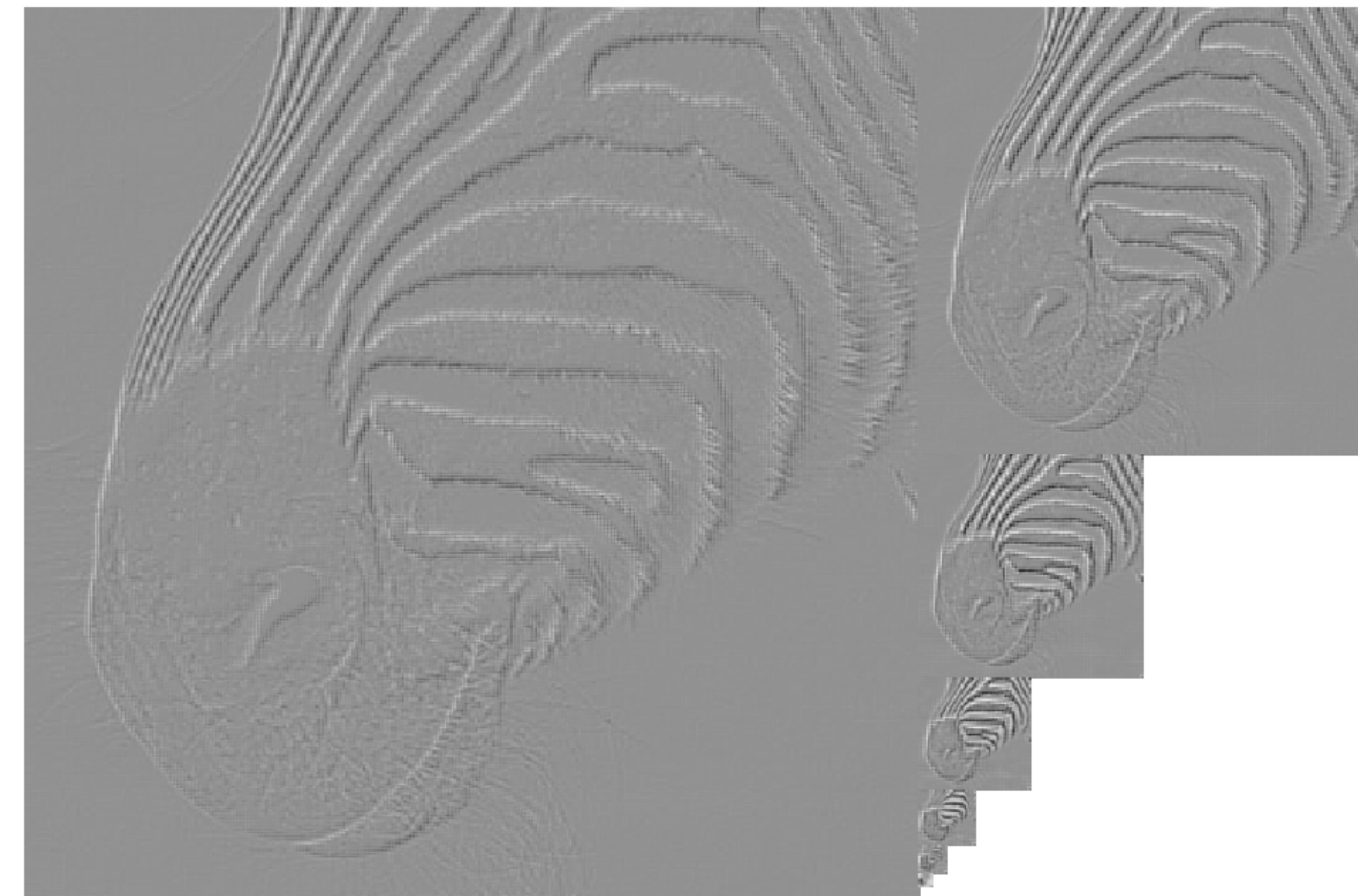




# Multiscale representations are great!



Gaussian Pyr



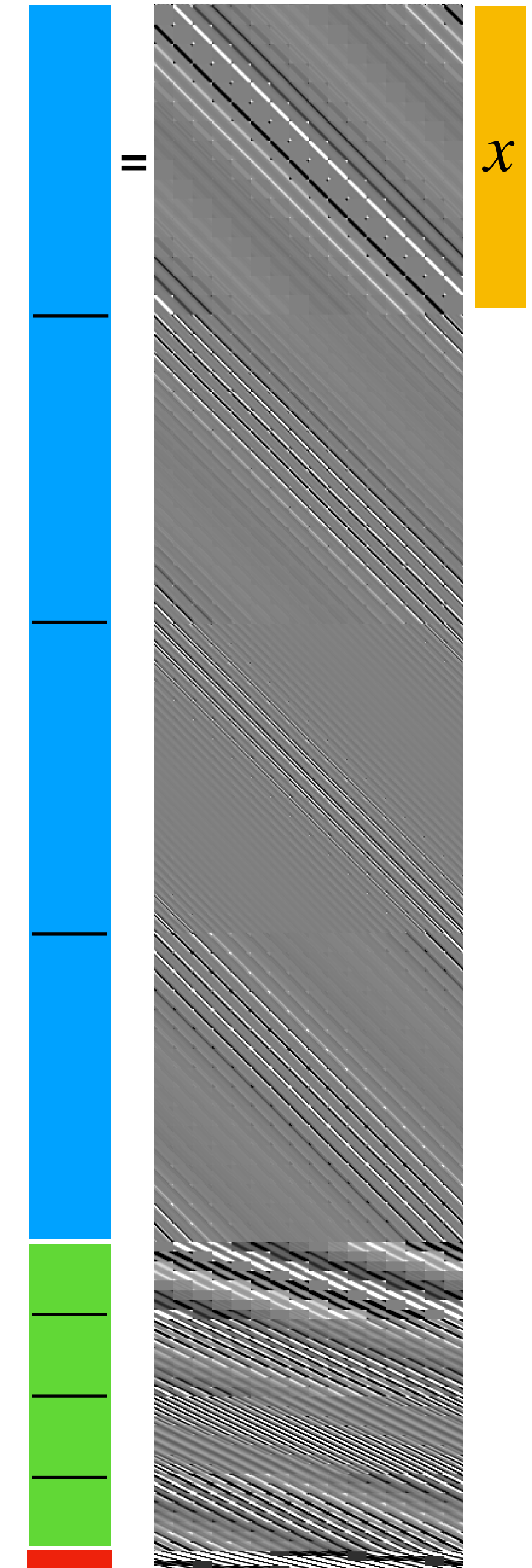
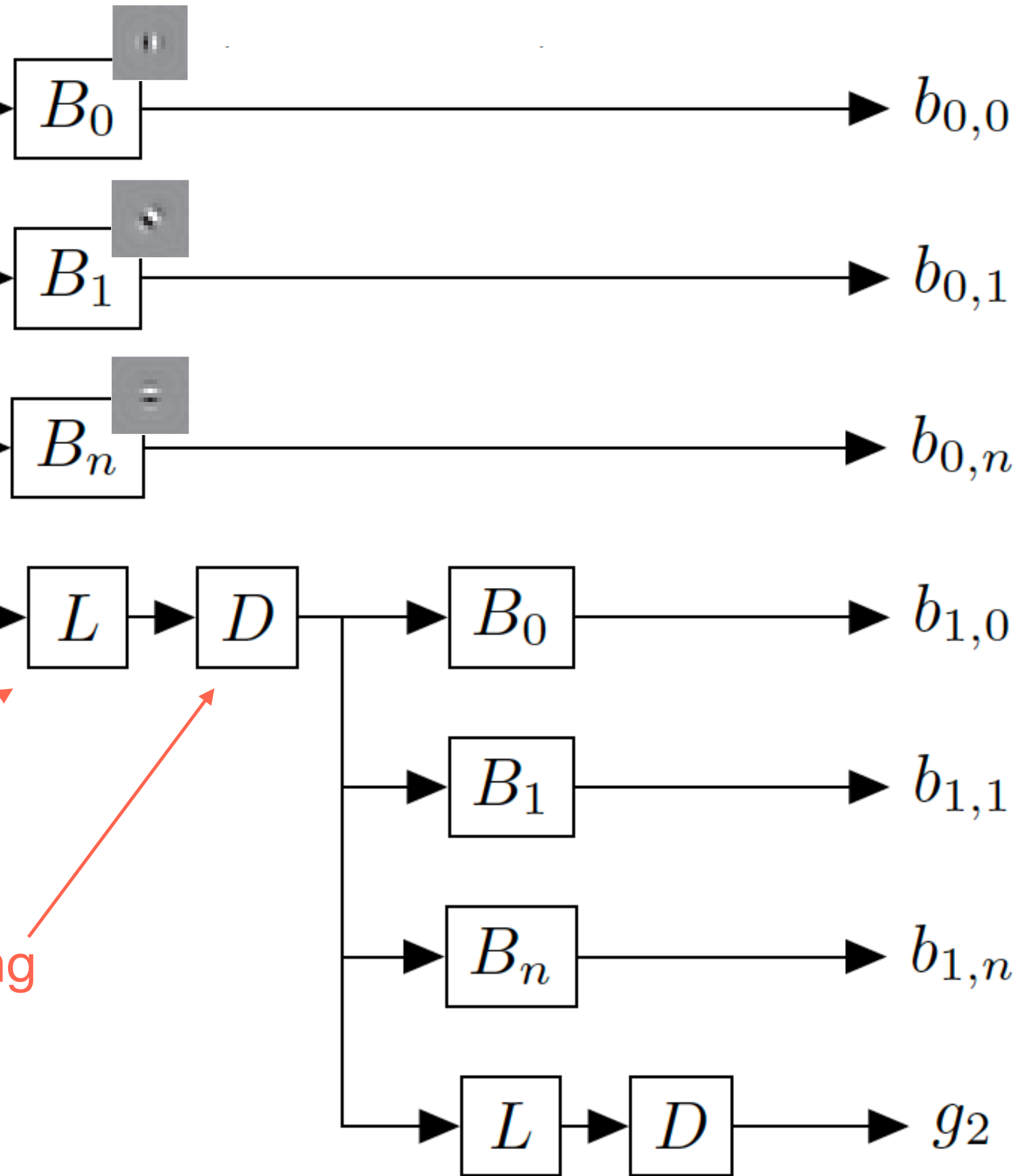
Laplacian Pyr

**How can we use multi-scale modeling in Convnets?**

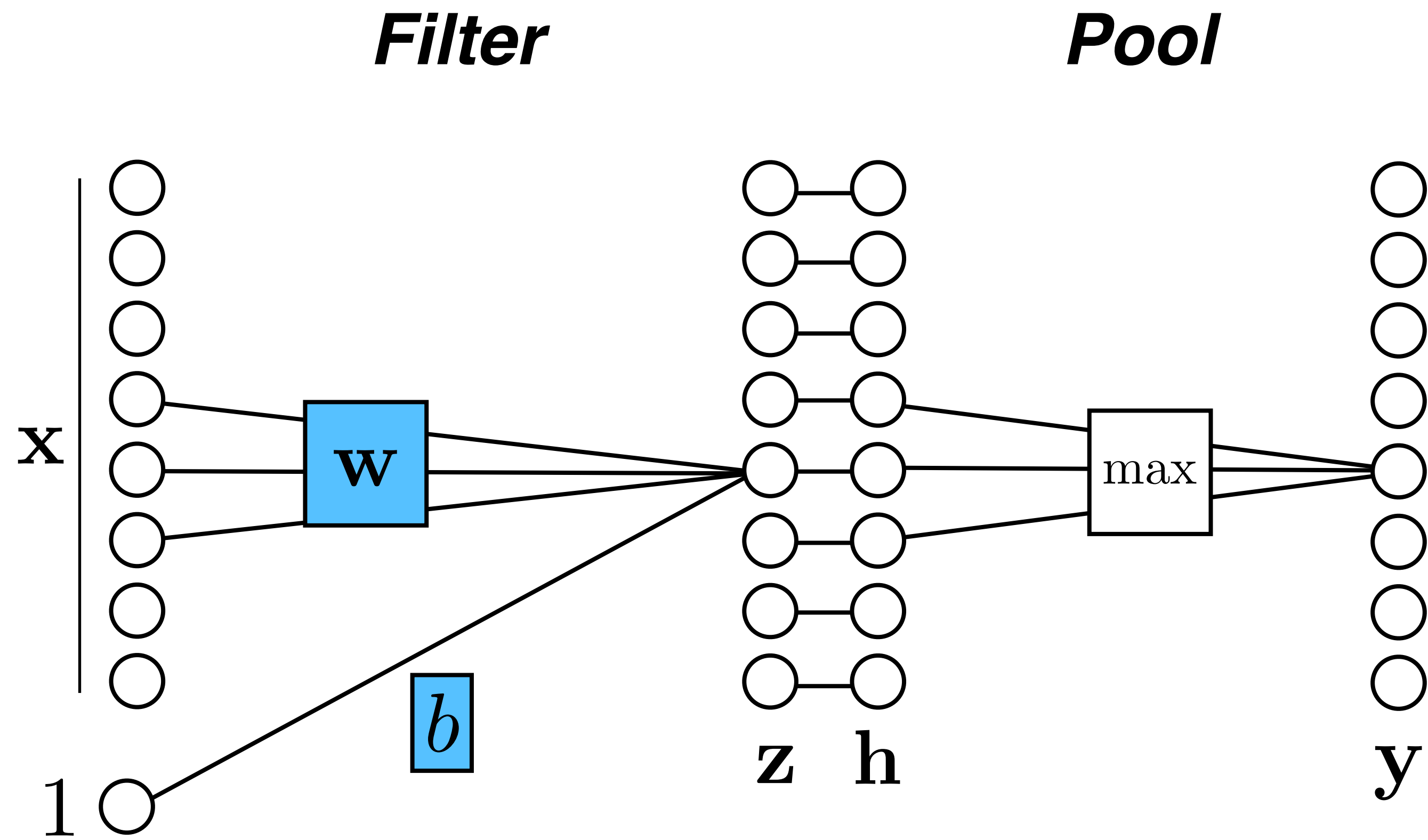
# Steerable Pyramid



$x$



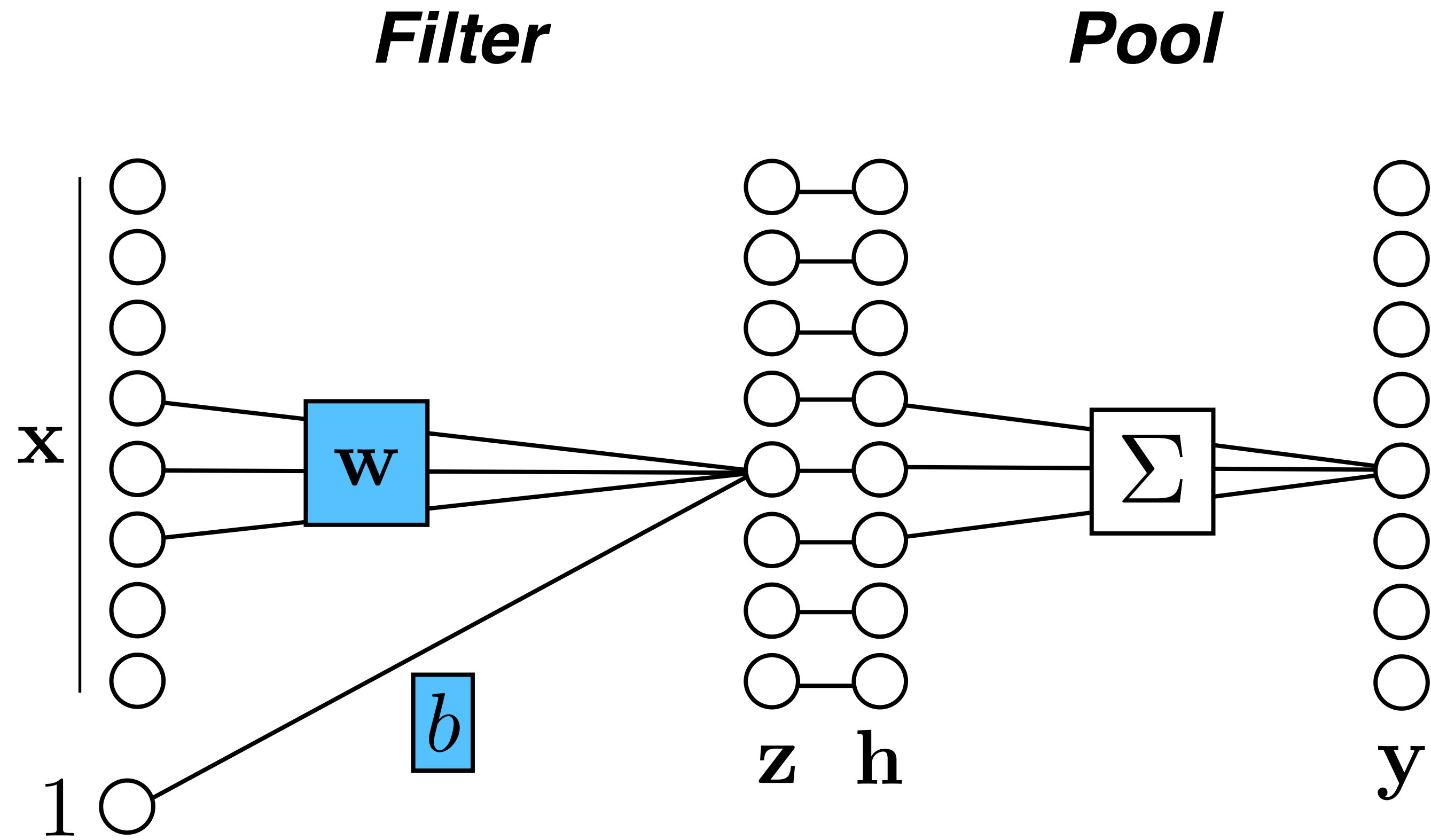
# Pooling



## Max pooling

$$y_j = \max_{j \in \mathcal{N}(j)} h_j$$

# Pooling



## Max pooling

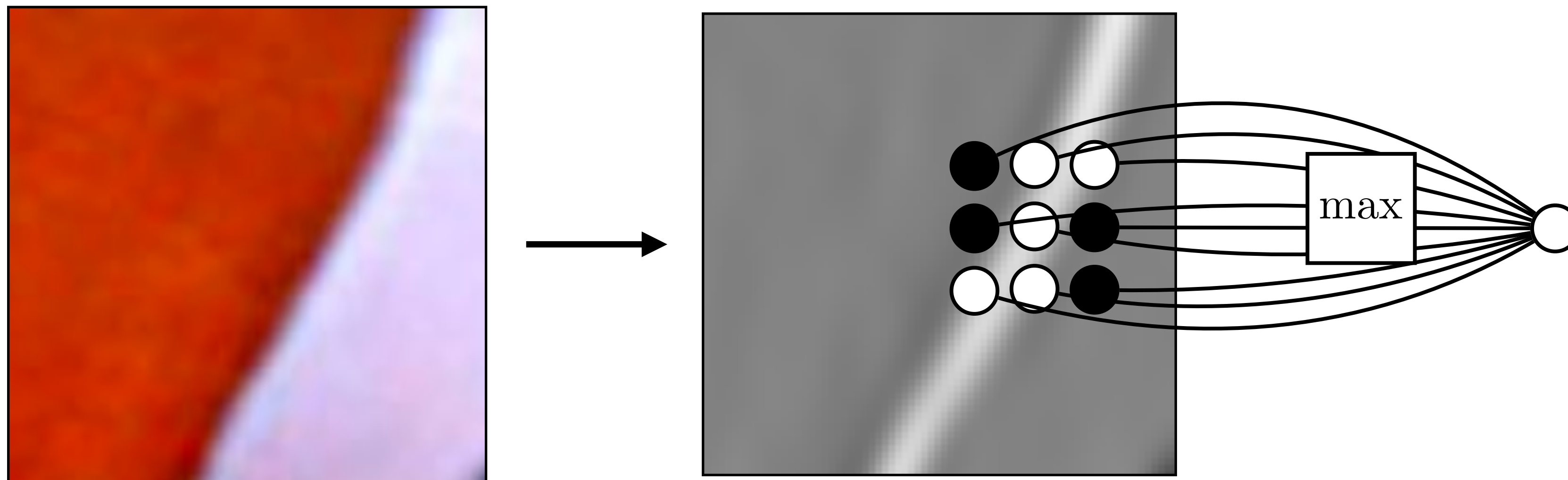
$$y_j = \max_{j \in \mathcal{N}(j)} h_j$$

## Mean pooling

$$y_j = \frac{1}{|\mathcal{N}|} \sum_{j \in \mathcal{N}(j)} h_j$$

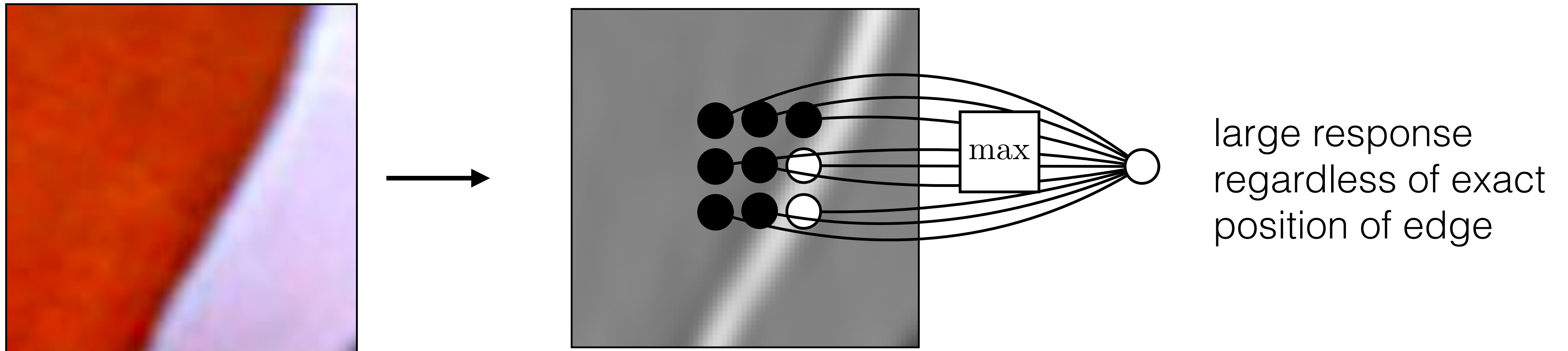
# Pooling — Why?

Pooling across spatial locations achieves stability w.r.t. small translations:



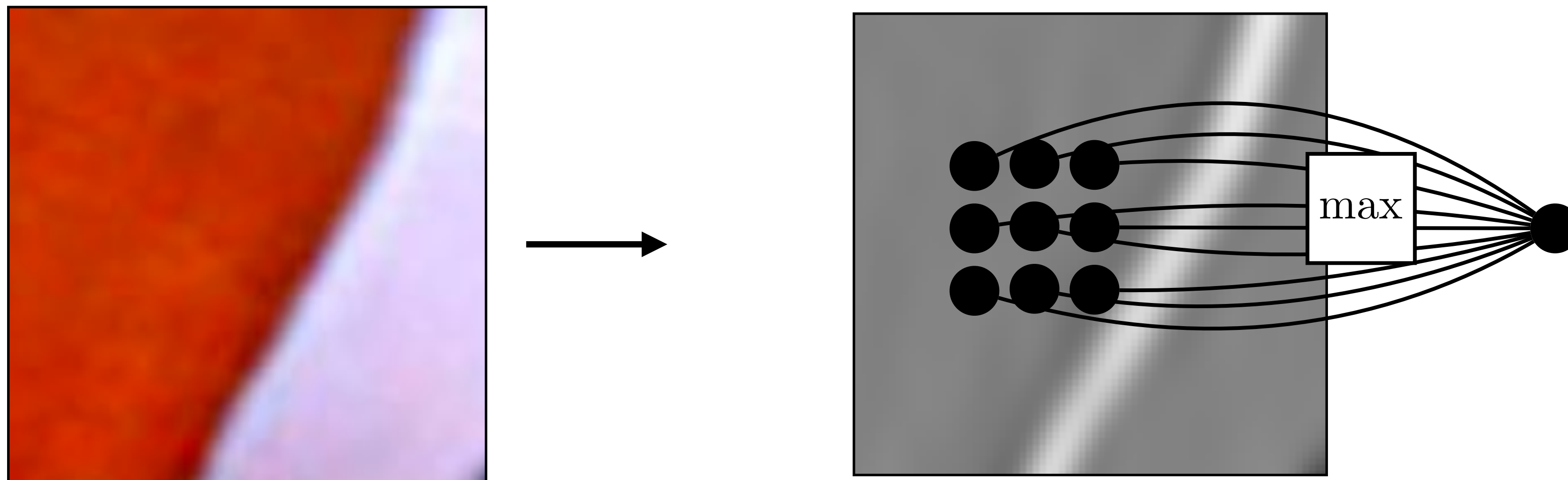
# Pooling — Why?

Pooling across spatial locations achieves stability w.r.t. small translations:

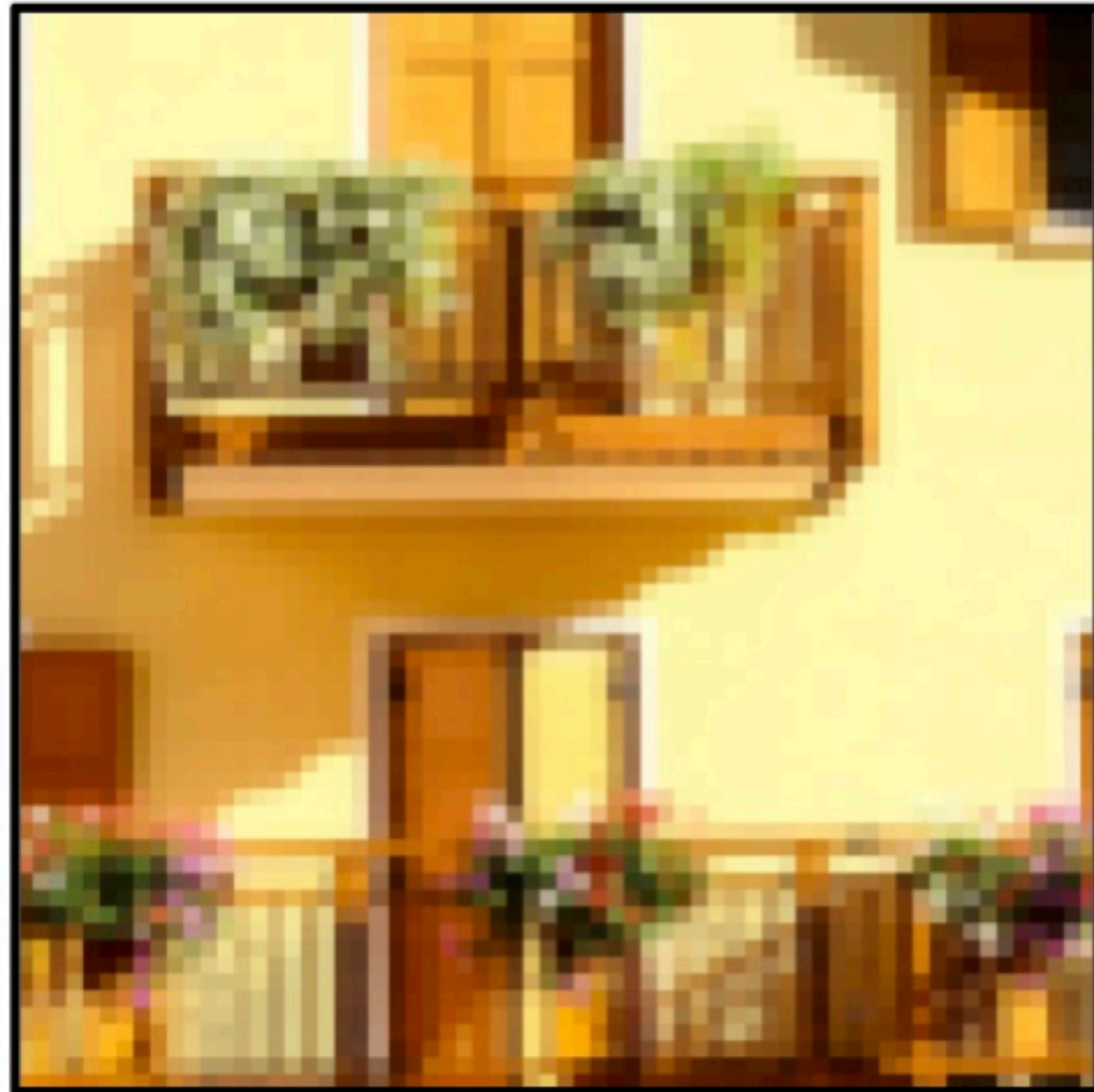


# Pooling — Why?

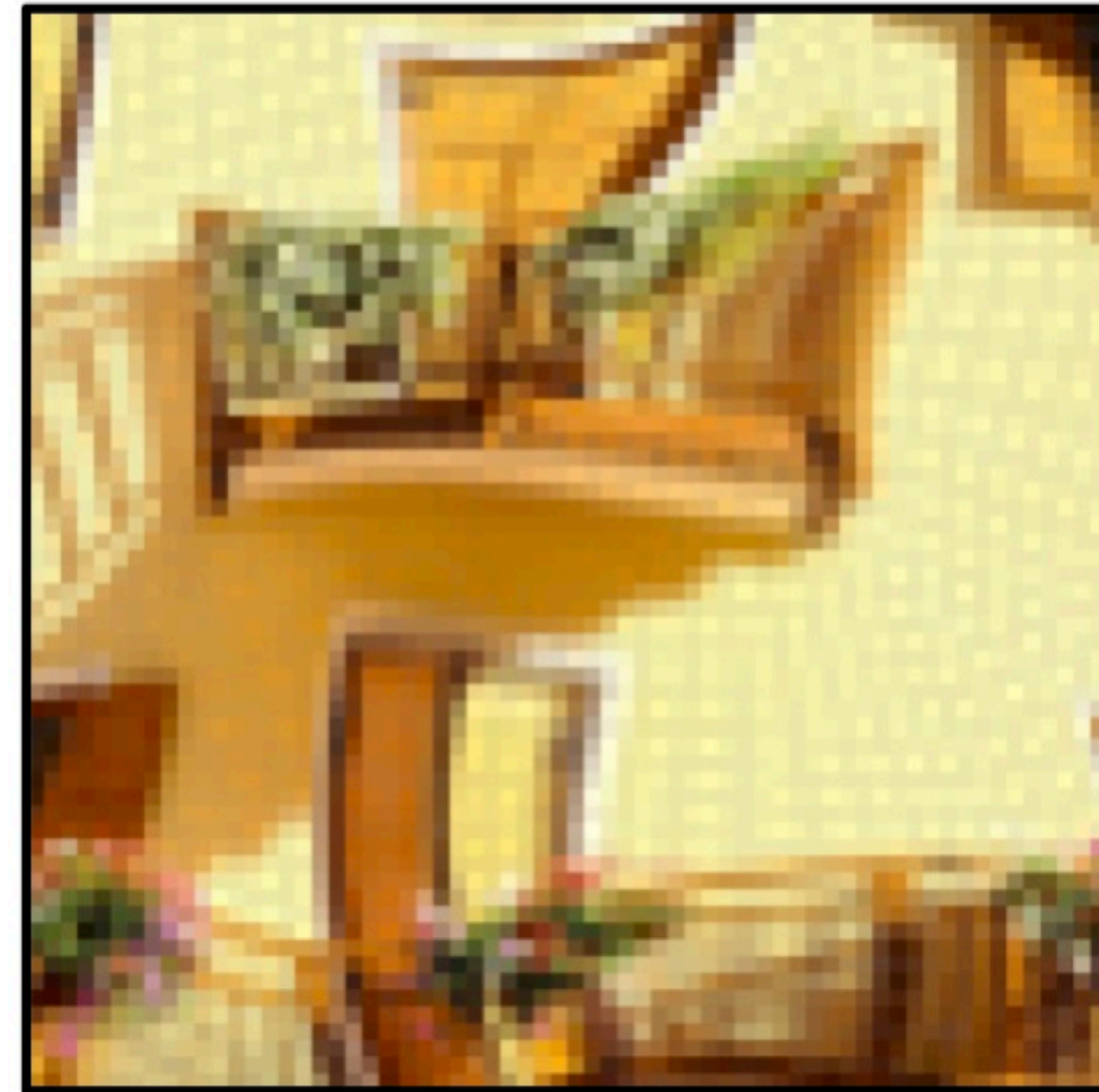
Pooling across spatial locations achieves stability w.r.t. small translations:



CNNs are stable w.r.t. diffeomorphisms



$\approx$

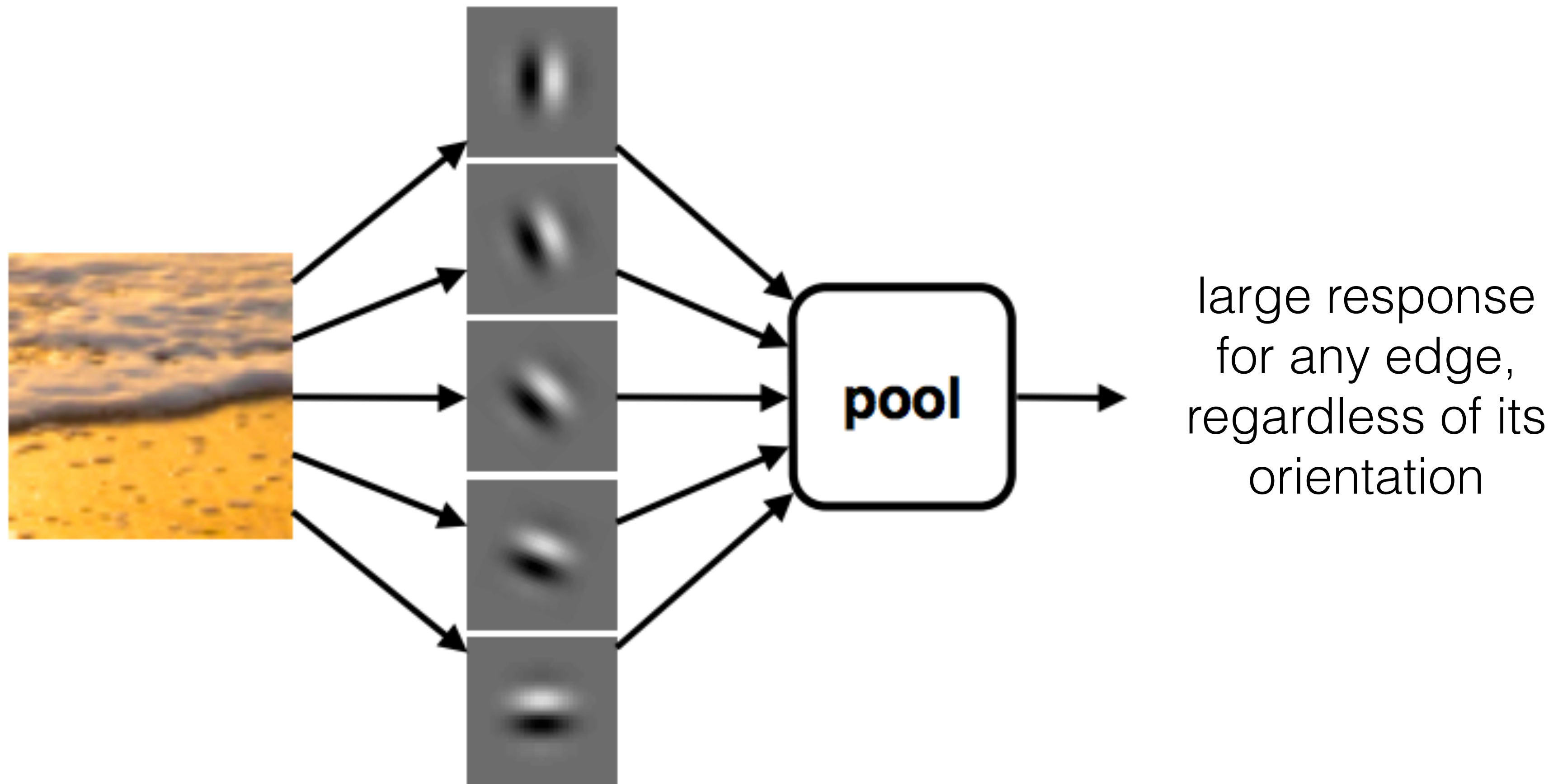


[“Unreasonable effectiveness of Deep Features as a Perceptual Metric”, Zhang et al. 2018]



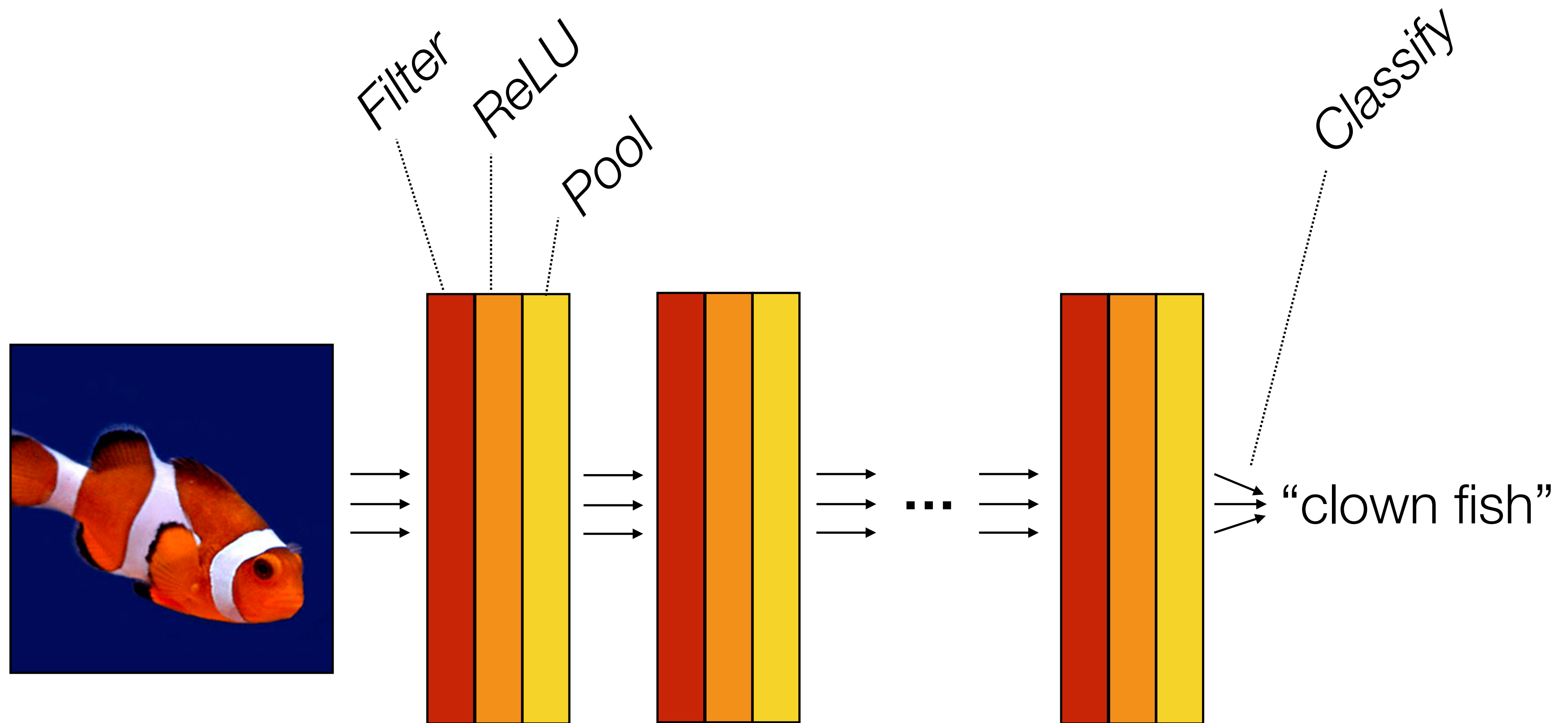
# Pooling *across channels* — Why?

Pooling across feature channels (filter outputs) can achieve other kinds of invariances:



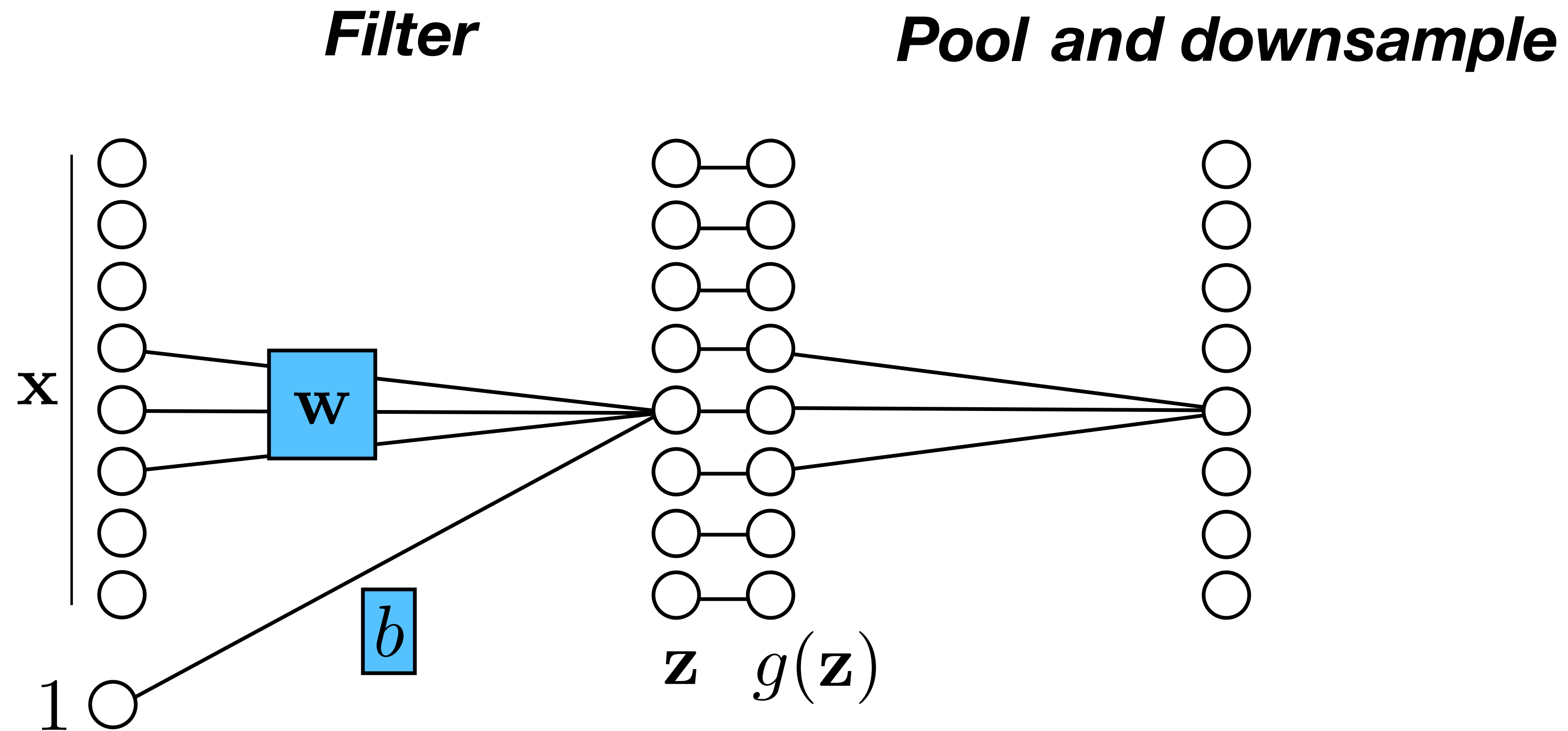
[Derived from slide by Andrea Vedaldi]

# Computation in a neural net

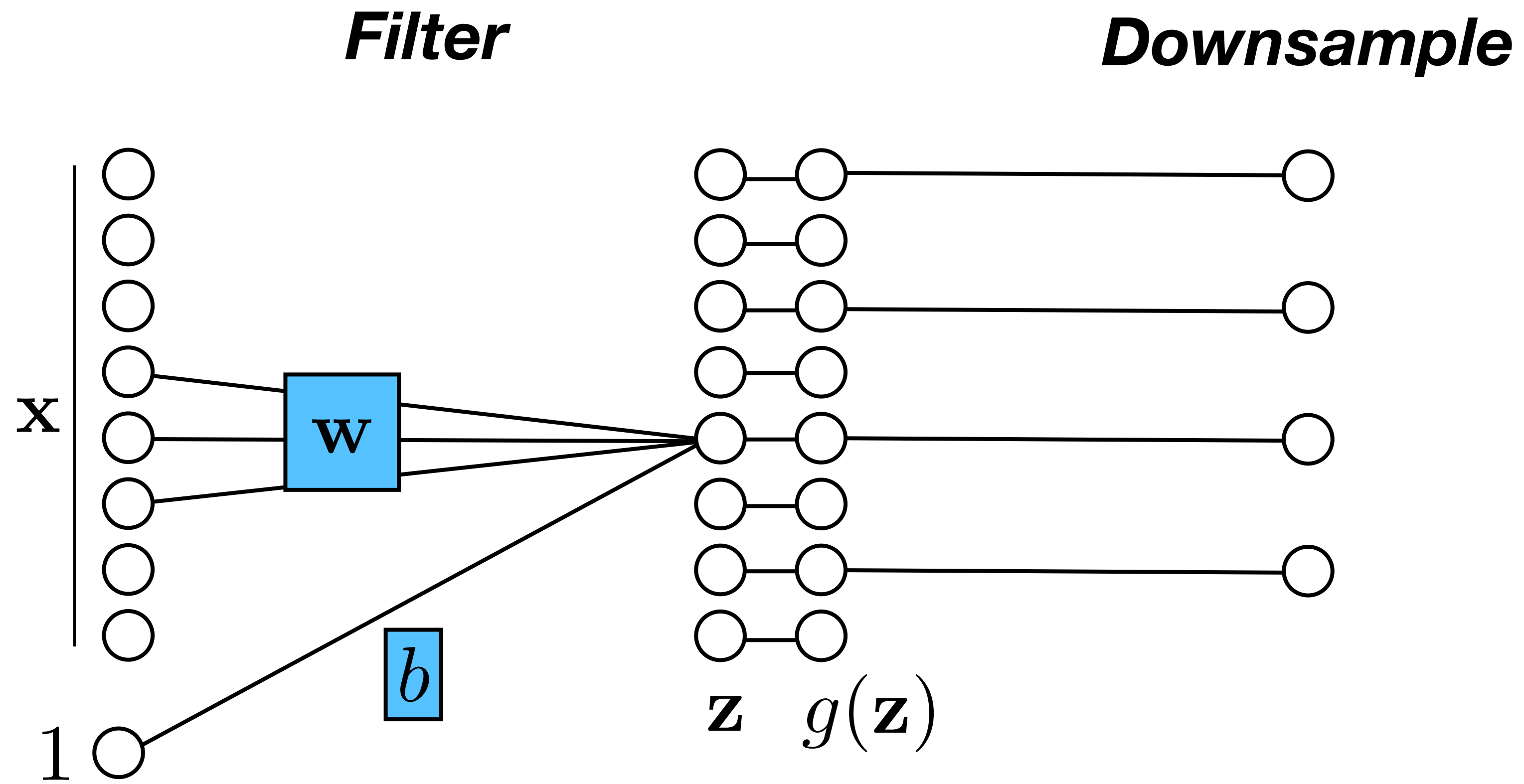


$$f(\mathbf{x}) = f_L(\dots f_2(f_1(\mathbf{x})))$$

# Downsampling

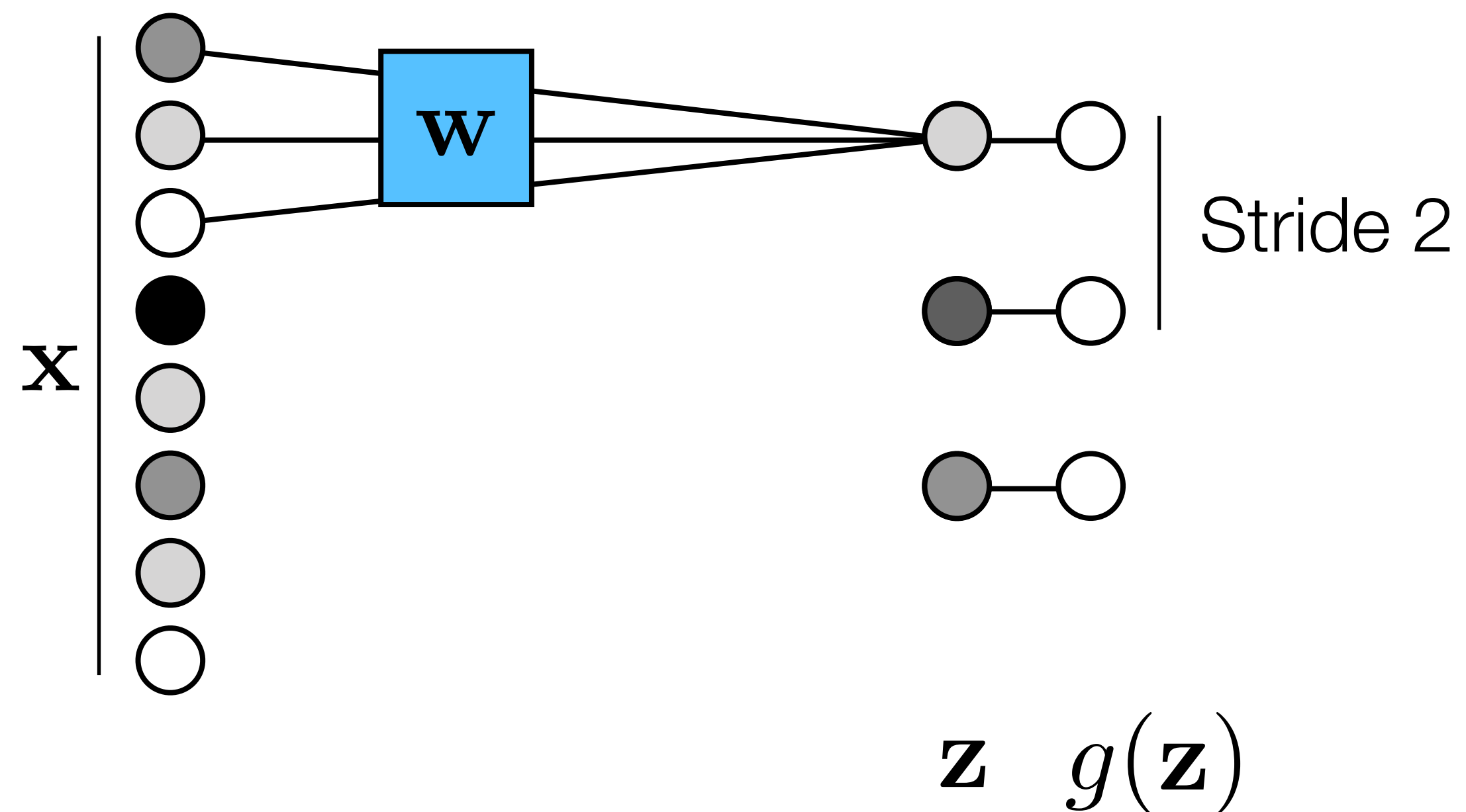


# Downsampling



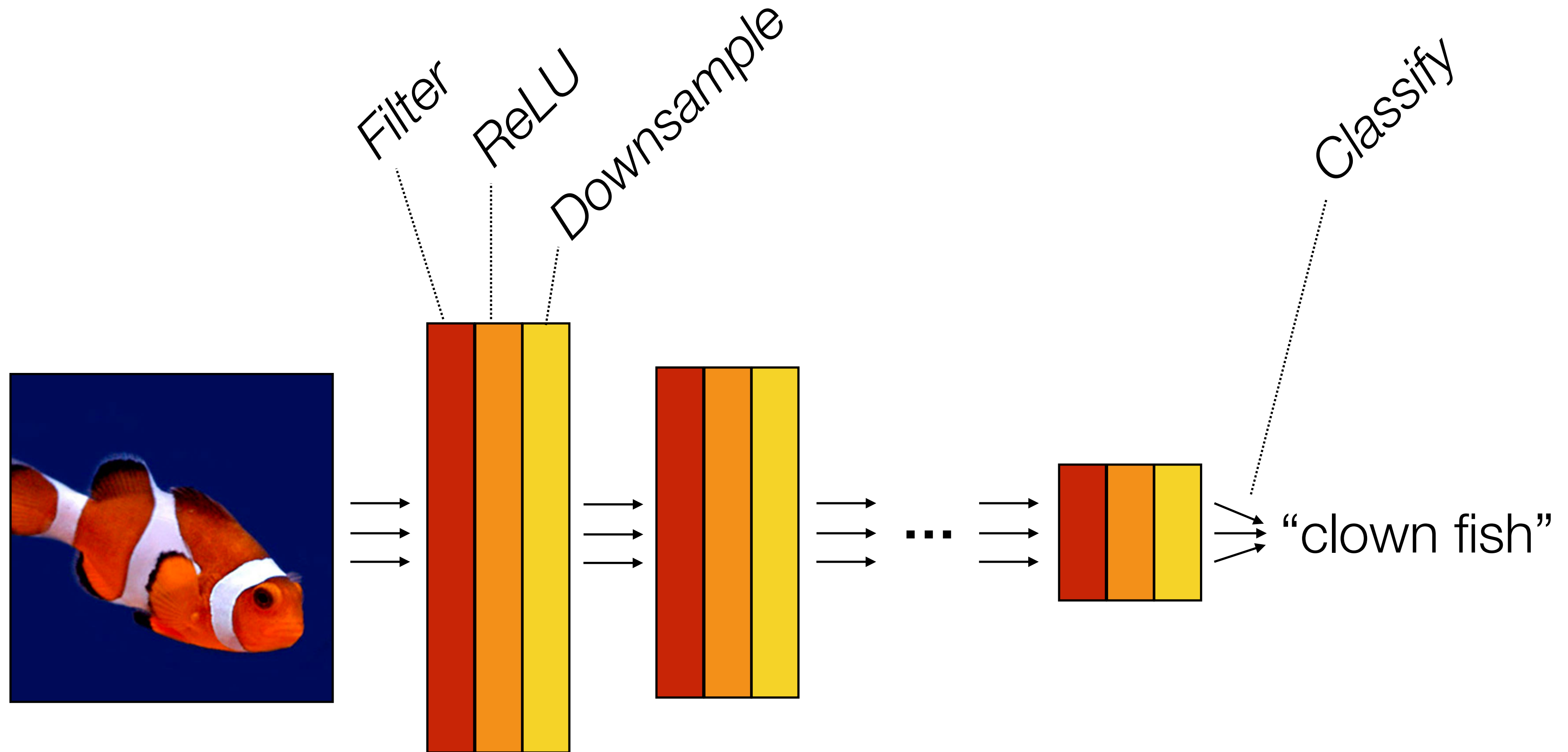
# Strided operations

## Conv layer



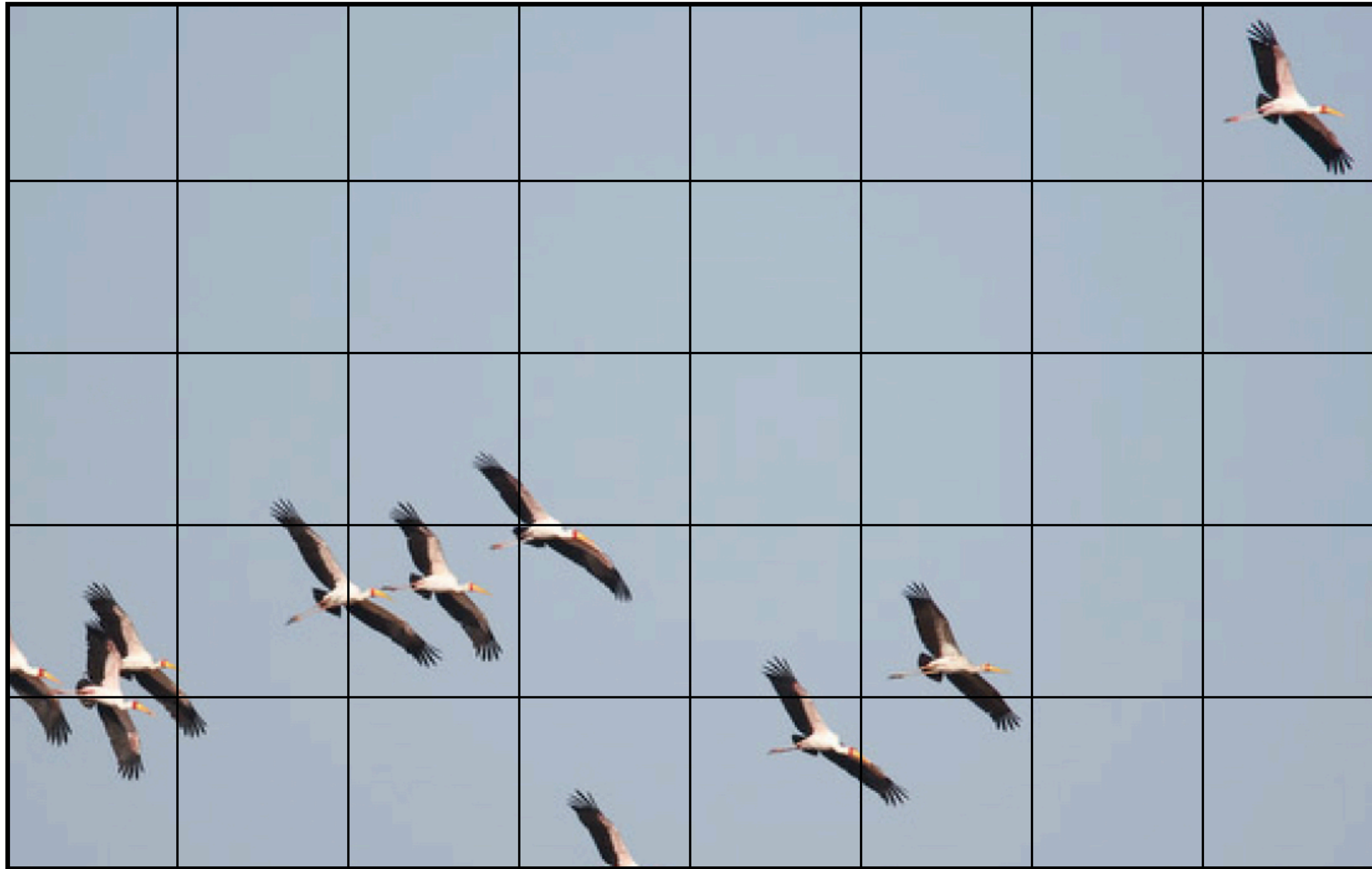
**Strided operations** combine a given operation (convolution or pooling) and downsampling into a single operation.

# Computation in a neural net



$$f(\mathbf{x}) = f_L(\dots f_2(f_1(\mathbf{x})))$$

# Receptive fields

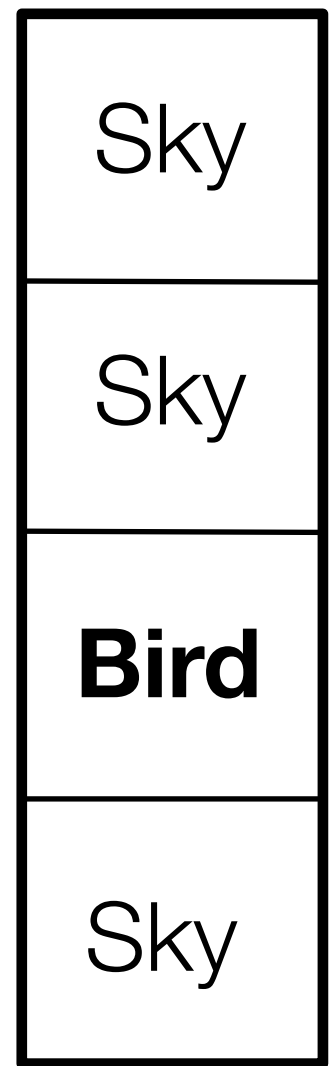
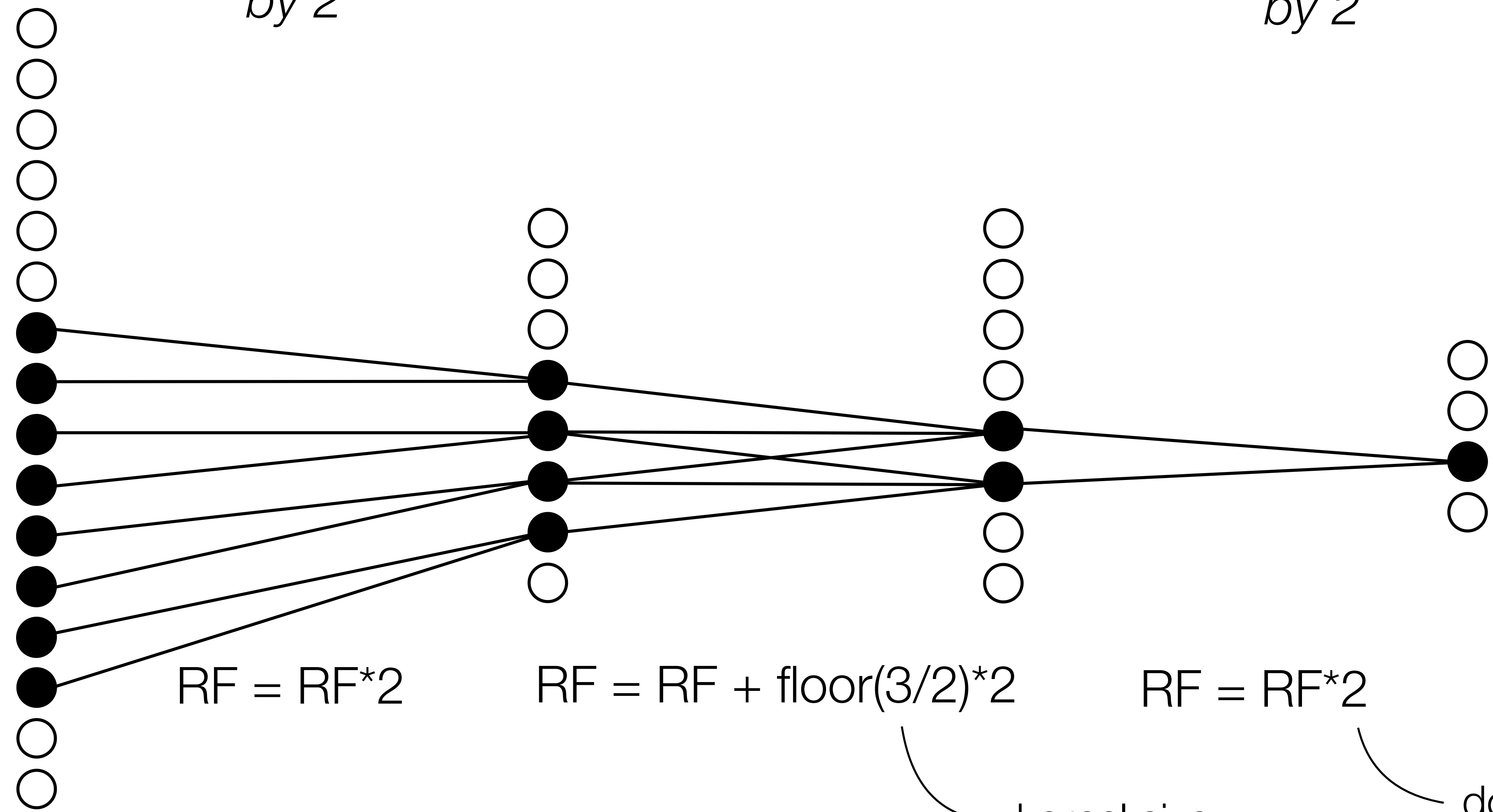


# Receptive fields

*Pool and downsample  
by 2*

*3x1 Filter*

*Pool and downsample  
by 2*



$RF = RF * 2$

$RF = RF + \text{floor}(3/2) * 2$

$RF = RF * 2$

kernel size

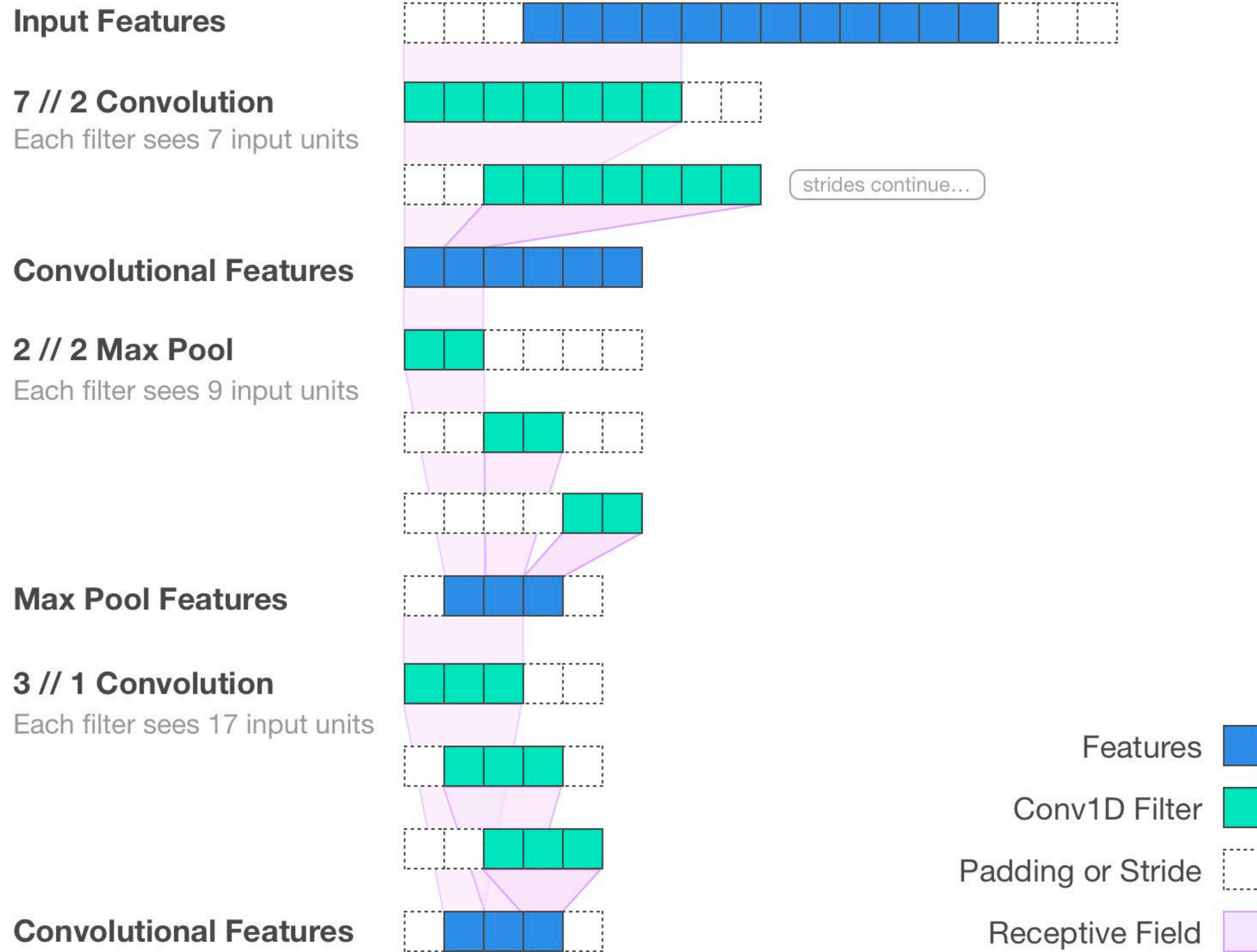
downsample  
factor



# Effective Receptive Field

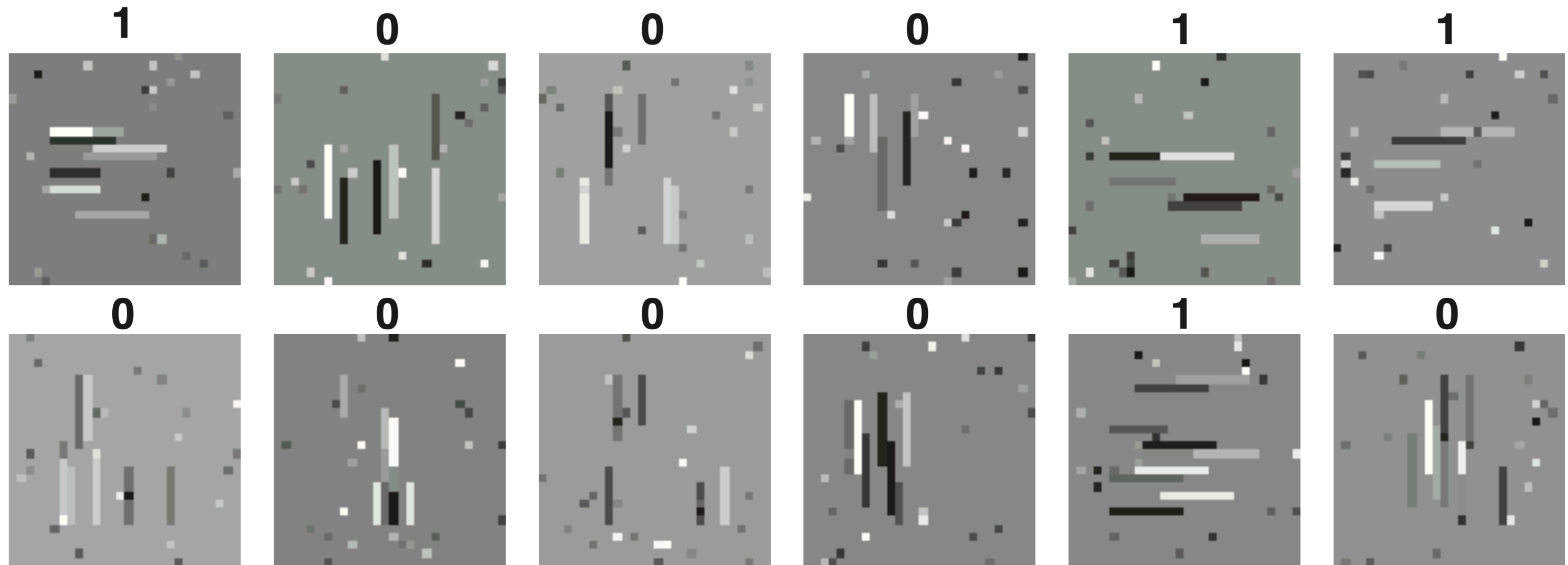
Contributing input units to a convolutional filter.

@jimmfleming // fomoro.com



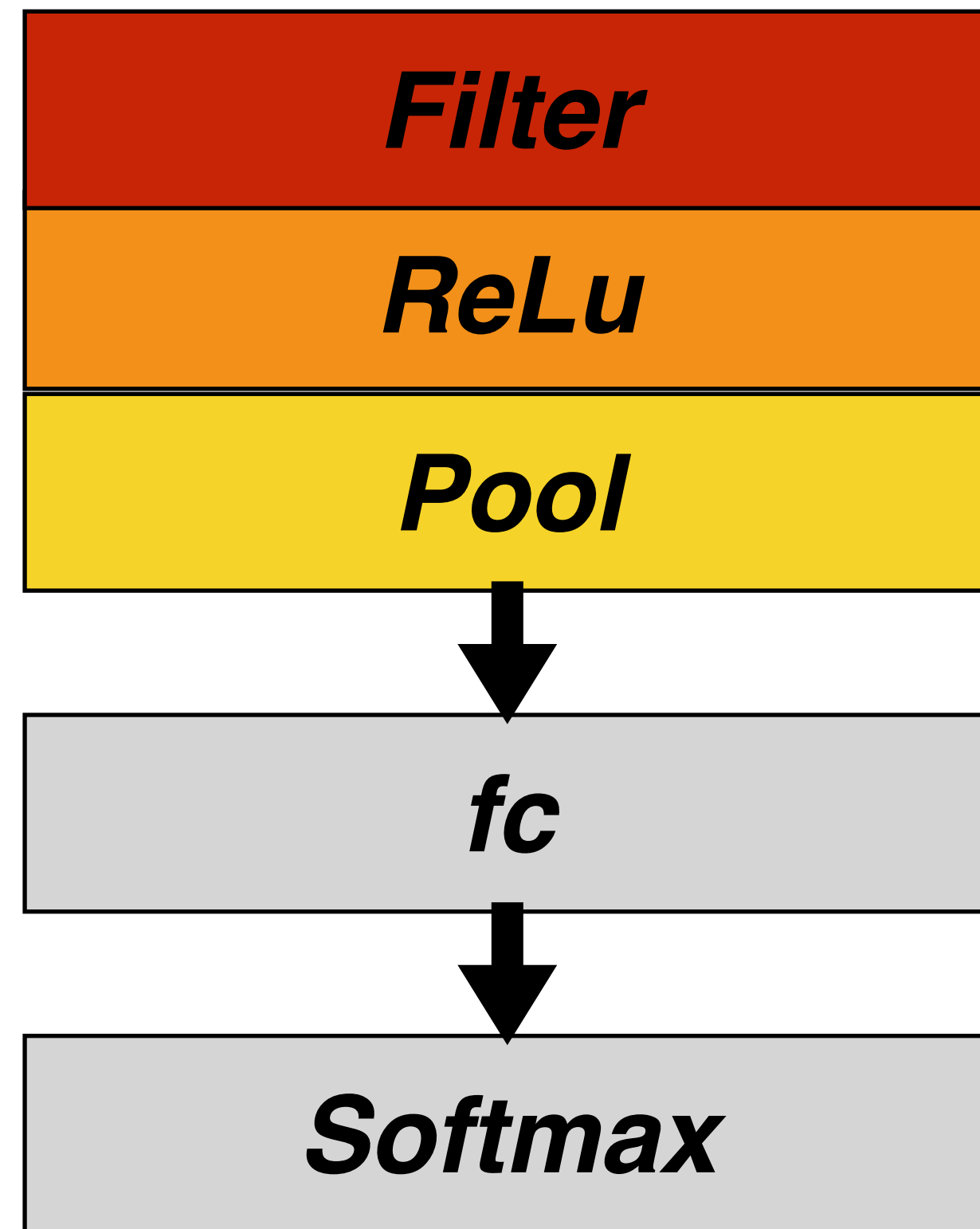
[<http://fomoro.com/tools/receptive-fields/index.html>]

# Example: simple line classification



Class 0: vertical lines  
Class 1: horizontal lines

# Example: simple line classification



$$\mathbf{z}_{1_i} = \mathbf{w}_i \circ \mathbf{x} + \mathbf{b}_i$$

with 2 learned kernels  $\mathbf{w}_1, \mathbf{w}_2$

$$\mathbf{h}_i = \max(\mathbf{z}_{1_i}, 0)$$

$$\mathbf{z}_{2_i} = \frac{1}{NM} \sum_{n,m} \mathbf{h}_i[n, m]$$

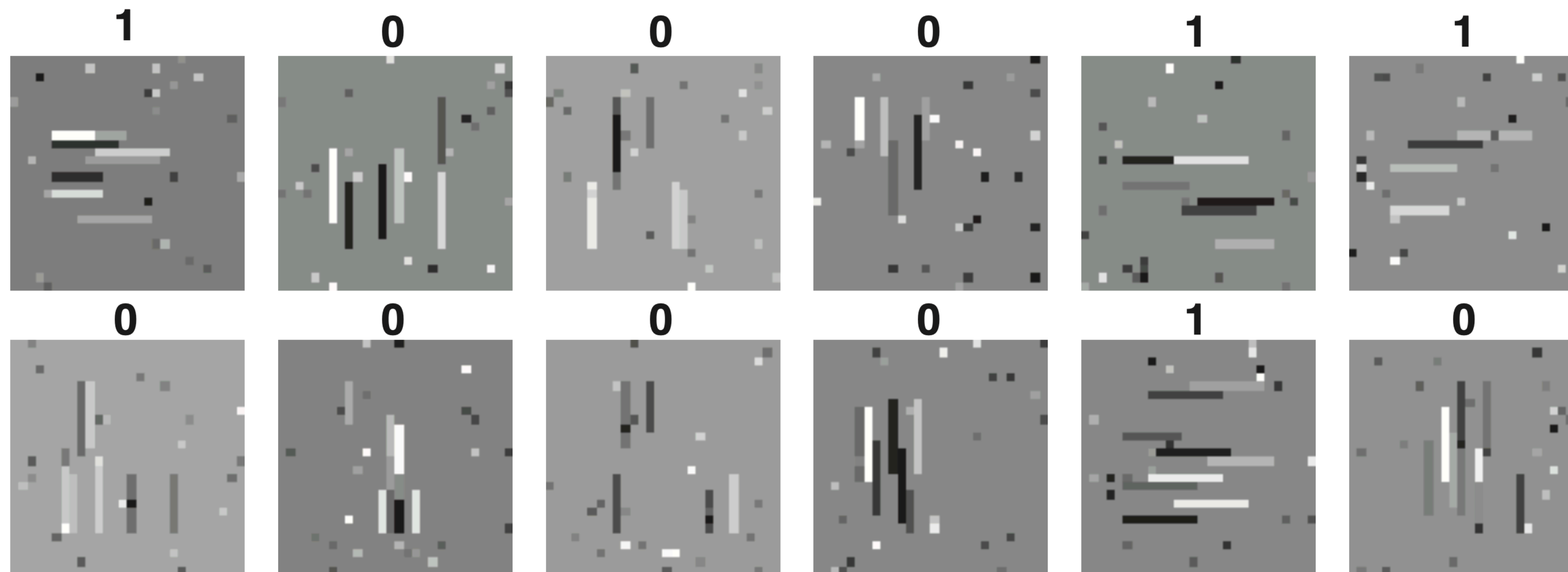
$$\mathbf{z}_3 = \mathbf{W}\mathbf{z}_2 + \mathbf{c}$$

$$y_i = \frac{e^{-\tau \mathbf{z}_{3_i}}}{\sum_{k=1}^K e^{-\tau \mathbf{z}_{3_k}}}$$

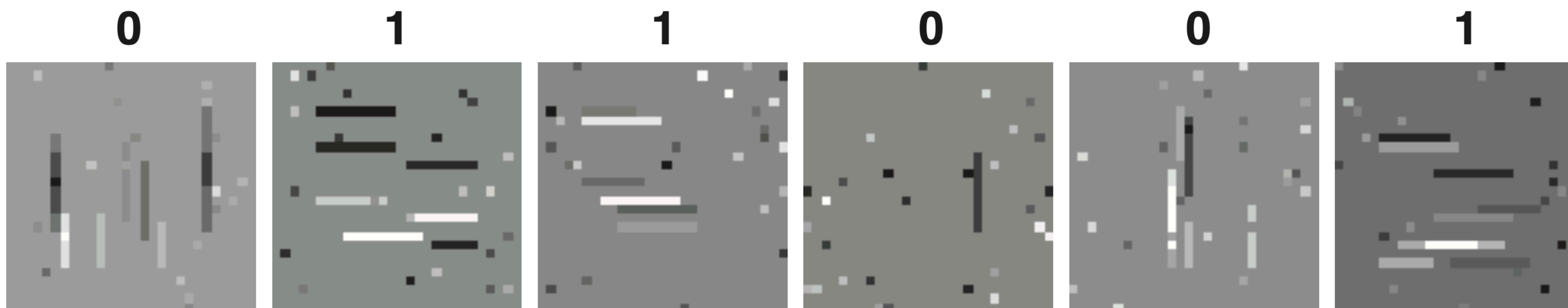
parameters  $\mathbf{w}_1, \mathbf{w}_2, \mathbf{b}_1, \mathbf{b}_2, \mathbf{W}, \mathbf{c}$

# Network training and evaluation

Training

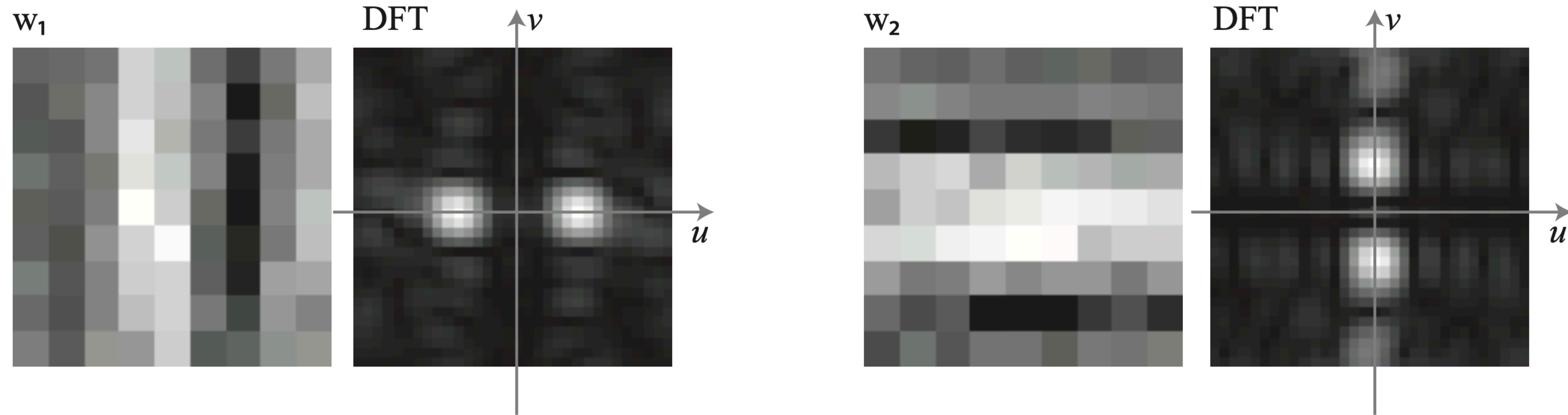


Testing  
3/10000 misclassified



# Network visualization

9x9 learned kernels:

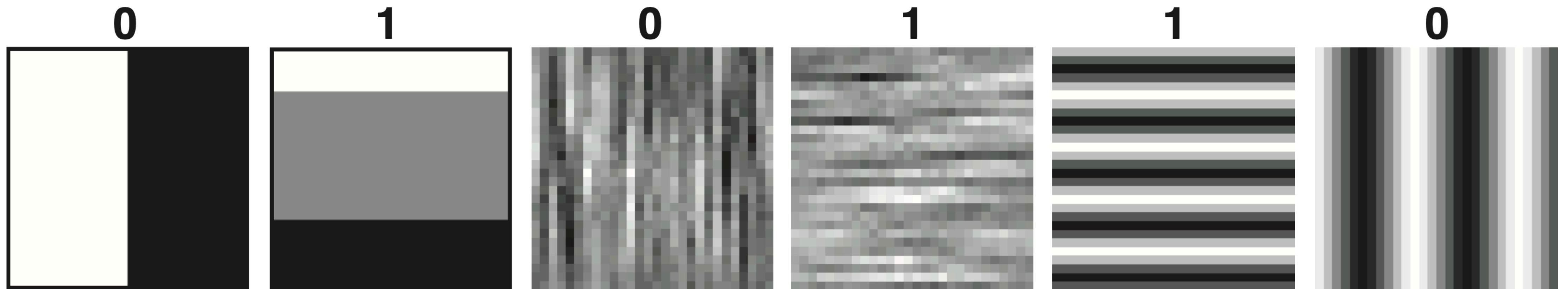


fc layer learned weight:

$$\mathbf{W} = \begin{bmatrix} 2.83 & -2.36 \\ -0.60 & 1.14 \end{bmatrix}$$

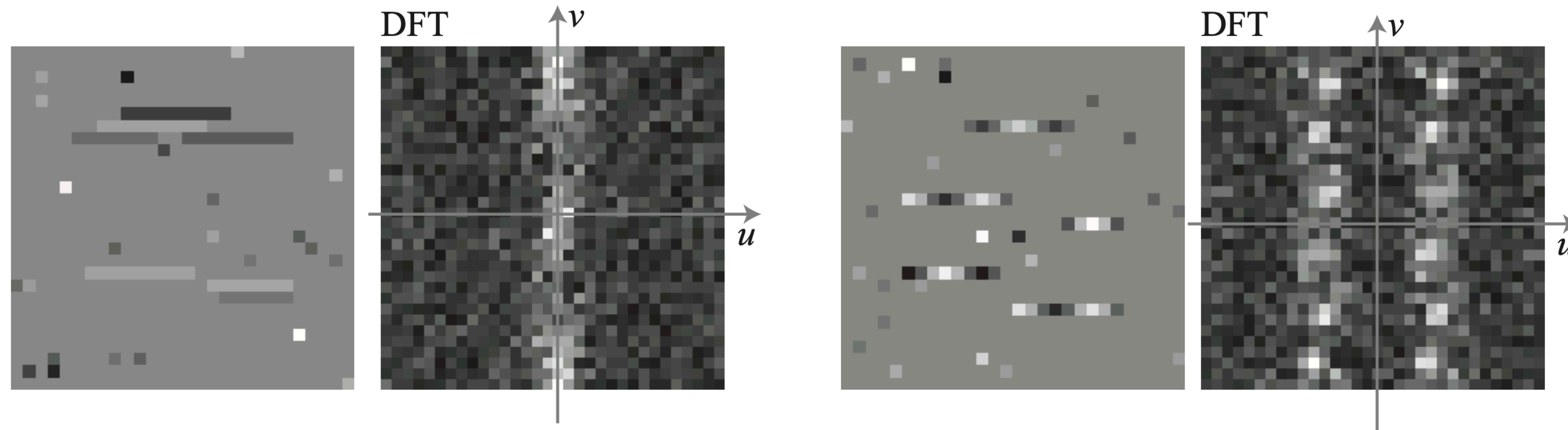
# Out of domain generalization

Out of domain test samples are classified correctly

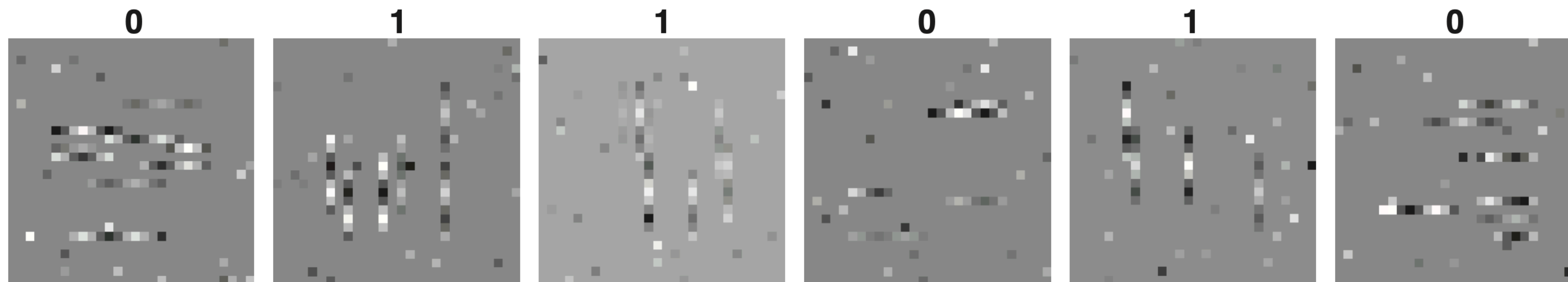


# Identifying vulnerability

- Modulation: multiplying image with sinusoidal wave moves spectral content horizontally



- All lines with sinusoidal texture are misclassified



# Analysis to run when training a large system

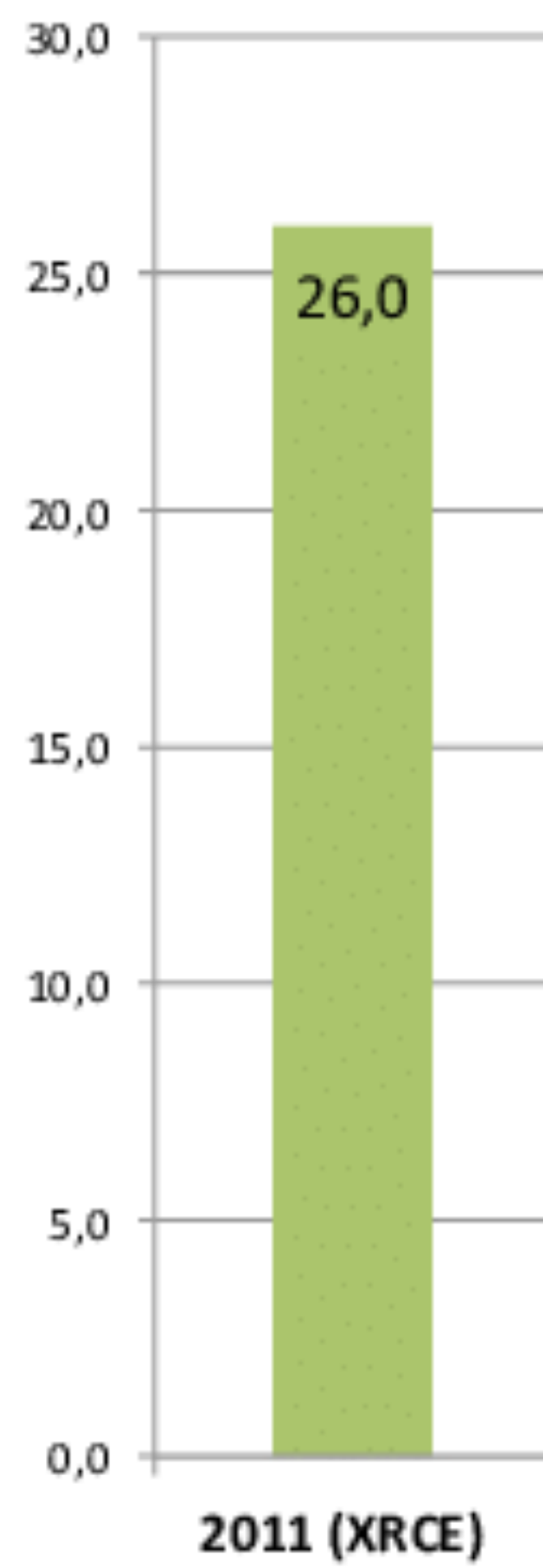
- Training and evaluation
- Visualize and understand the network
- Out of domain generalization
- Identifying vulnerability



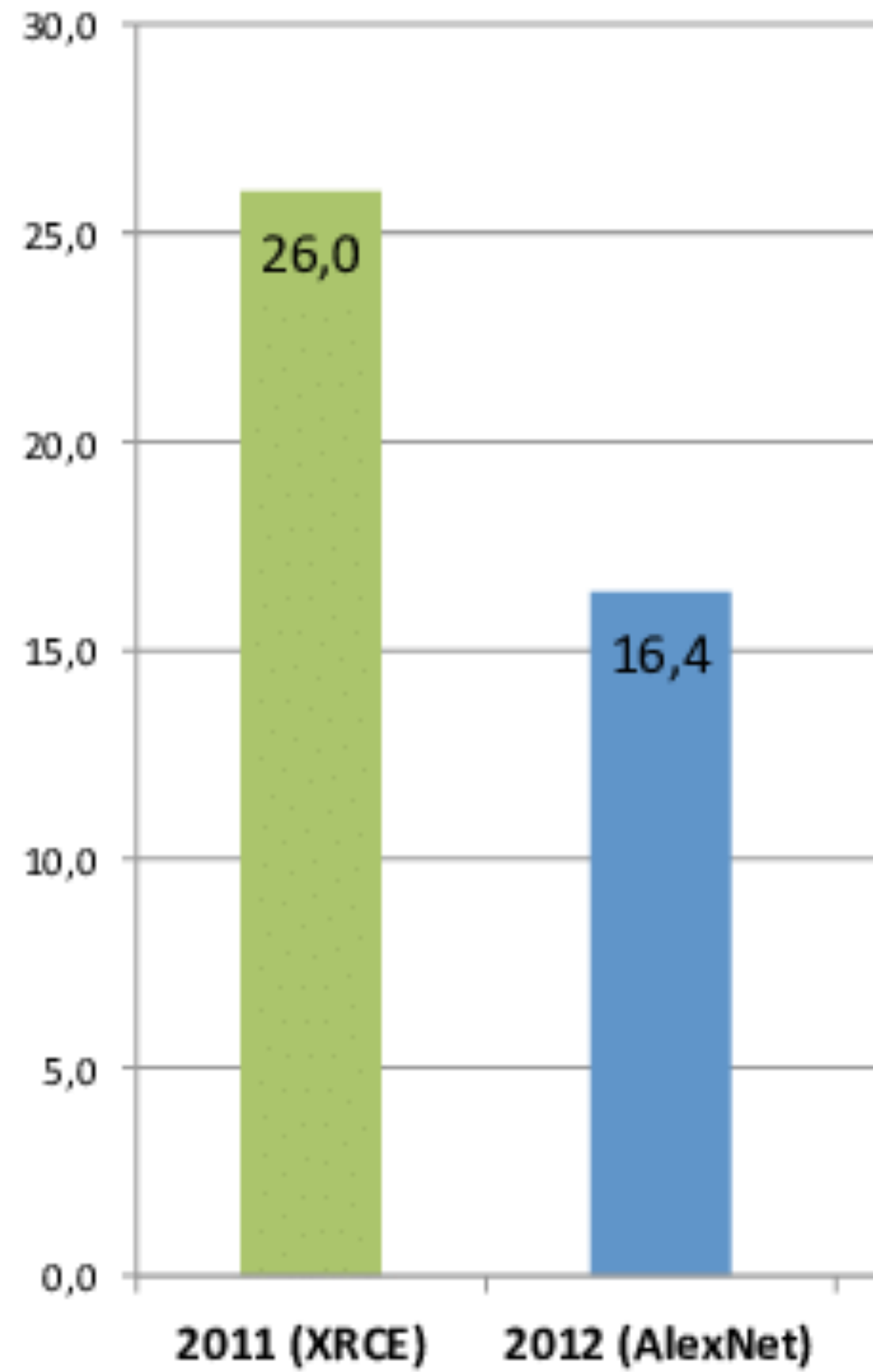
# Some networks

... and what makes them work

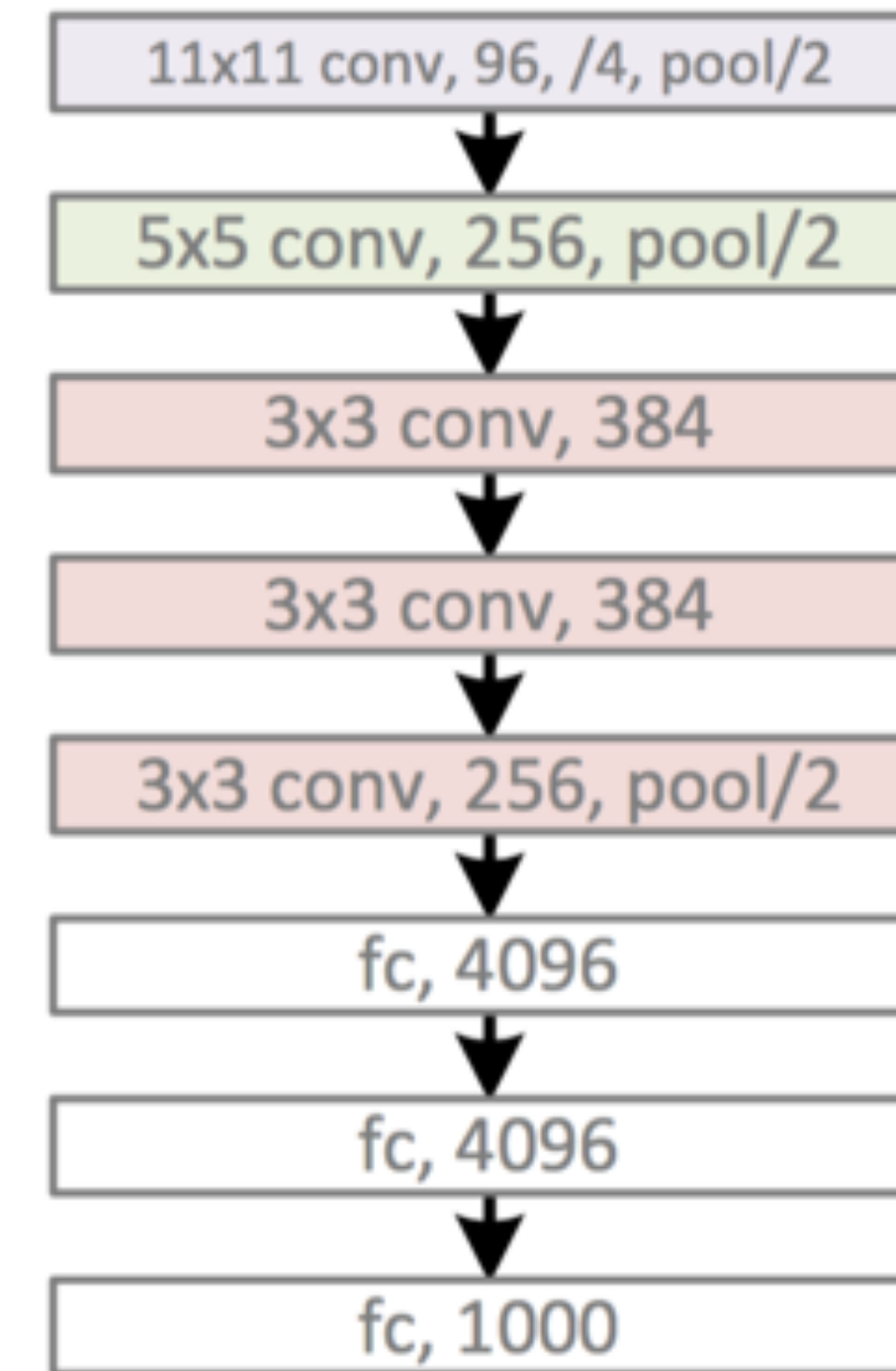
## ImageNet Classification Error (Top 5)



**ImageNet Classification Error (Top 5)**

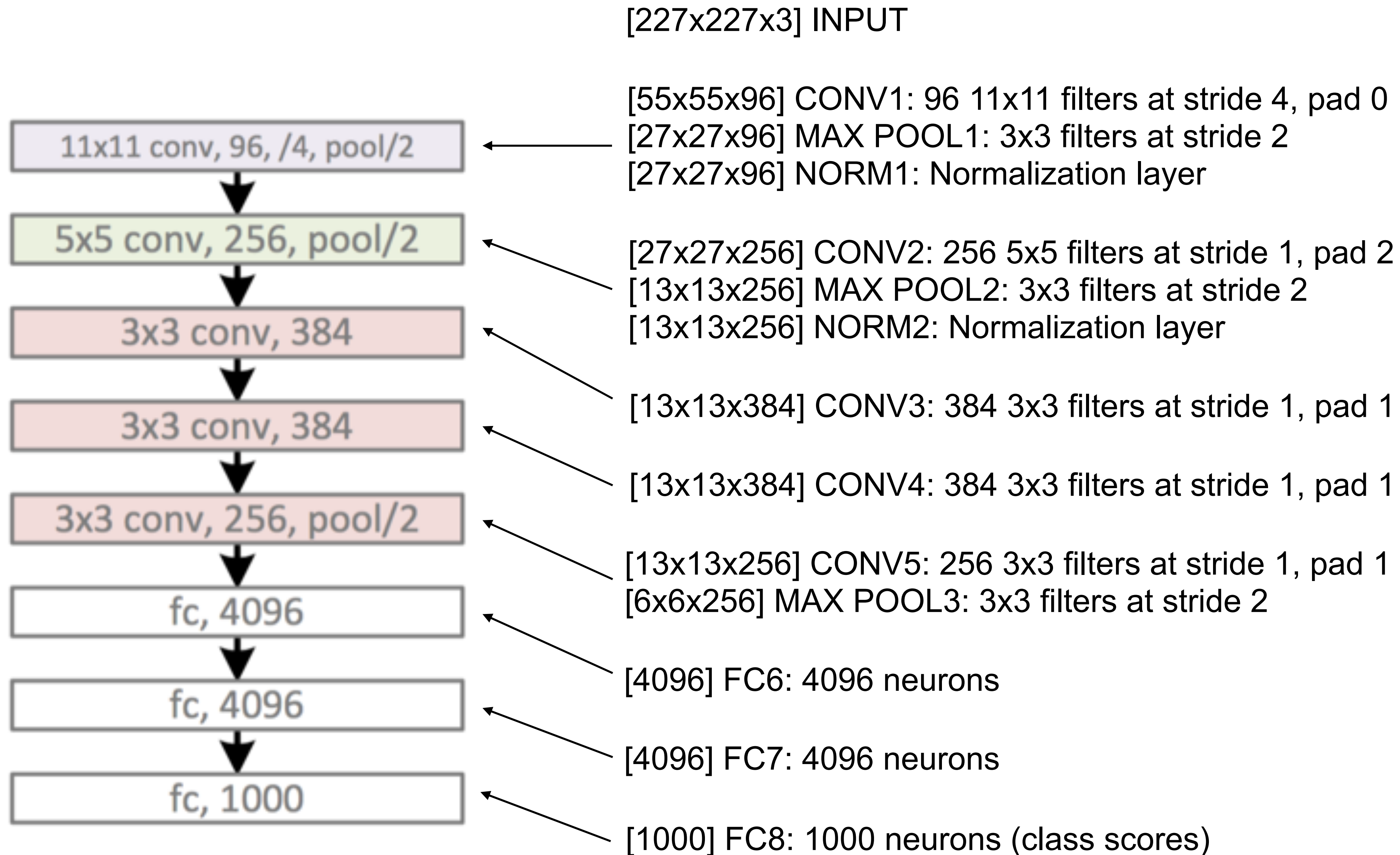


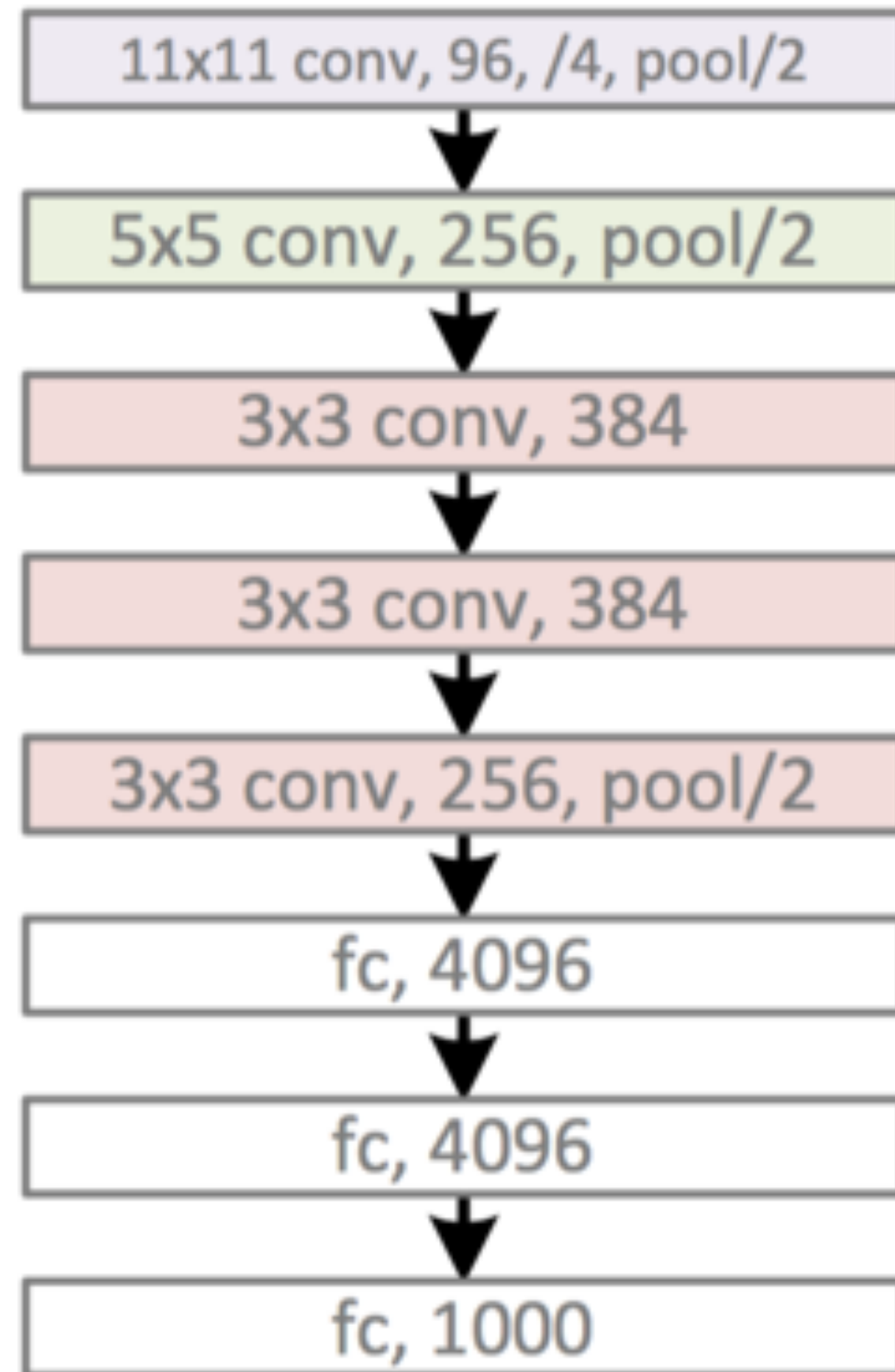
2012: AlexNet  
5 conv. layers



Error: 16.4%

# Alexnet — [Krizhevsky et al. NIPS 2012]

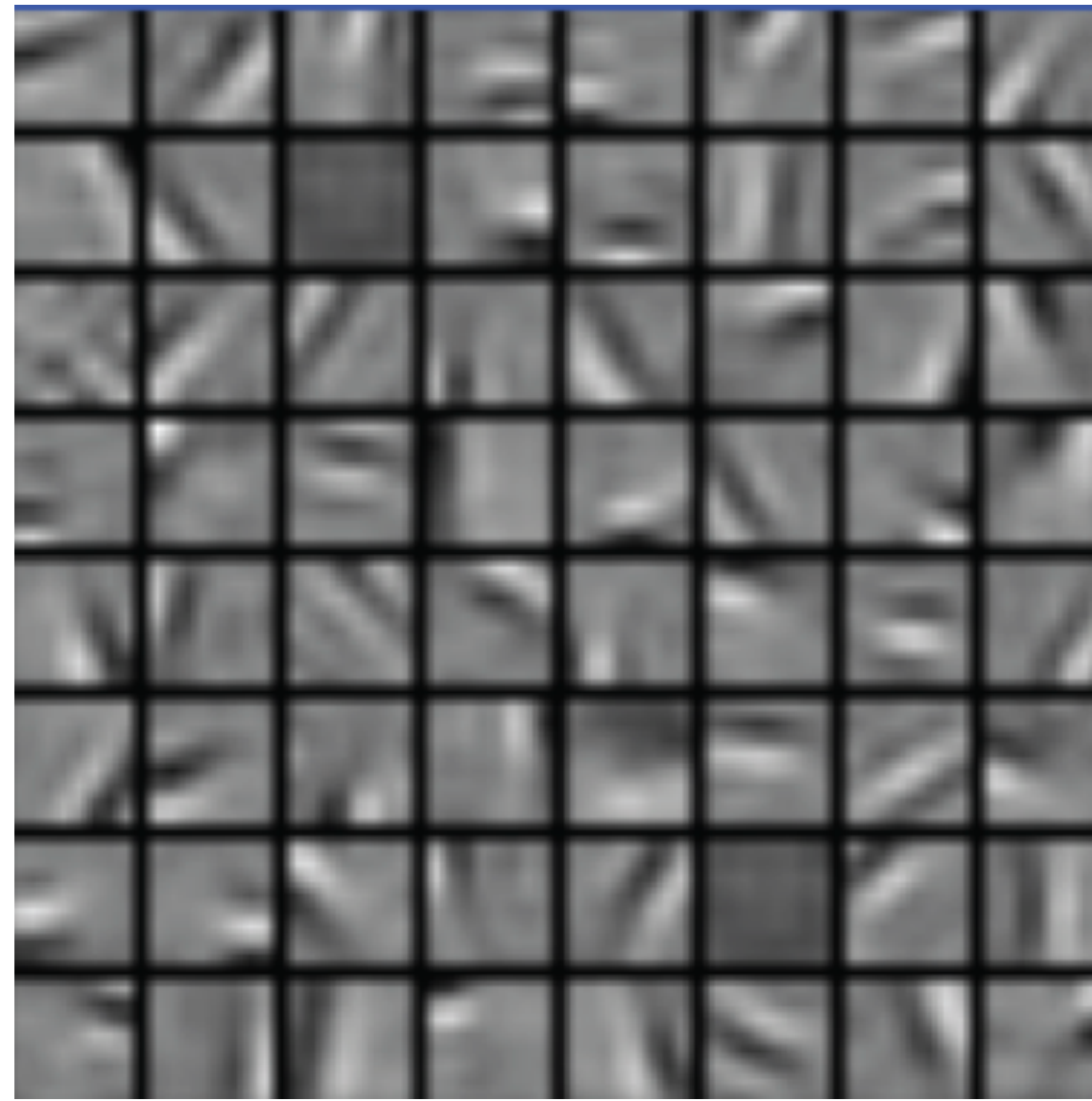




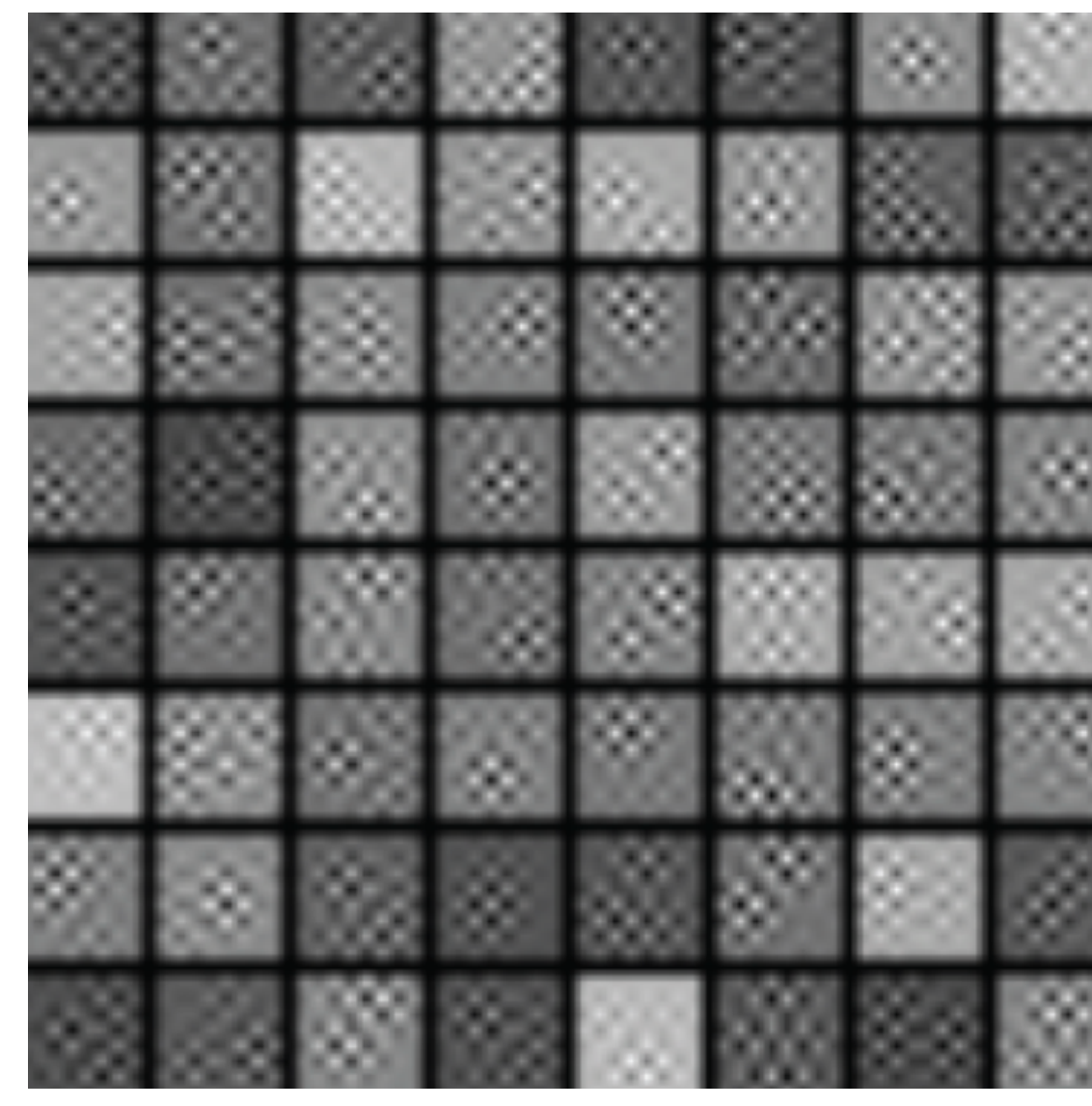
What filters are learned?

# What filters are learned?

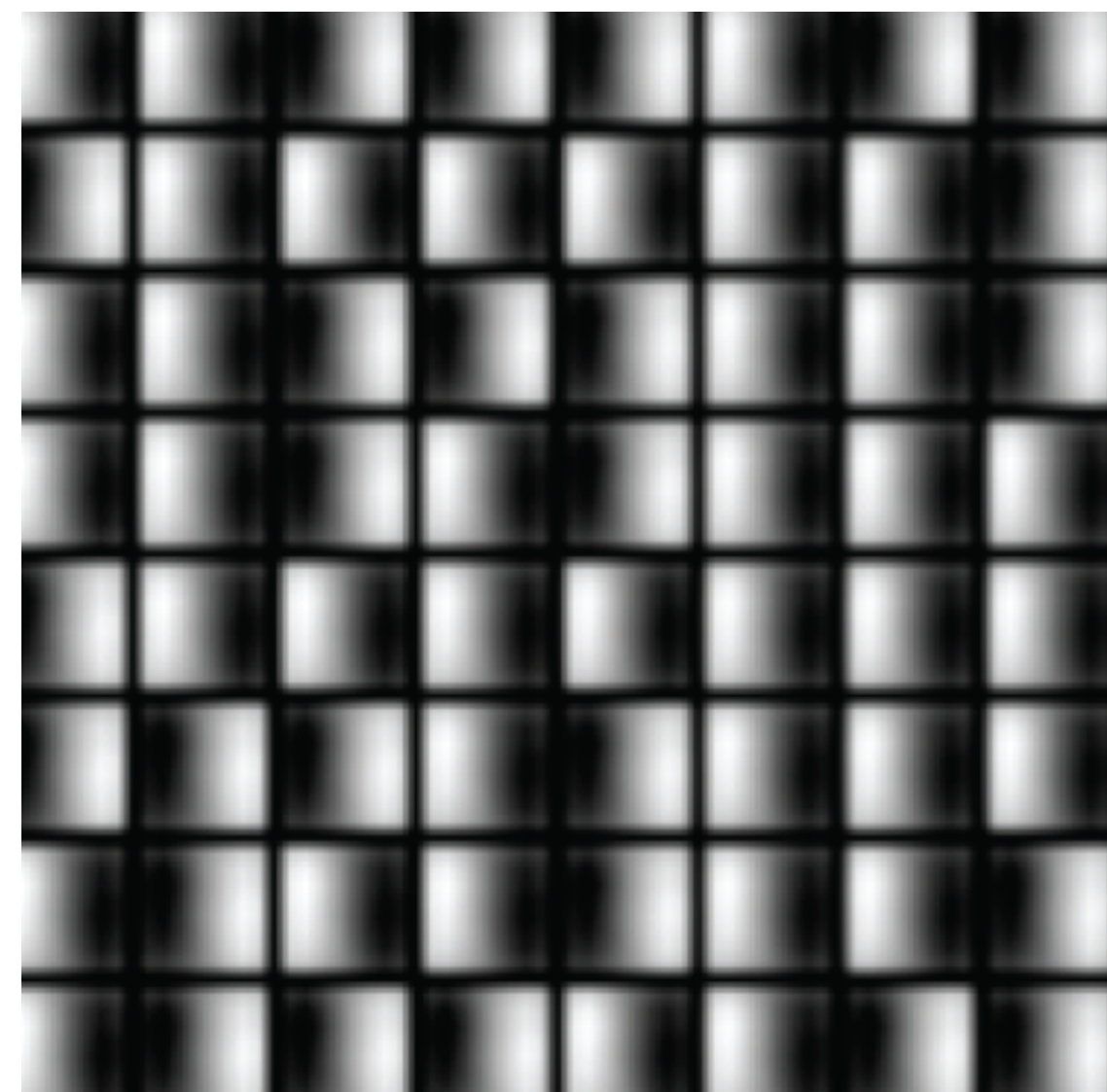
A



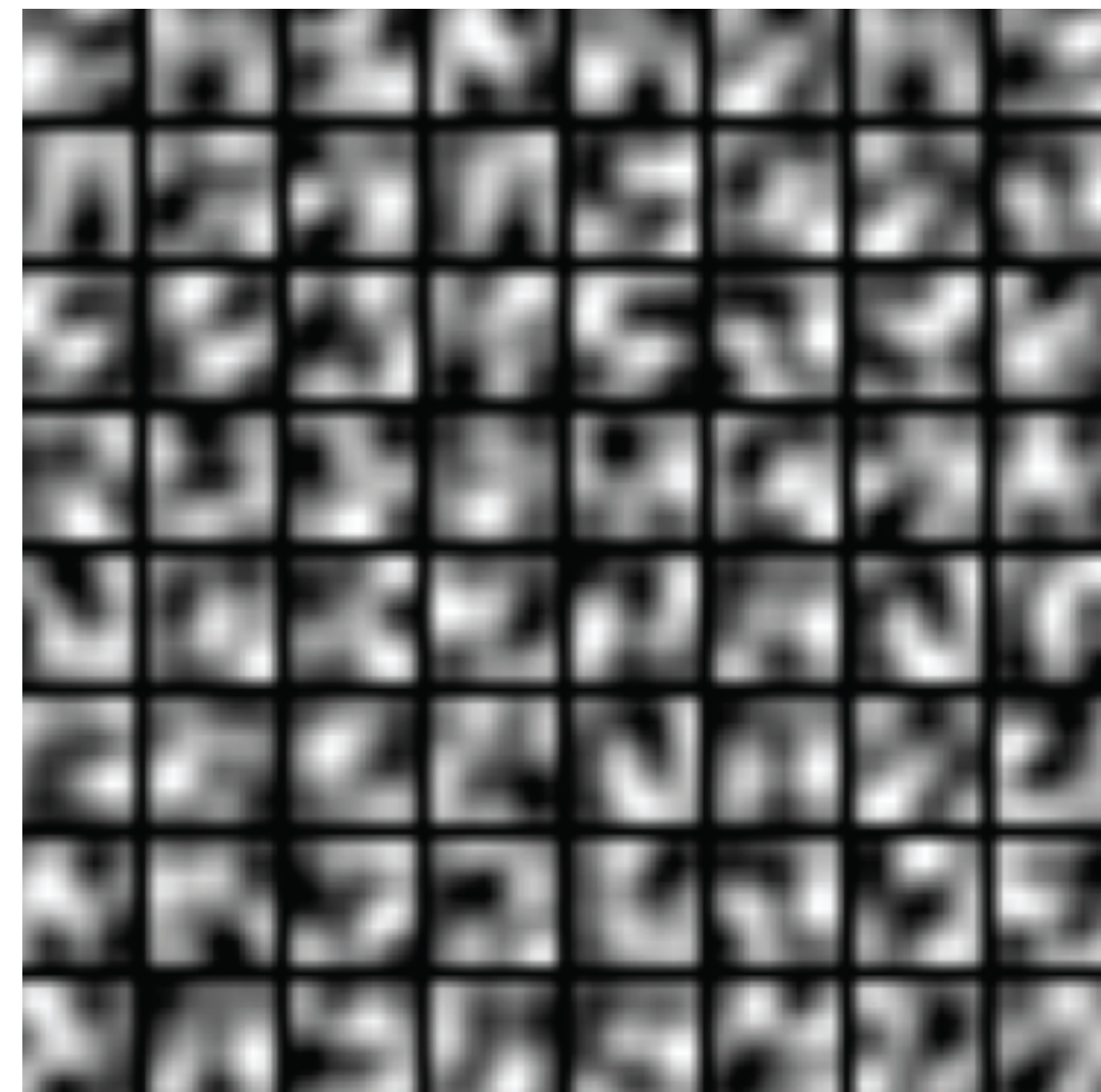
B



C



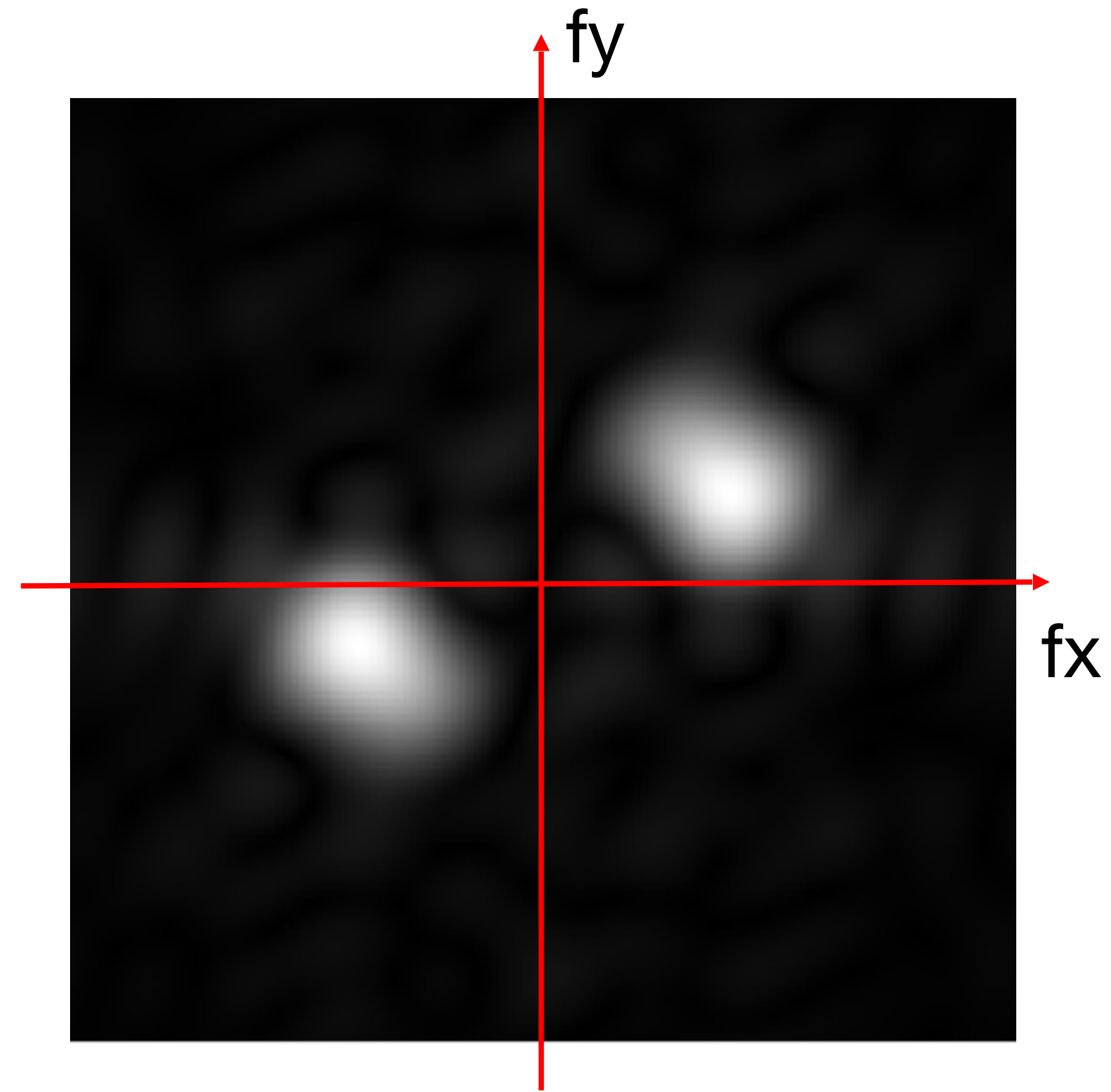
D



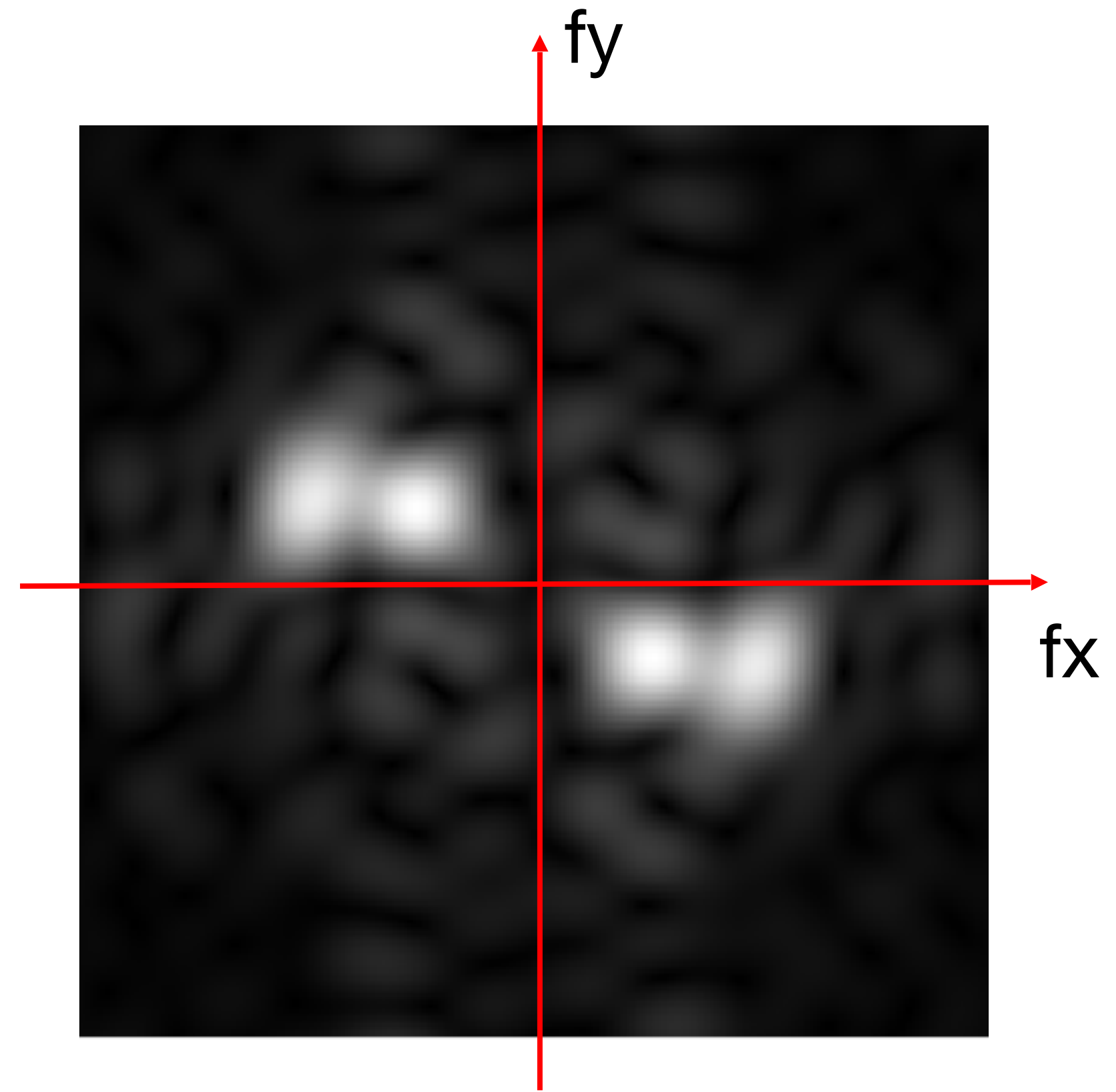
# Get to know your units



11x11 convolution kernel  
(3 color channels)

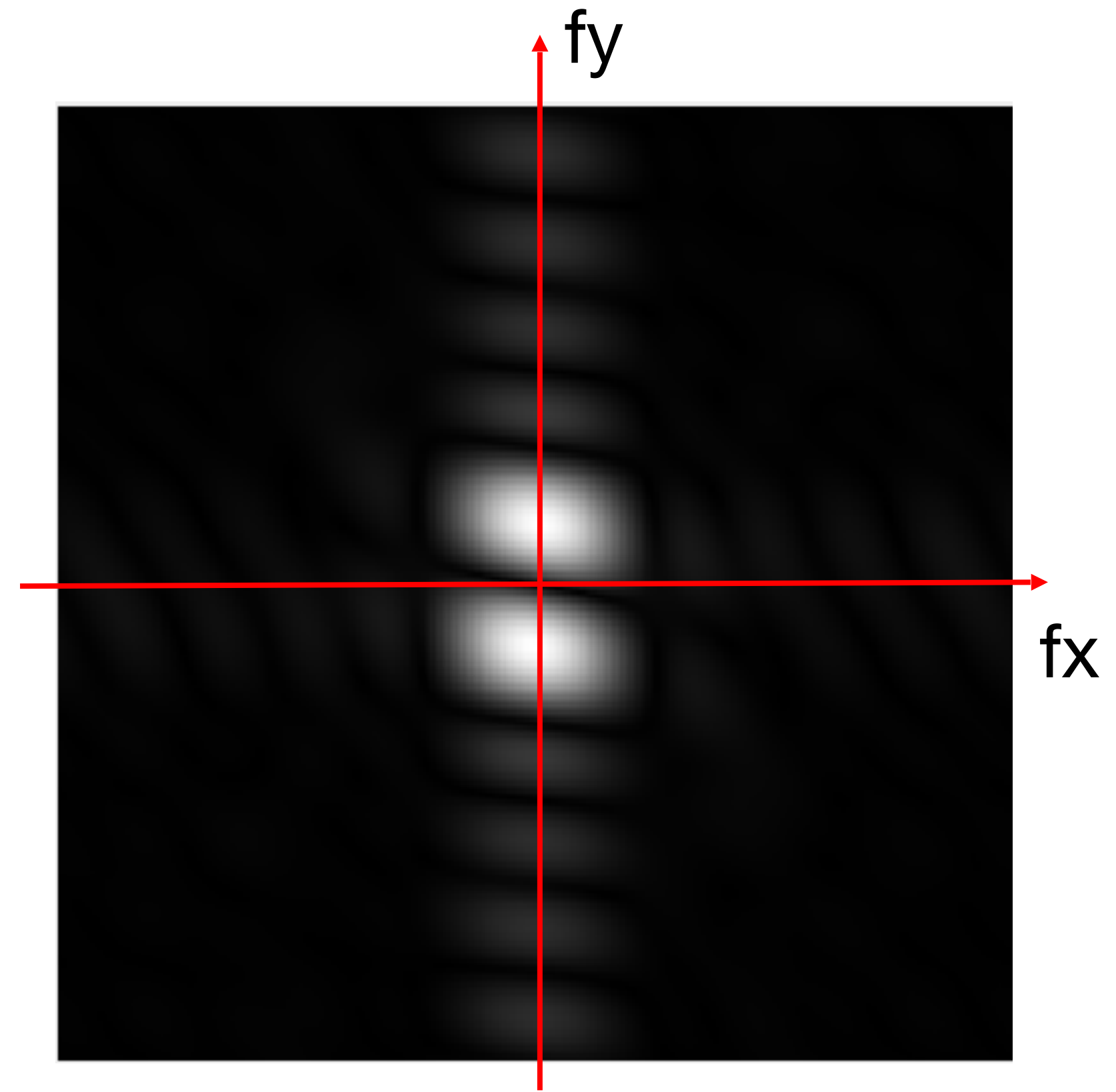


# Get to know your units

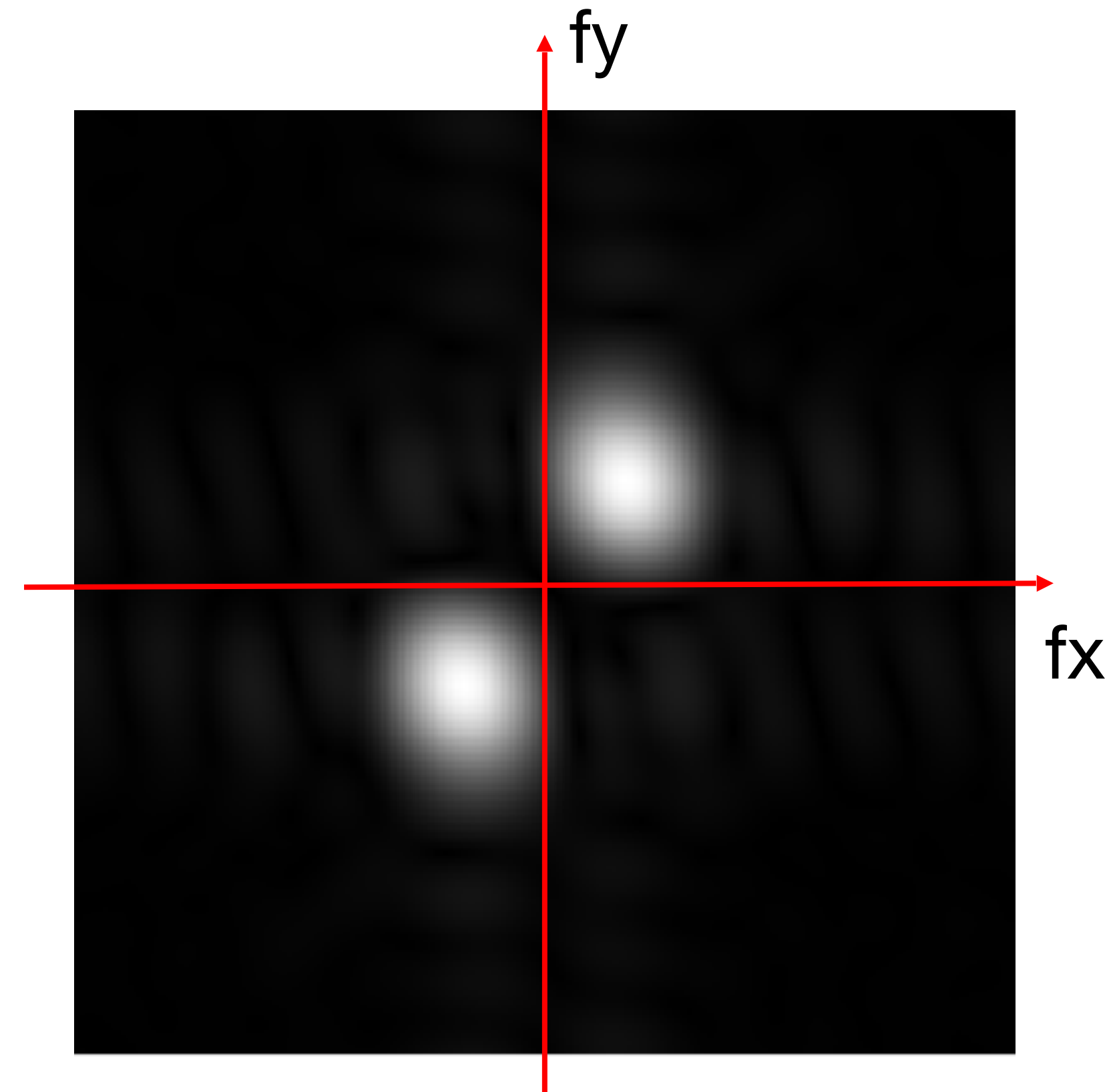
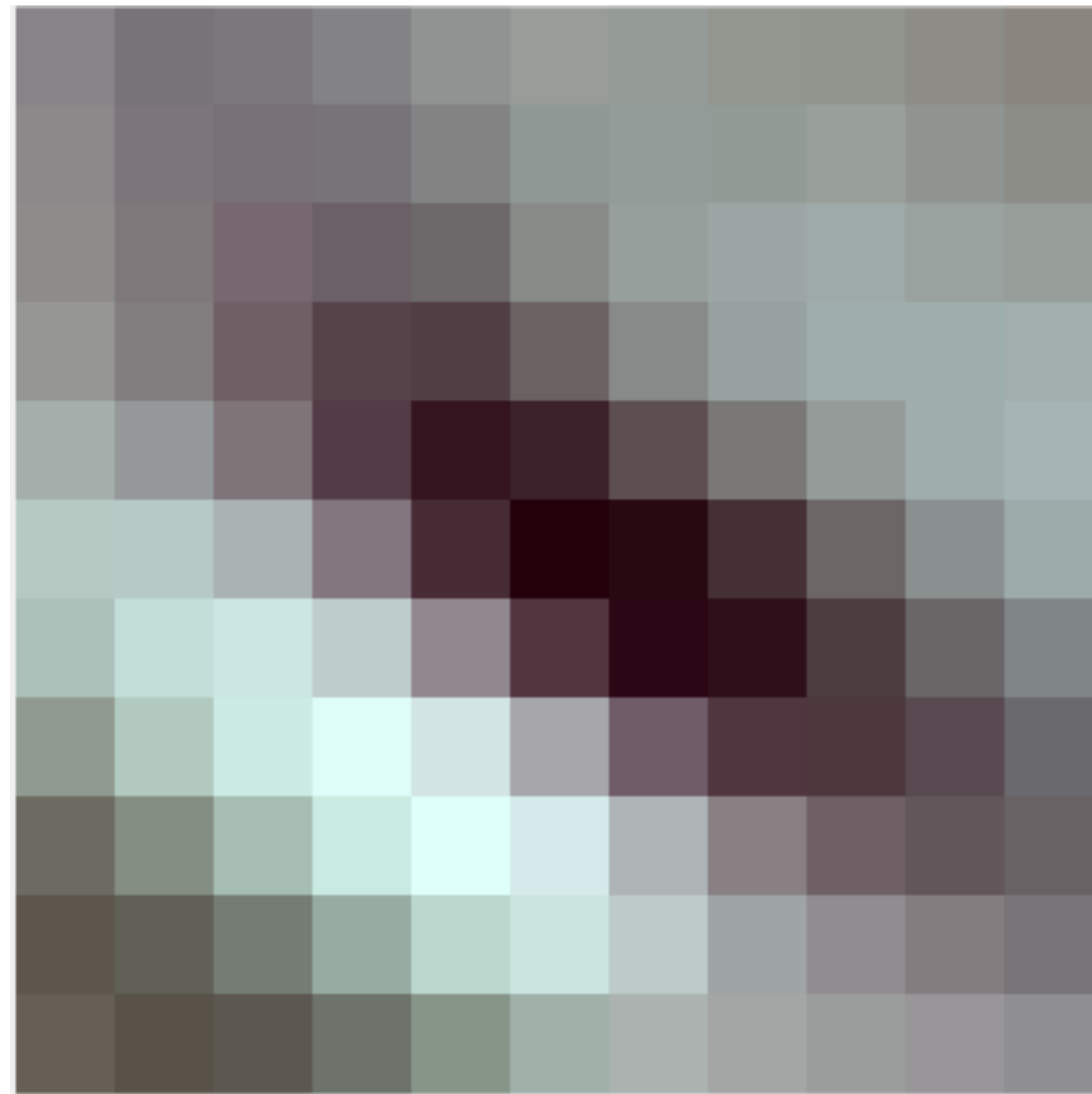




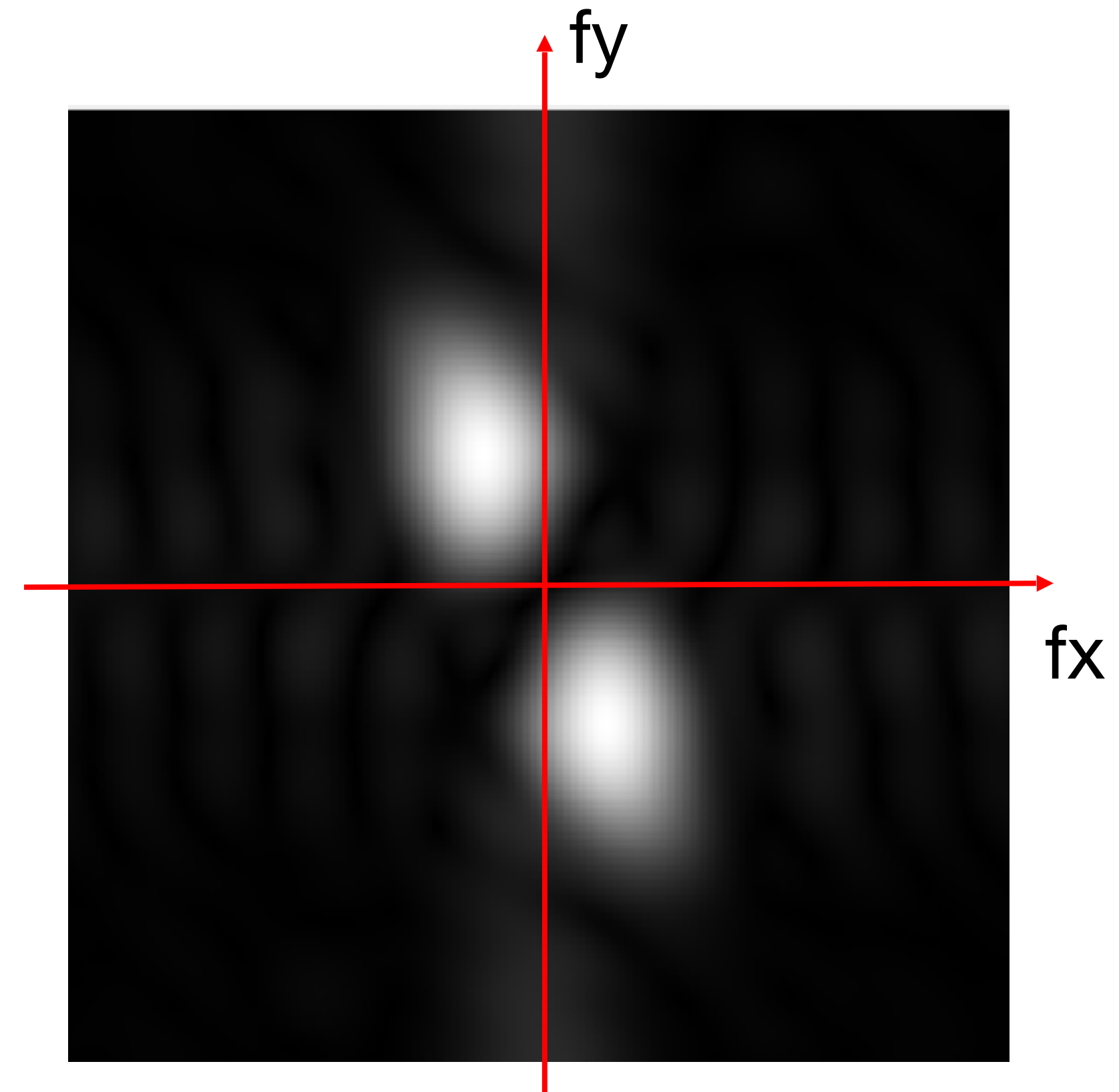
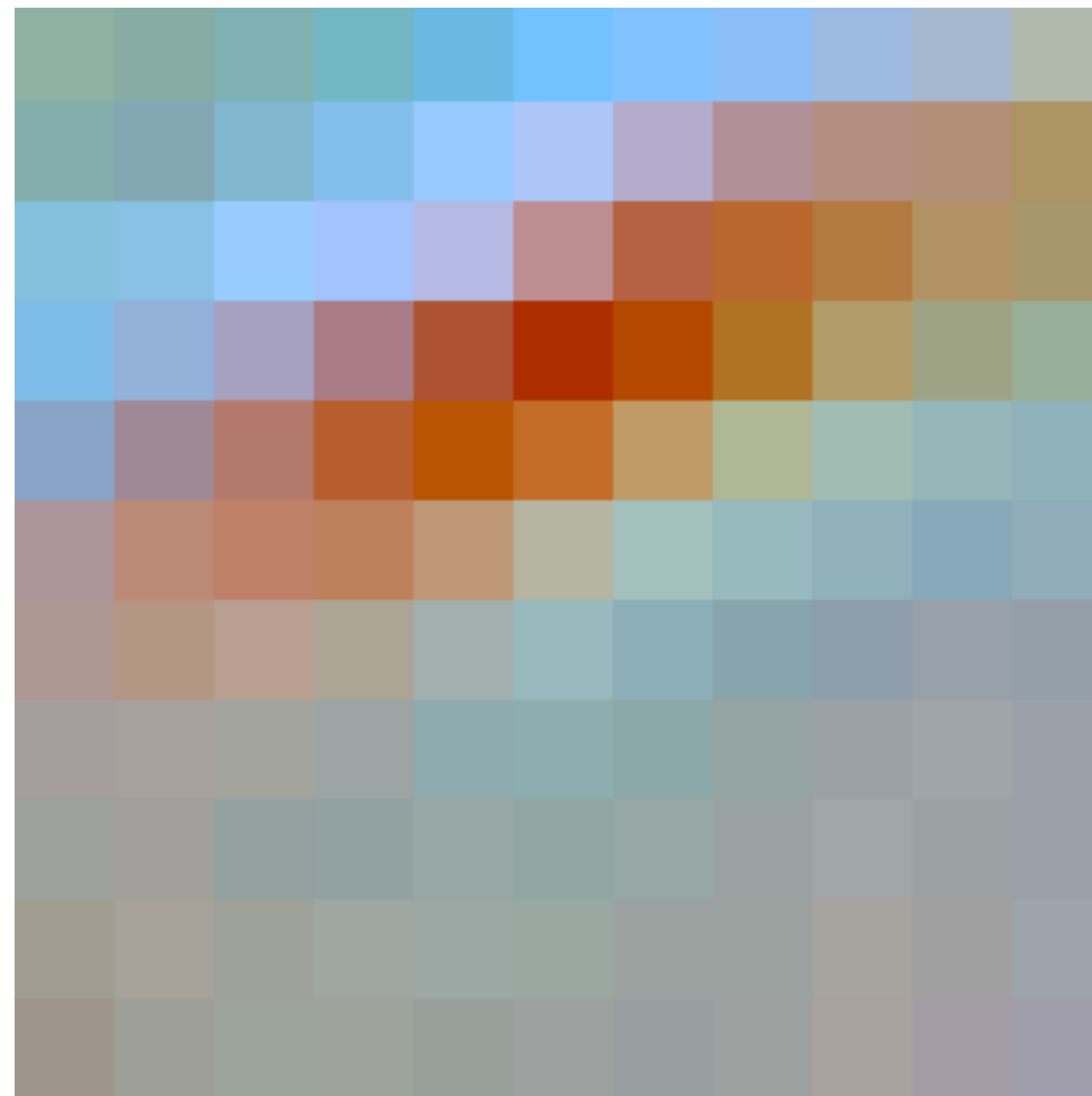
# Get to know your units



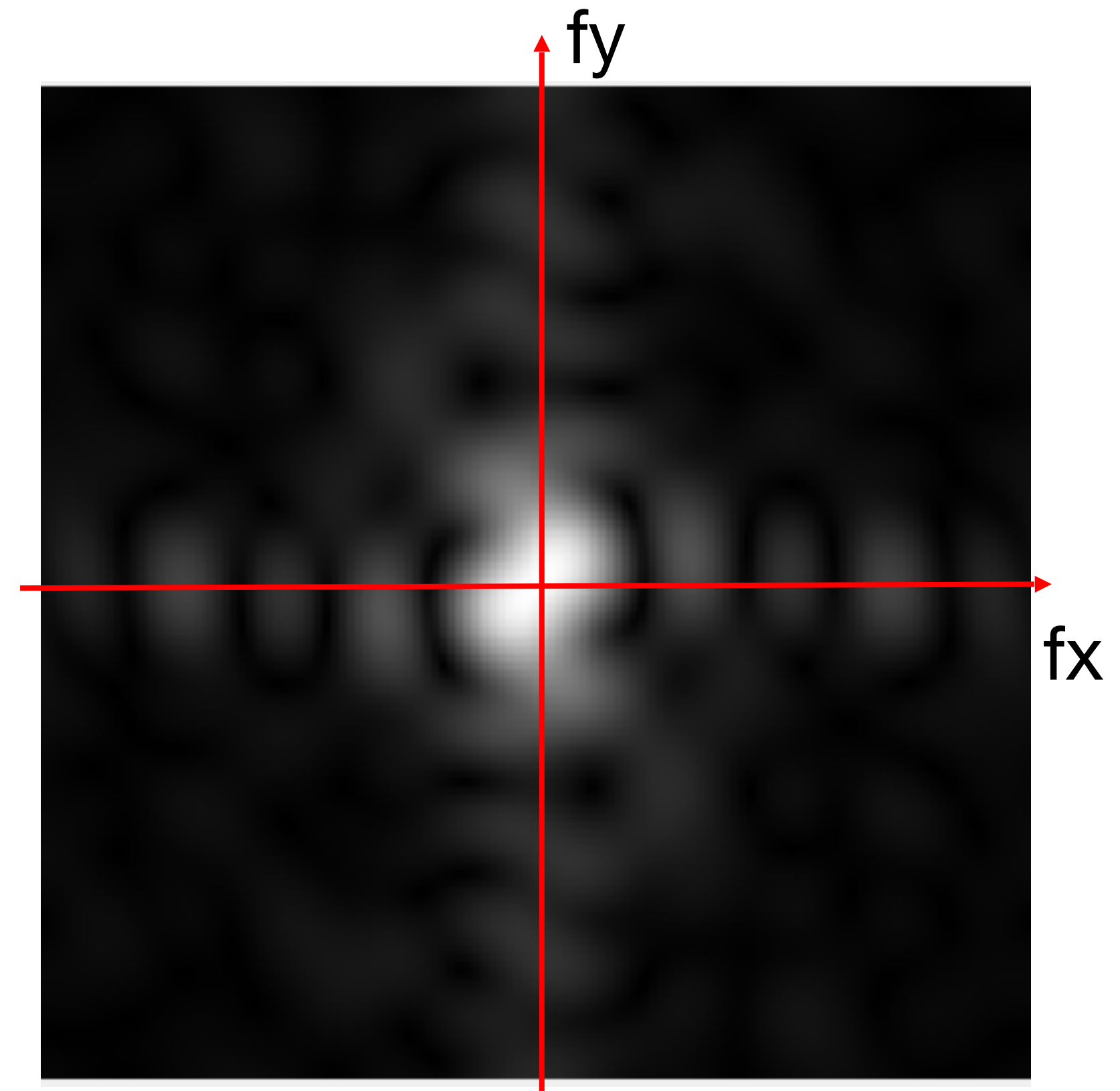
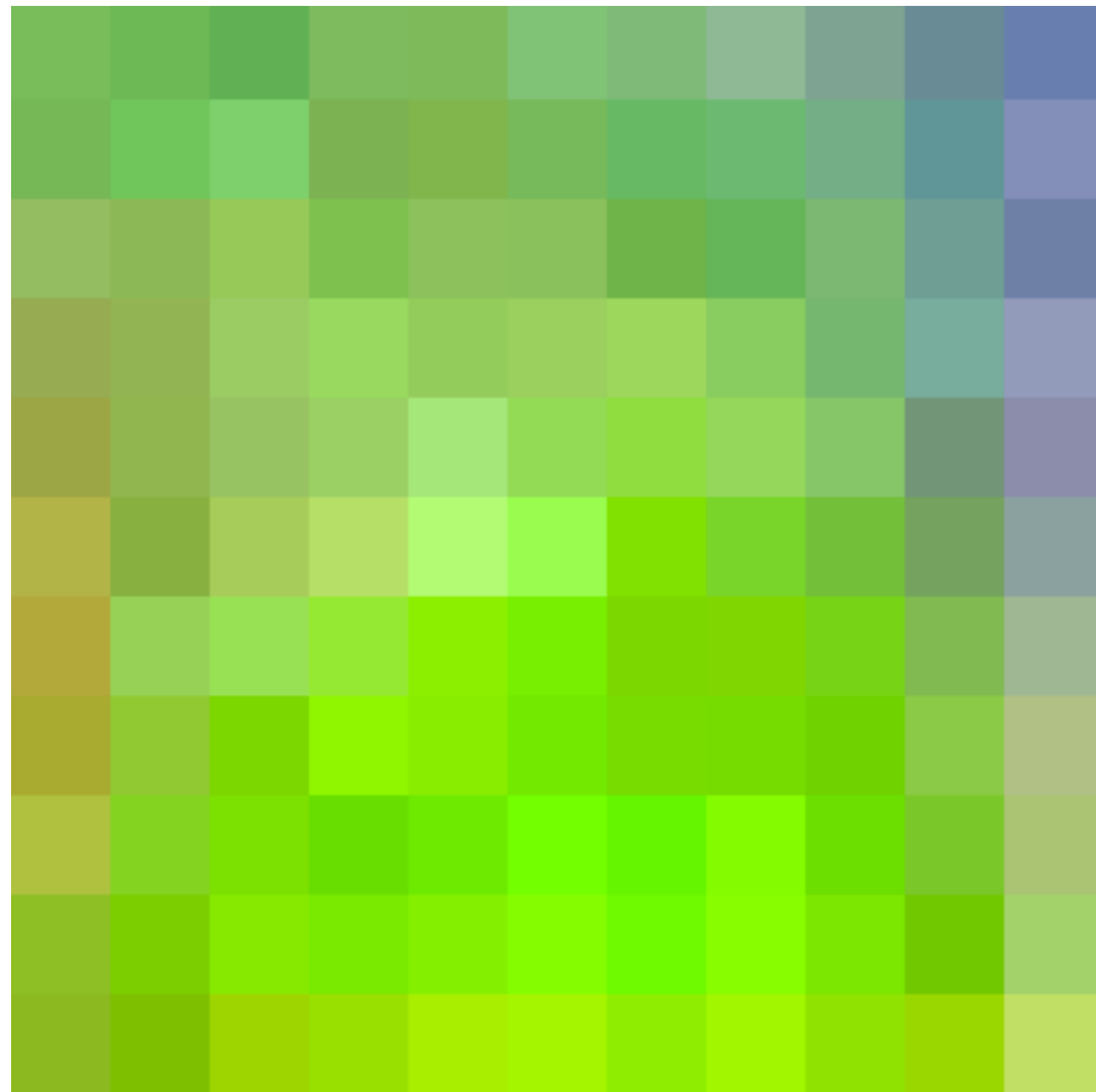
# Get to know your units



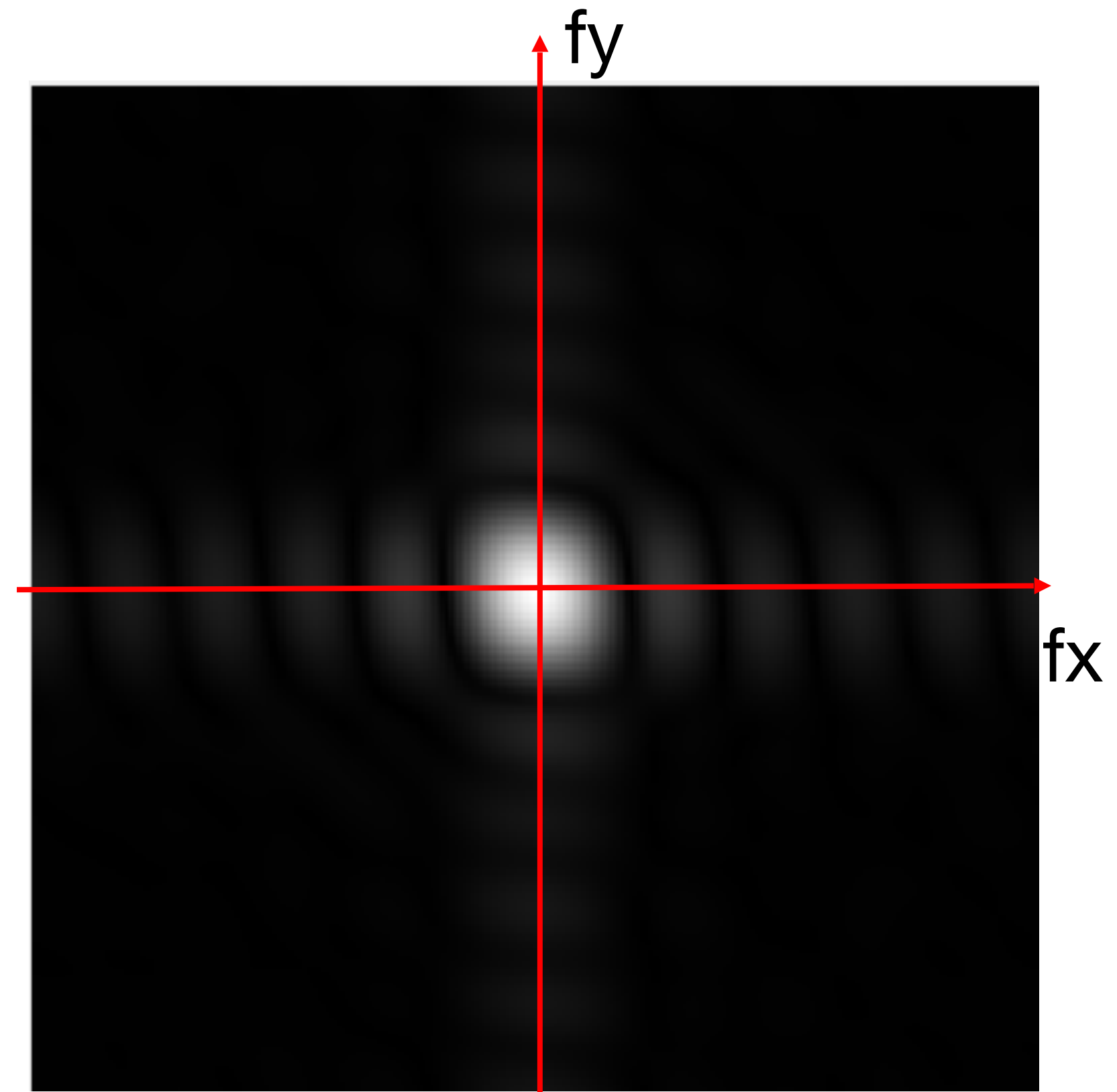
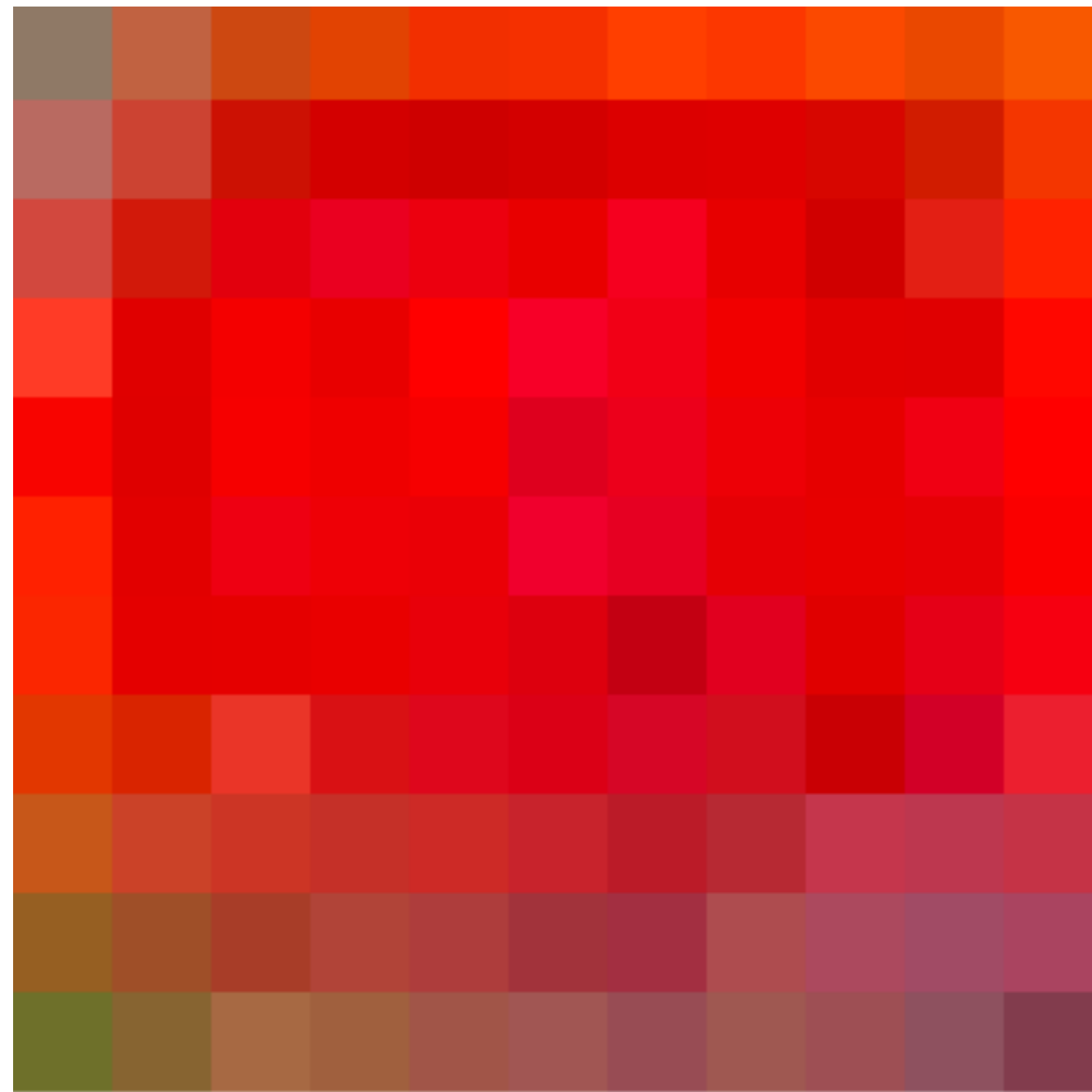
# Get to know your units



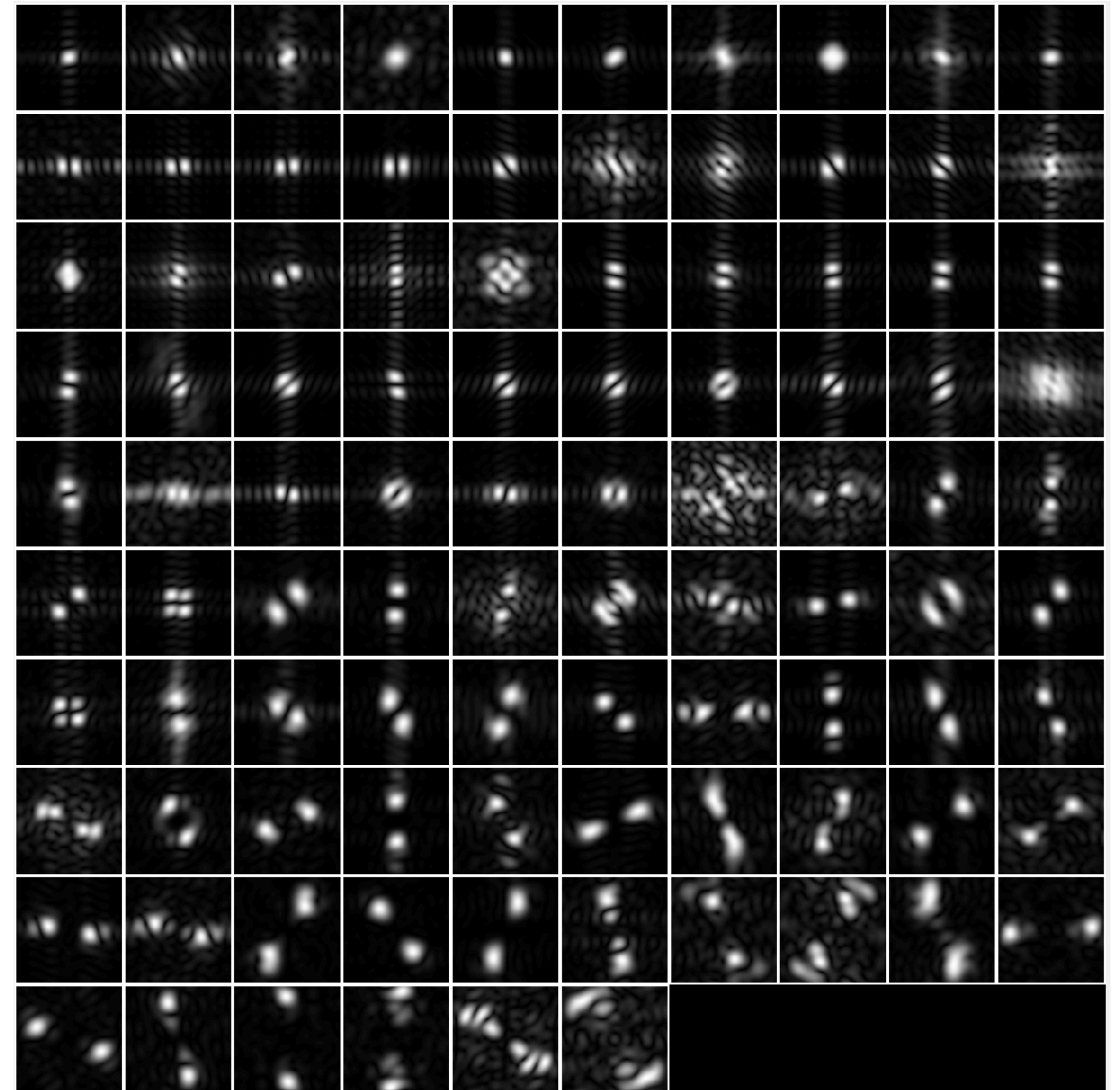
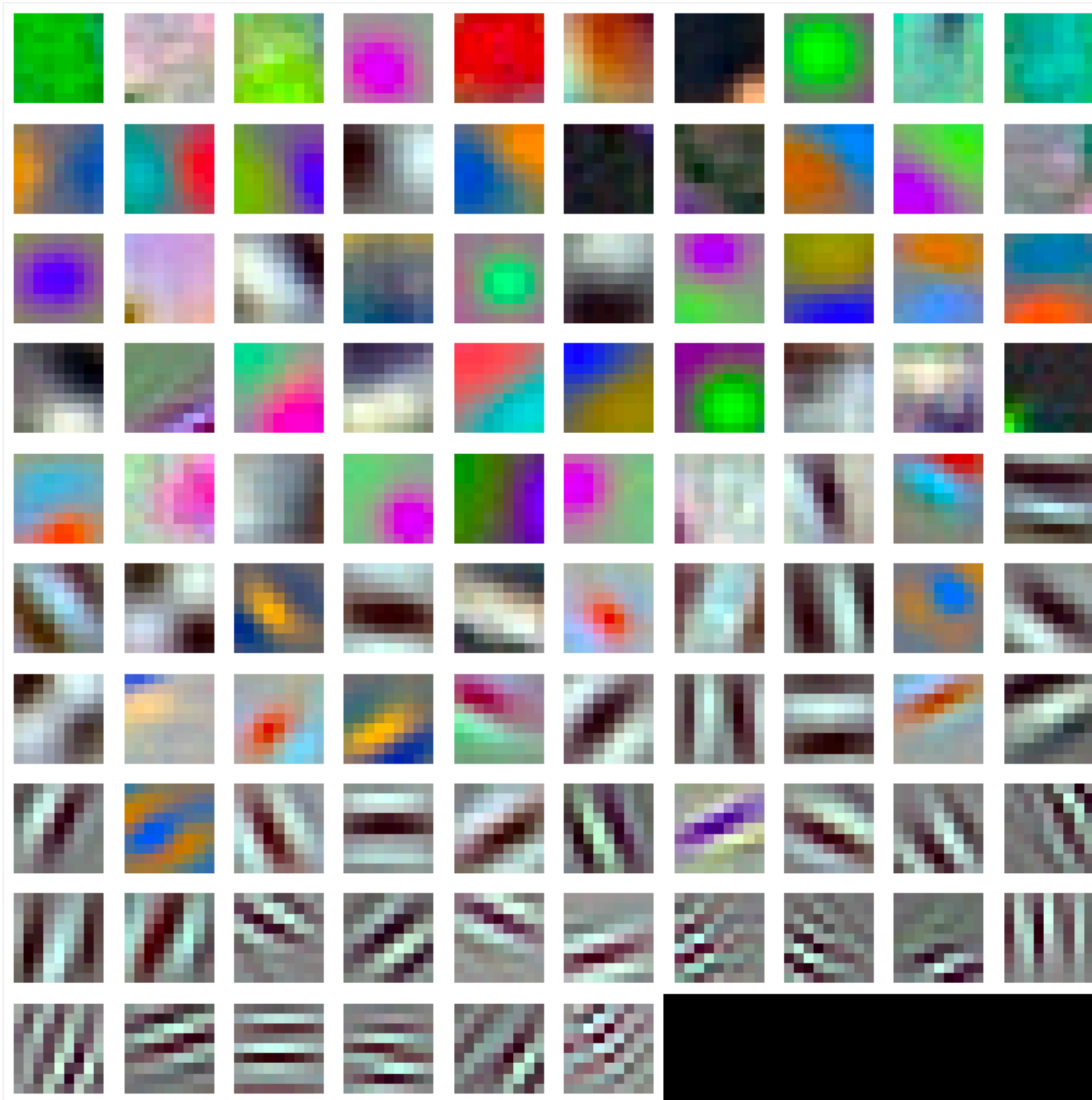
# Get to know your units



# Get to know your units

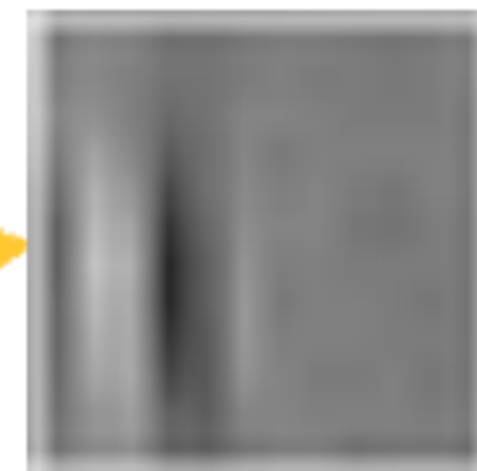
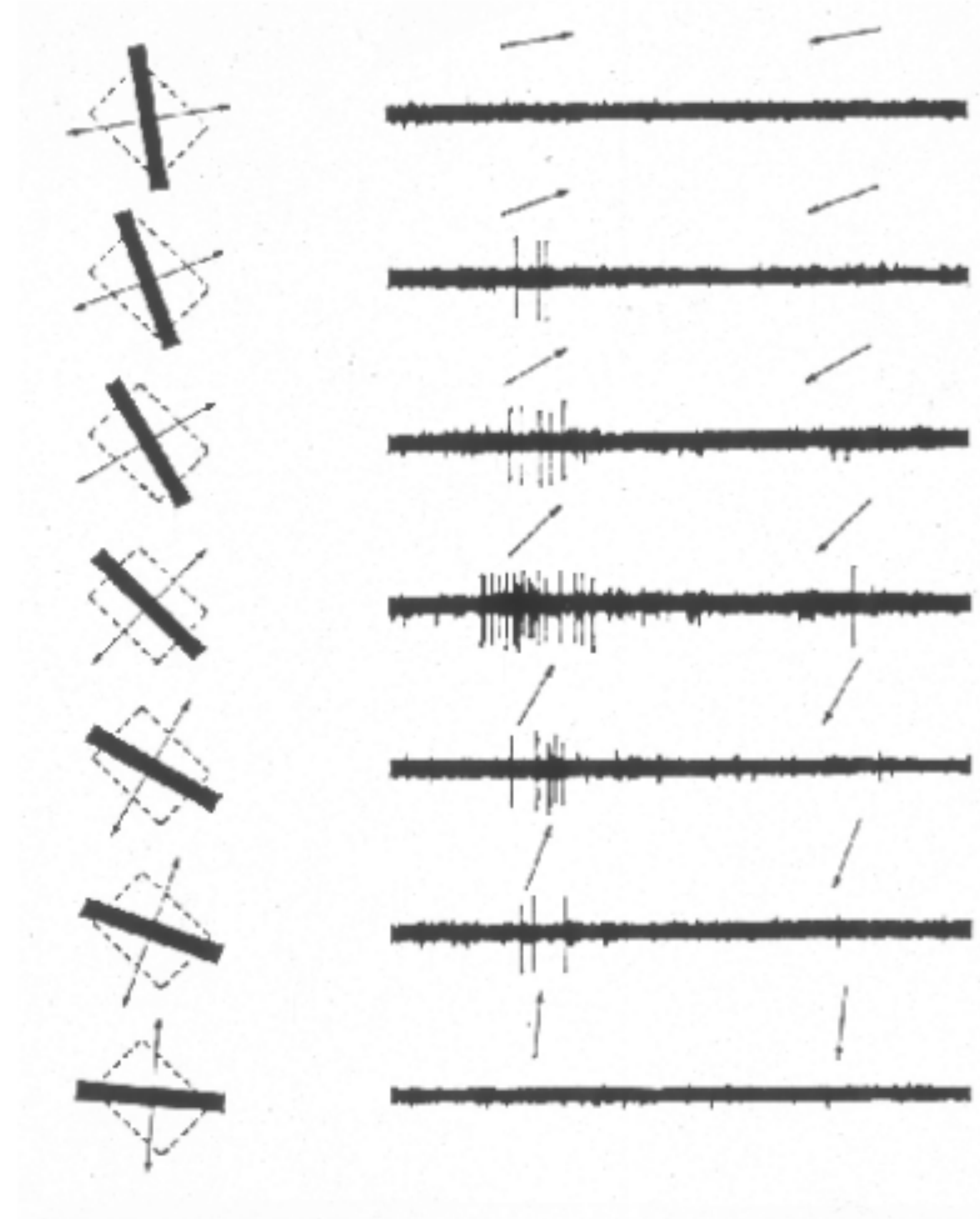
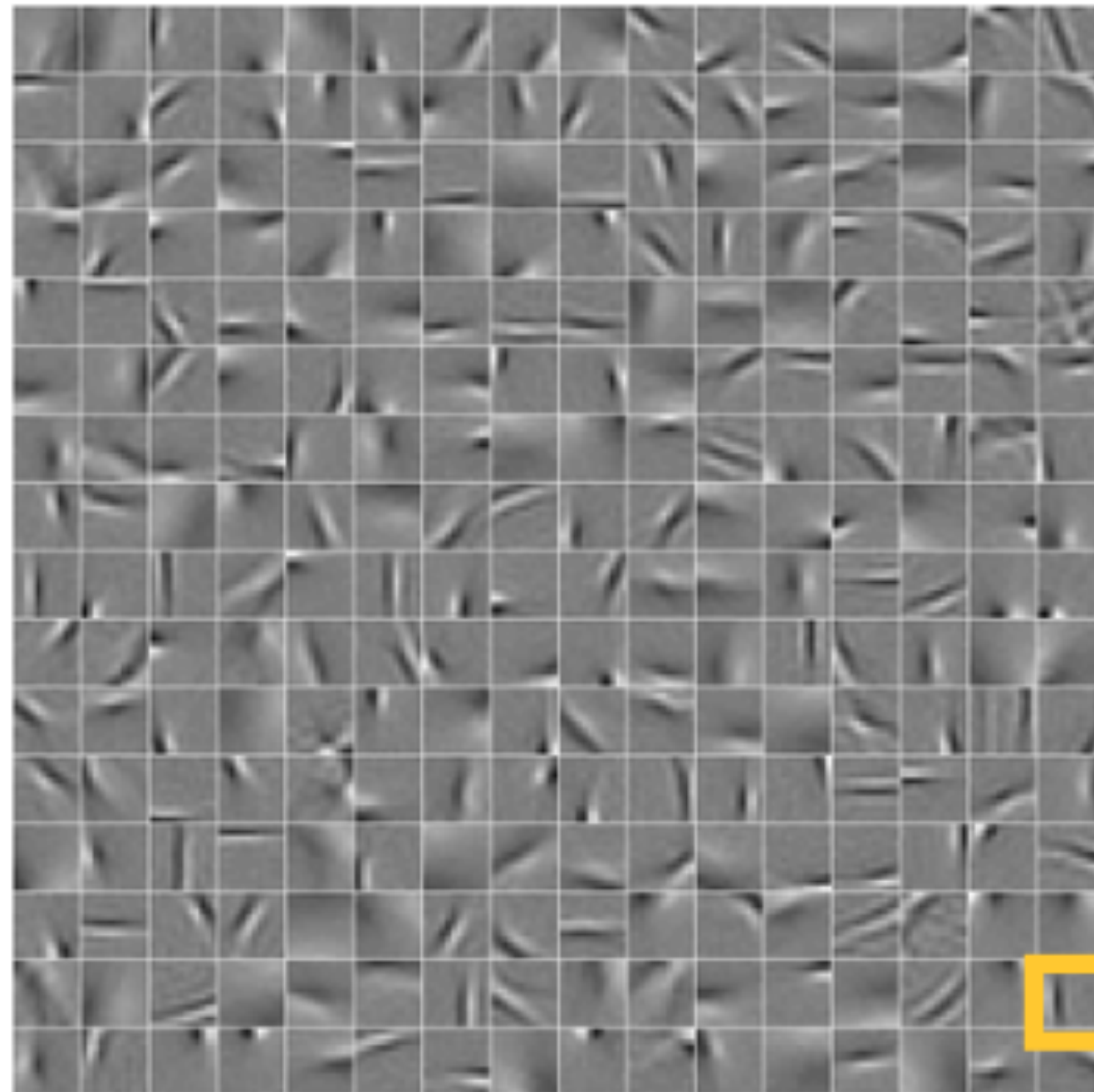
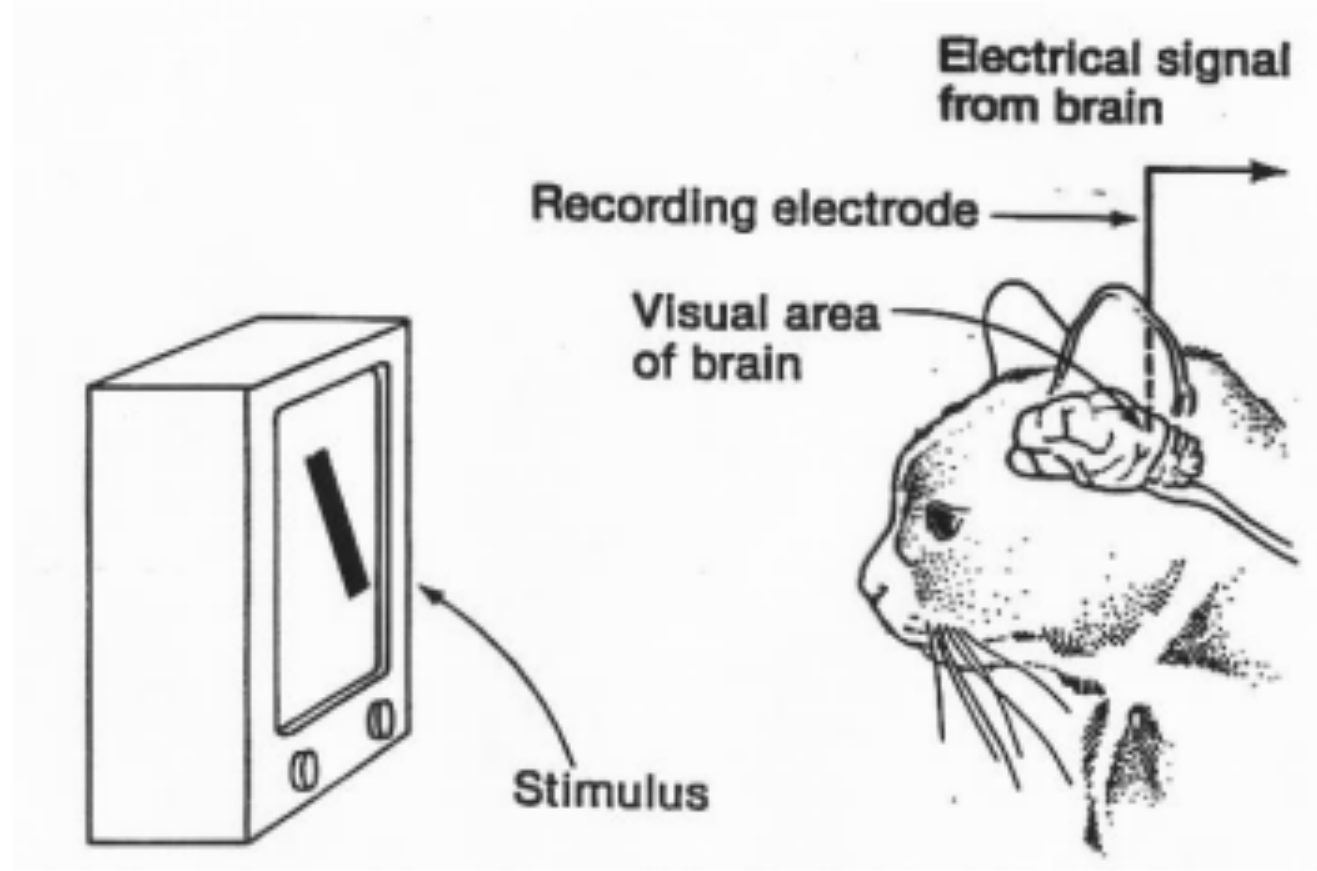


# Get to know your units



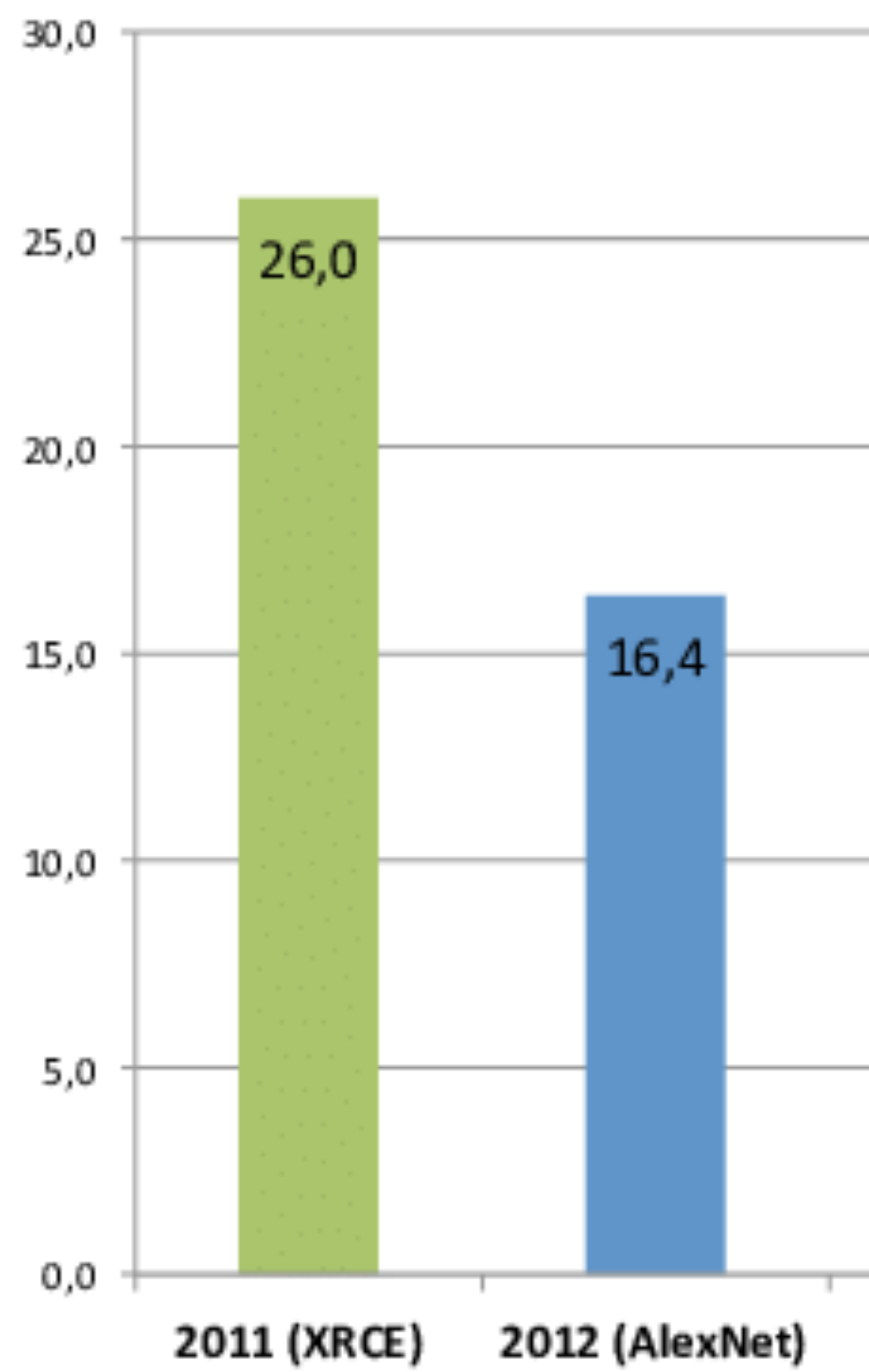
96 Units in conv1

# [Hubel and Wiesel 59]



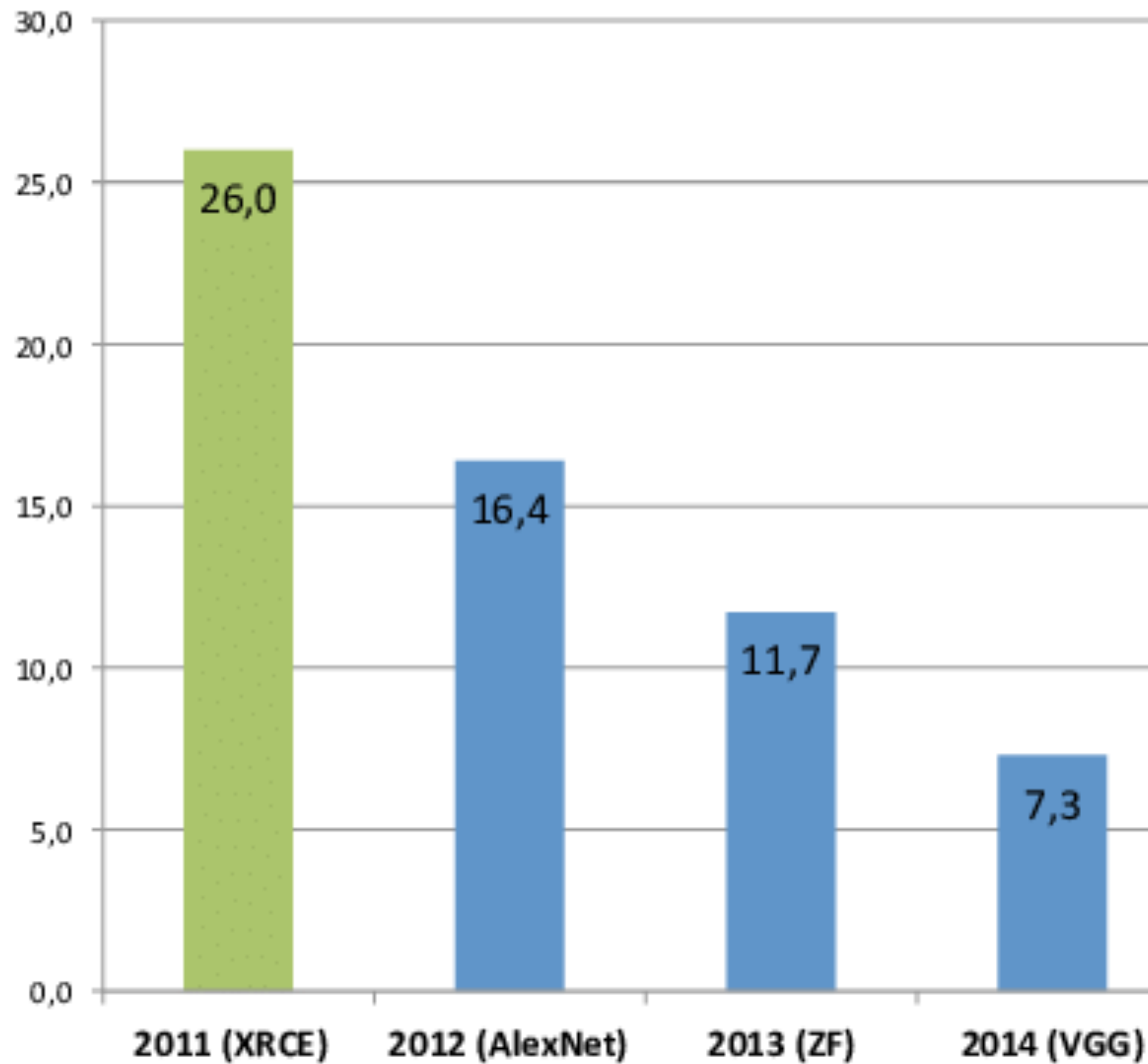
oriented filter

## ImageNet Classification Error (Top 5)

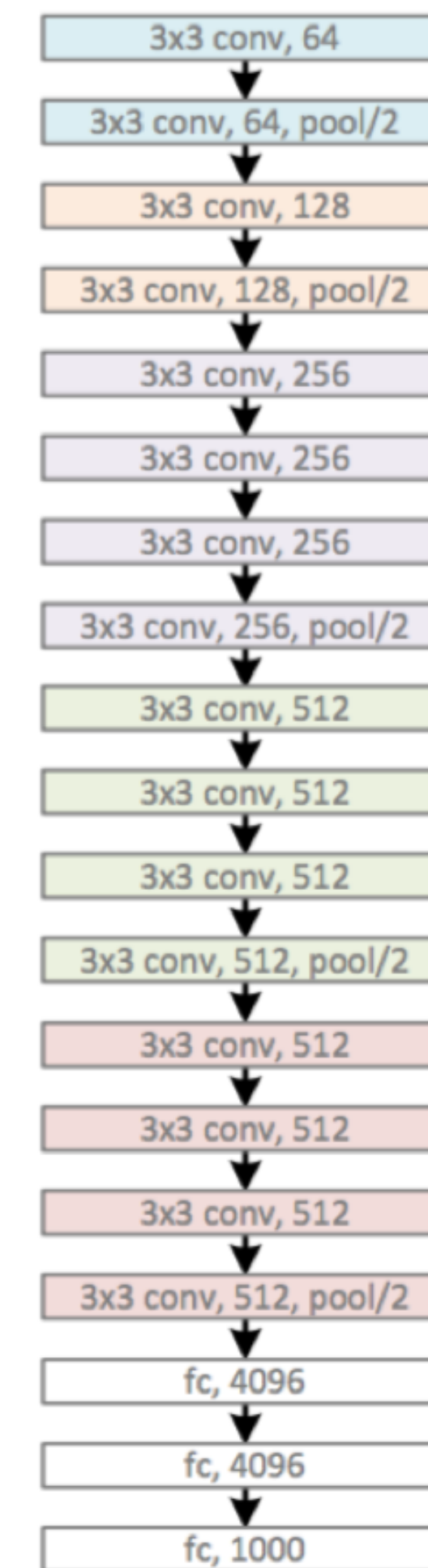




**ImageNet Classification Error (Top 5)**



**2014: VGG**  
16 conv. layers

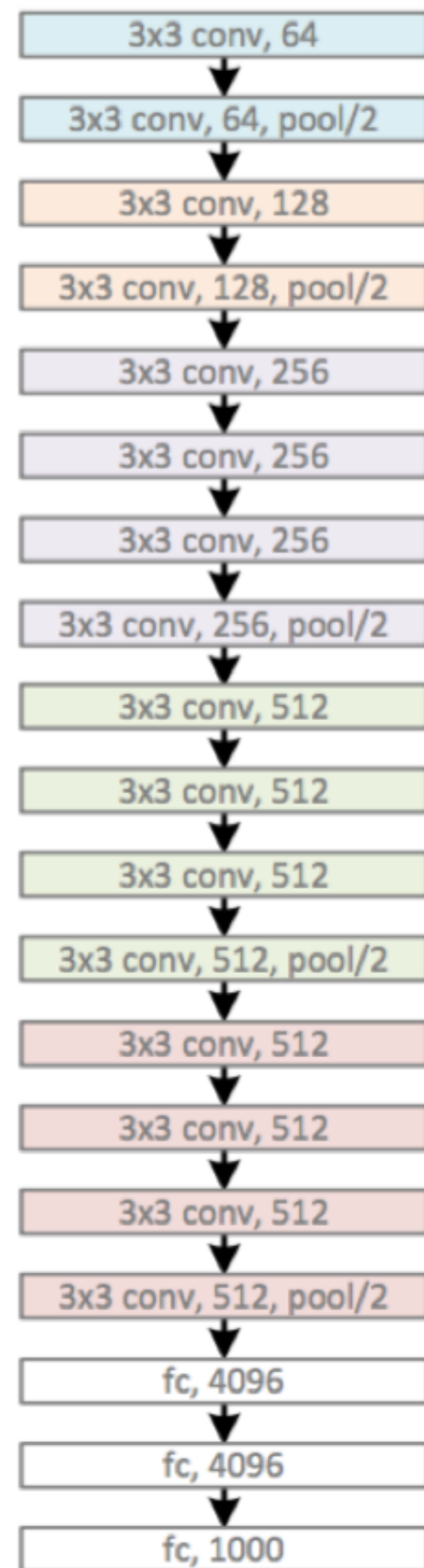


Error: 7.3%

*[Simonyan & Zisserman: Very Deep Convolutional Networks for Large-Scale Image Recognition, ICLR 2015]*

# VGG-Net [Simonyan & Zisserman, 2015]

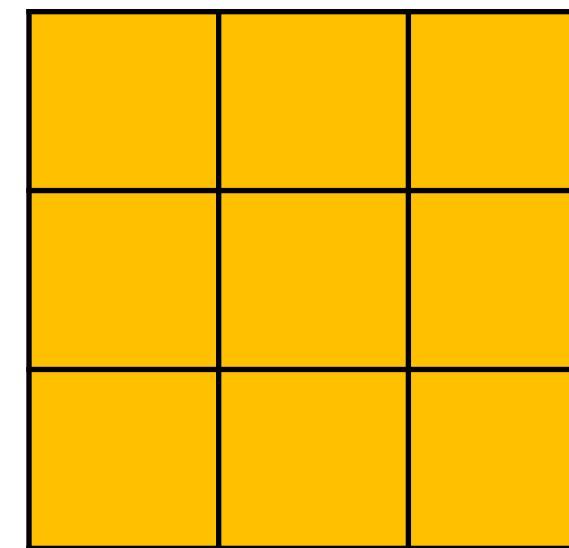
2014: VGG  
16 conv. layers



Error: 7.3%

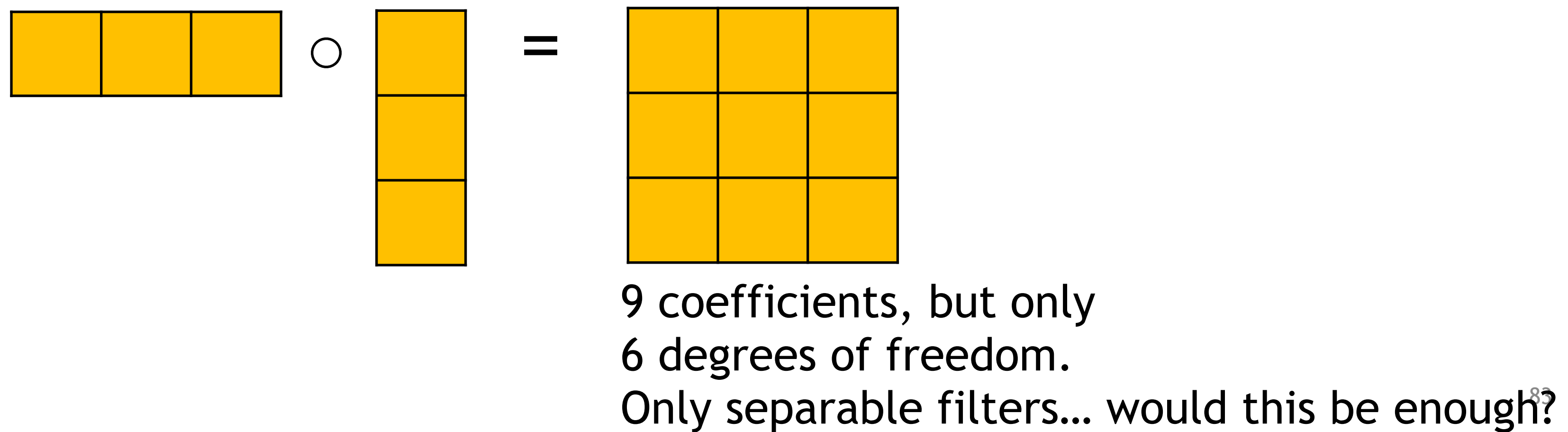
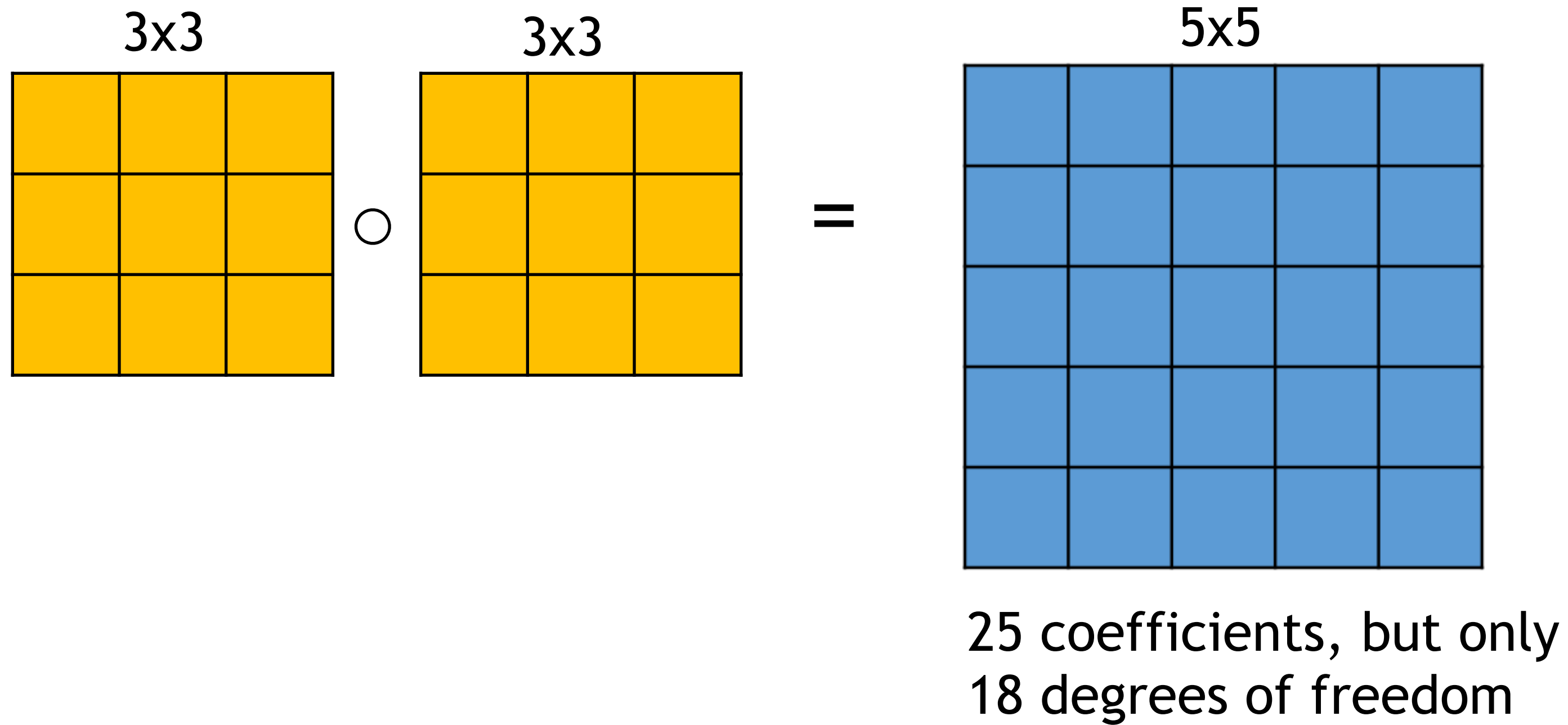
## Main developments

- Small convolutional kernels: only 3x3

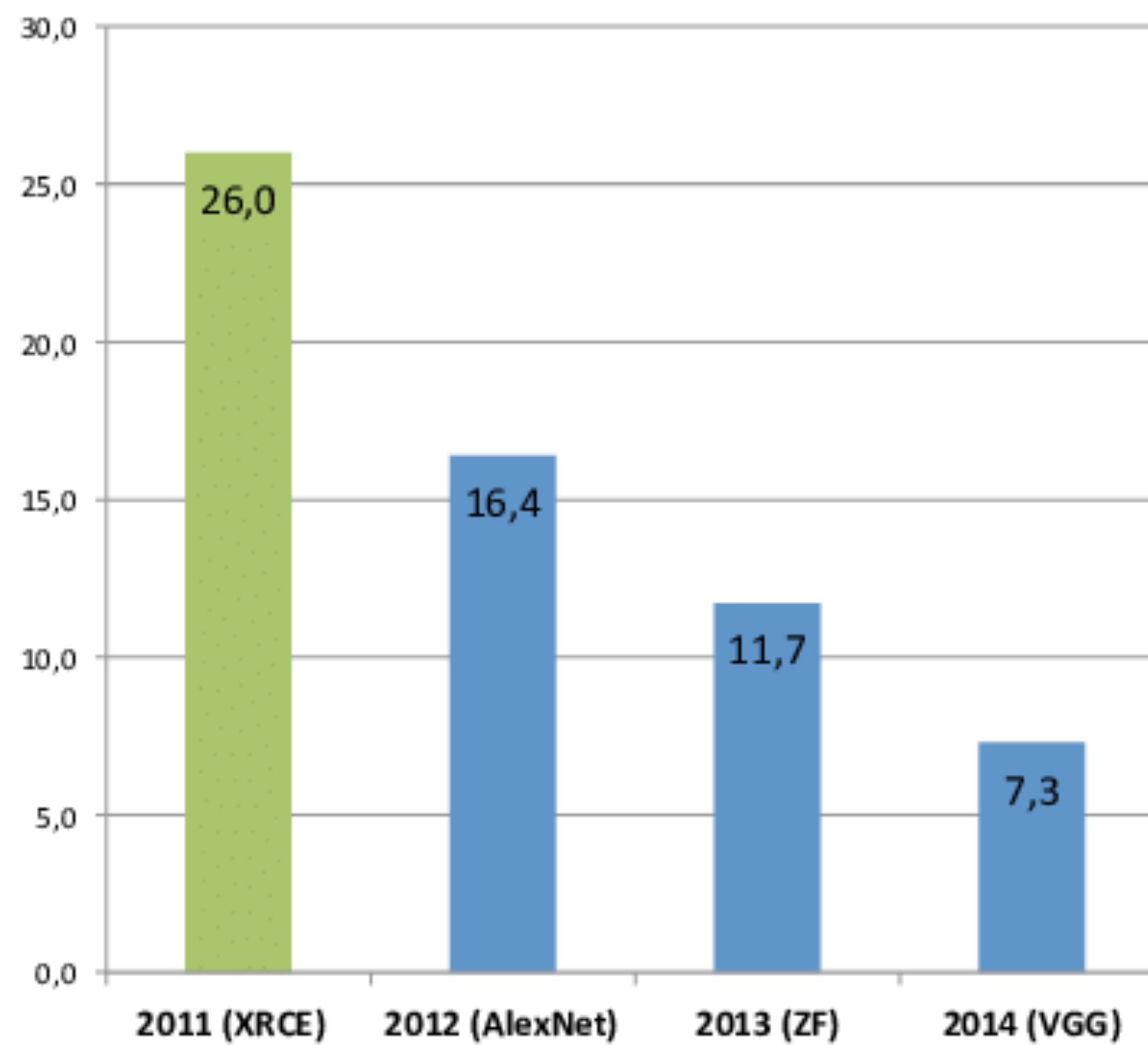


- Increased depth (5 -> 16/19 layers)

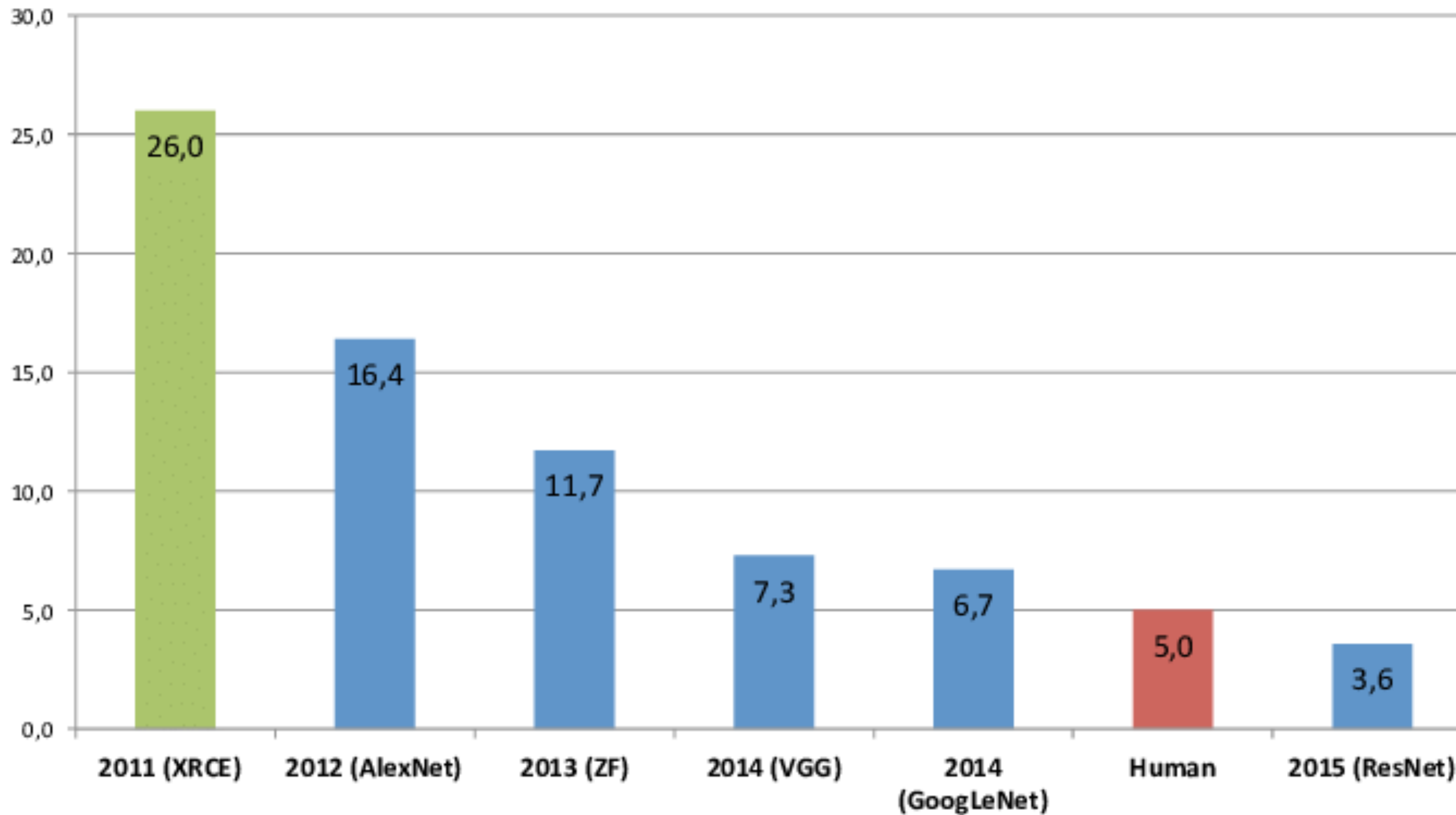
# Chaining convolutions



## ImageNet Classification Error (Top 5)



## ImageNet Classification Error (Top 5)



2016: ResNet  
>100 conv. layers

Error: 3.6%

*[He et al: Deep Residual Learning for Image Recognition, CVPR 2016]*

2016: ResNet  
>100 conv. layers

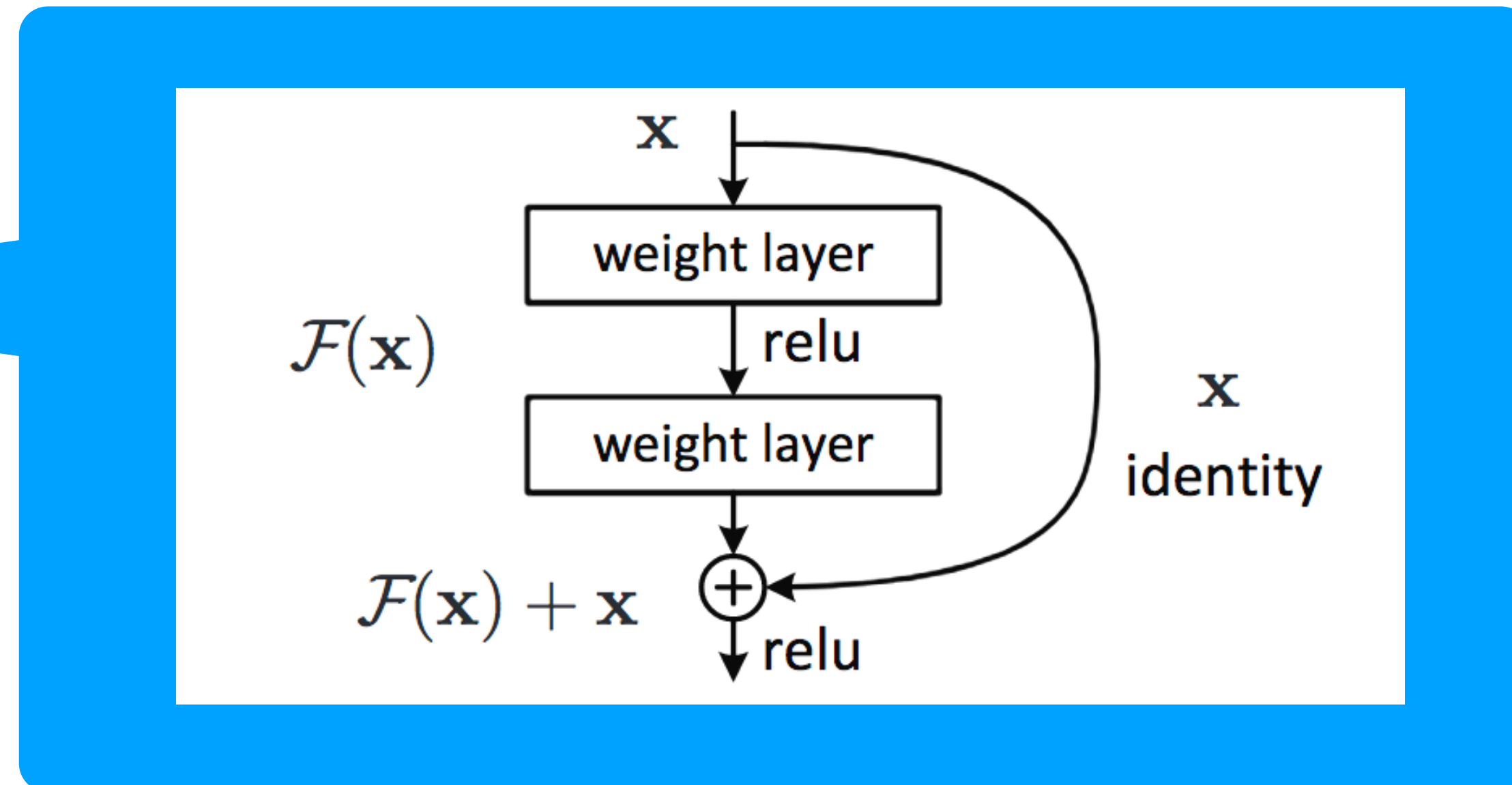
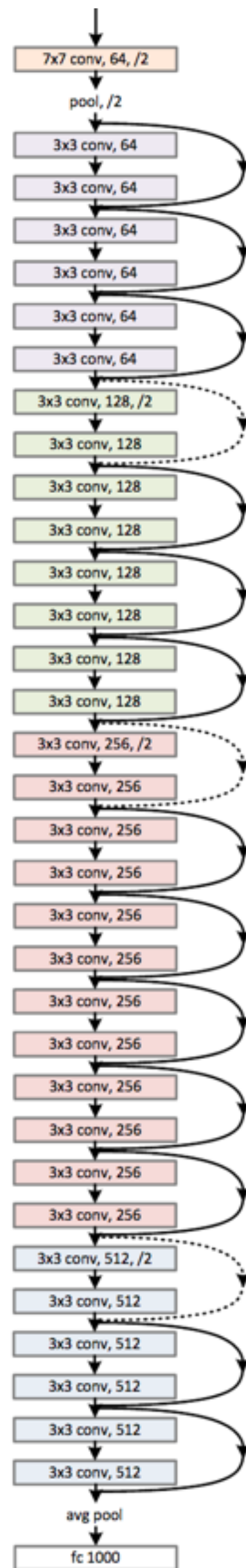
# ResNet [He et al, 2016]

## Main developments

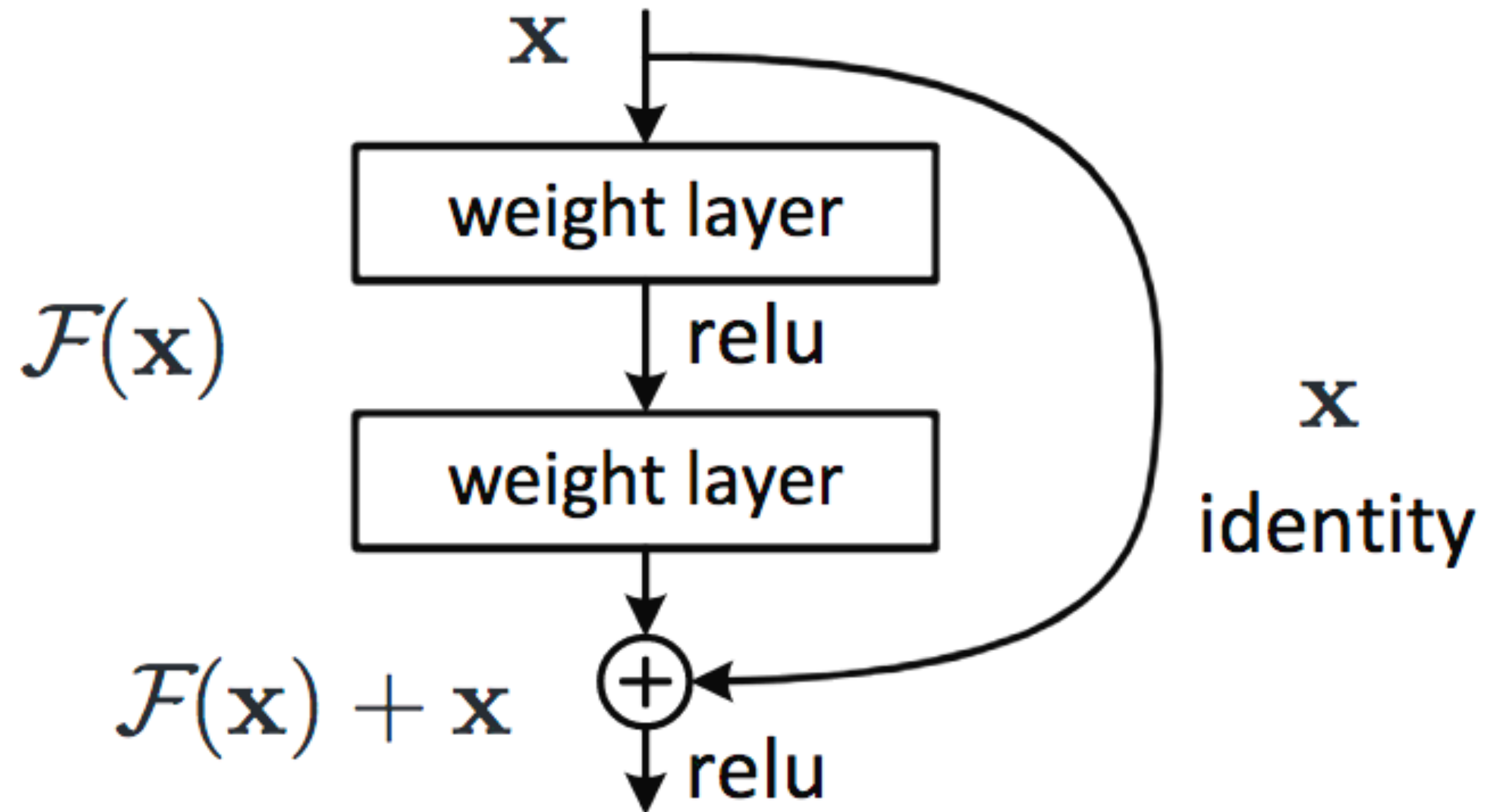
- Increased depth possible through residual blocks



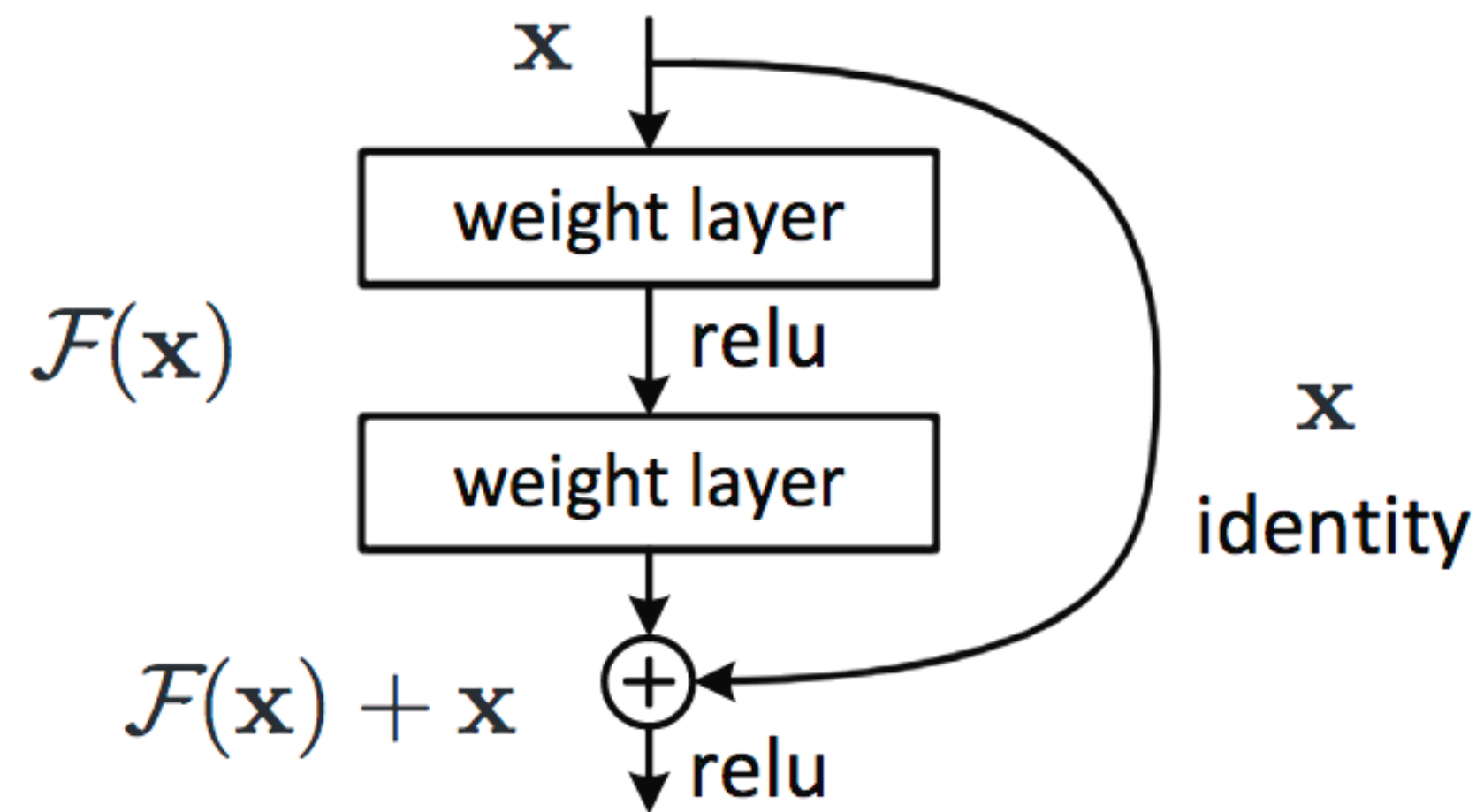
Error: 3.6%



# Residual Blocks



# Residual Blocks



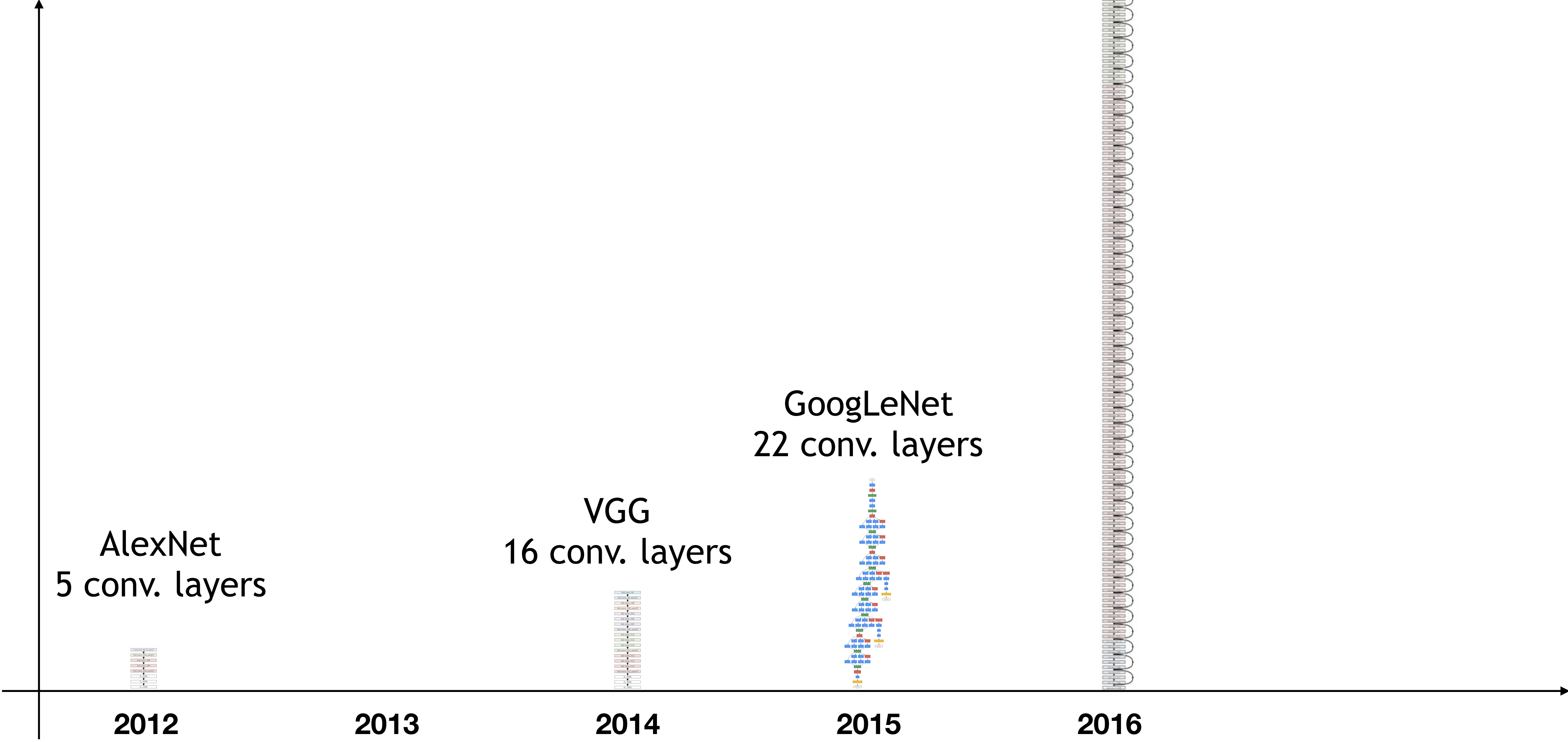
## Why do they work?

- Gradients can propagate faster (via the identity mapping)
- Within each block, only small residuals have to be learned



# Make them bigger

ResNet  
>100 conv. layers



Some debugging advice

# Other good things to know

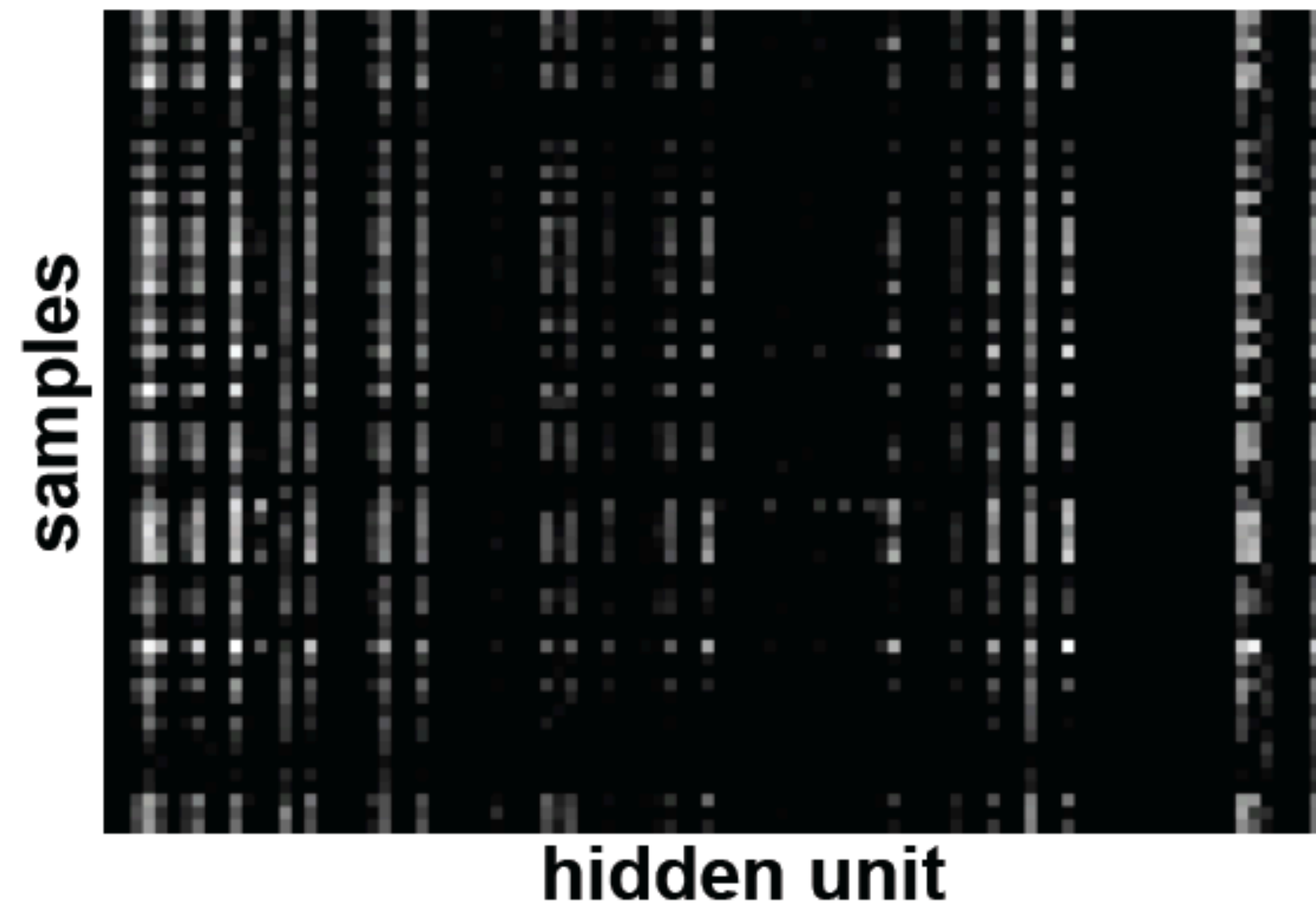
- Check gradients numerically by finite differences
- Visualize hidden activations — should be uncorrelated and high variance



**Good training:** hidden units are sparse across samples and across features.

# Other good things to know

- Check gradients numerically by finite differences
- Visualize hidden activations — should be uncorrelated and high variance

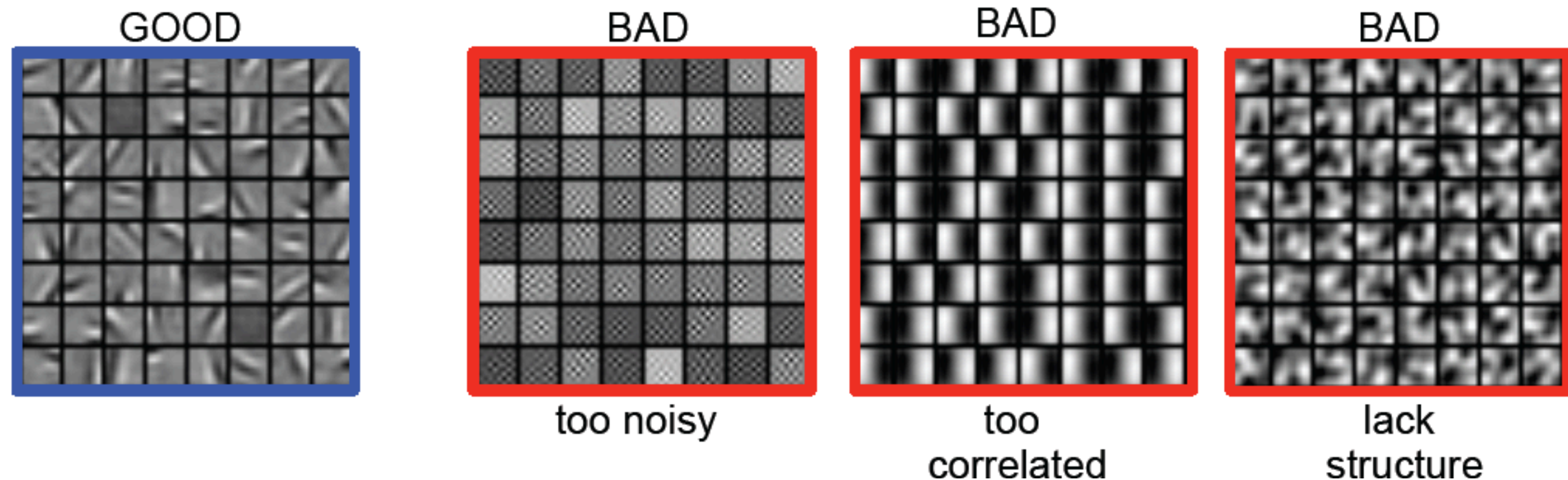


**Bad training:** many hidden units ignore the input and/or exhibit strong correlations.

[Derived from slide by Marc'Aurelio Ranzato]

# Other good things to know

- Check gradients numerically by finite differences
- Visualize hidden activations — should be uncorrelated and high variance
- Visualize filters

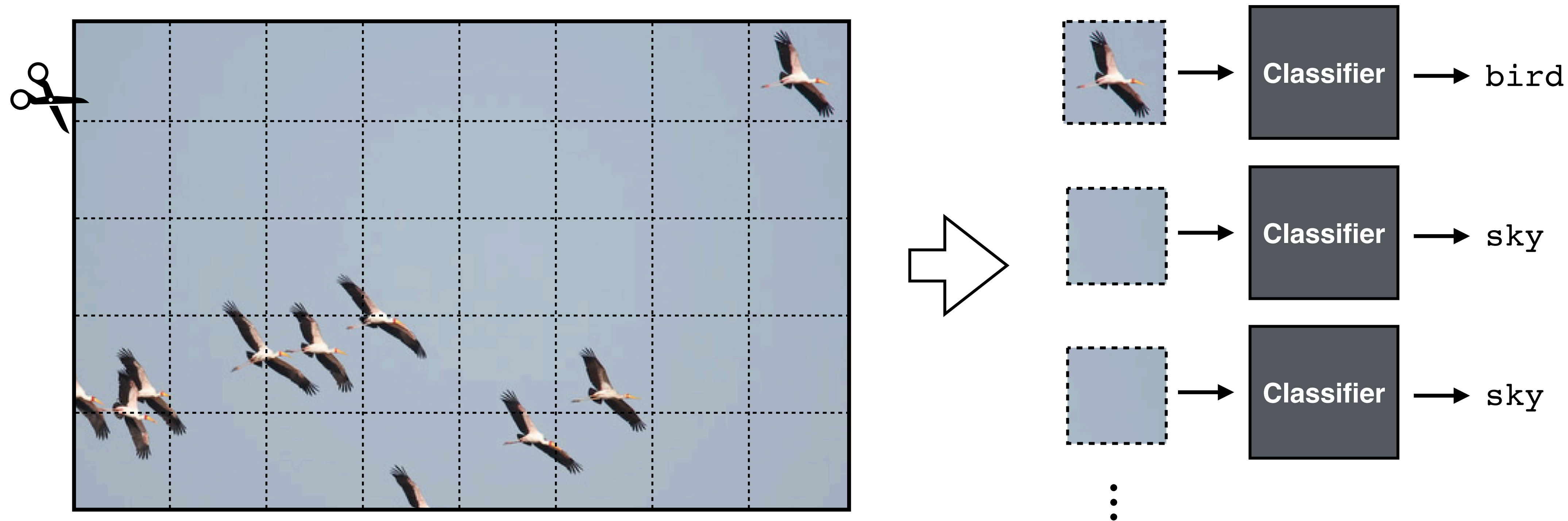


**Good training:** learned filters exhibit structure and are uncorrelated.

# Transformers

Convicts in Disguise





## Enduring principles:

1. Chop up signal into patches (divide and conquer)
2. Process each patch identically (and in parallel)

# 9. CNNs and Spatial Processing

- How to use deep nets for images
- New layer types: convolutional, pooling
- Feature maps and multichannel representations
- Popular architectures: Alexnet, VGG, Resnets
- Getting to know learned filters
- Unit visualization